ONEIDA COUNTY BOARD OF LEGISLATORS

ONEIDA COUNTY OFFICE BUILDING ◆ 800 PARK AVENUE ◆ UTICA, N.Y. 13501-2977

Gerald J. Fiorini
Chairman
(315) 798-5900

Mikale Billard
Clerk
(315) 798-5404

George Joseph
Majority Leader

Frank D. Tallarino
Minority Leader

EXPEDITED COMMUNICATIONS FOR DISTRIBUTION
March 11, 2015

(Correspondence relating to upcoming legislation, appointments, petitions, etc)

FILE NO.	COMMITTEE	PAGES
2015-103	Ways & Means	2-3
2015-104	Ways & Means	4-6
2015-105	Economic Development & Tourism, Ways & Means	7-33
2015-106	Public Safety, Ways & Means	34-35
2015-107	Economic Development & Tourism, Ways & Means	36-39
2015-108	Ways & Means	40-41
2015-109	Ways & Means	42-66
2015-077	Letter from Chairman Fiorini regarding tethering law	67

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Minority Leader

February 20, 2015

Oneida County
Board of Legislators
800 Park Avenue
Utica, New York 13501

FN 20 15-103
WAYS & MEANS

Honorable Members:

The Upper Mohawk Valley Auditorium Authority has a vacancy on their Board. **Dr. Harold Stalker** of Holland Patent has shown an interest in filling that vacancy and in fact, has been recommended by the Authority Chairman, Carl Annese (letter attached). Dr. Stalker has quite a correlation to the Auditorium and would like to be a part of the plans to move the Auditorium forward. This would be a **five-year term, commencing from January 1, 2015 through December 31, 2019.**

Therefore, based on the recommendation of Mr. Annese, I propose this appointment and ask for consideration by our Board of Legislators at the meeting of **March 11, 2015.**

Respectfully submitted,

GERALD J. FIORINI
CHAIRMAN OF THE BOARD

Cc: Mr. Annese
Dr. Stalker

RECEIVED
FEB 20 2015

2.

Carl A Annese
5839 Cavanaugh Road
Marcy, New York
February 10, 2015

Gerald J Fiorini
Chairman
Oneida County Board Of Legislators
1800 Bedford Street
Rome, New York 13440

Dear Chairman Fiorini:

I am writing you today in support of having Dr. Harold Stalker appointed to the Upper Mohawk Valley Memorial Auditorium Authority. Harold's interest in the building and its function in the community comes not from just our recent successes but from a life time of enjoying events in the building and his understanding of how the Auditorium acts as an economic engine and adds to the quality of life for the area. I feel with his outside the box thinking, his vast understanding of business and work ethics he will truly be an asset to serve on the Auditorium Authority.

Sincerely,

Carl A Annese
Chairman, UMVMAA
315-269-4307

ANTHONY R. CARVELLI
COMMISSIONER

ONEIDA COUNTY

ANTHONY J. PICENTE JR.
COUNTY EXECUTIVE

DEPARTMENT OF FINANCE
County Office Building • 800 Park Avenue • Utica, New York 13501
(315) 798-5750 • Fax: (315) 735-8371 • www.ocgov.net

February 17, 2015

FN 20 15 - 104

Mr. Anthony J. Picente, Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

WAYS & MEANS

RECEIVED
FEB 19 2015
Oneida County Executive's Office

Dear Mr. Picente:

On Thursday, February 12, 2015, the Oneida County Finance Department received bids on various tax delinquent properties. Attached, please find a list of the highest offers received that evening. Initially we started with over 400 properties, and collection efforts have resulted in collecting over $2,300,000 in delinquent taxes.

We would also like to personally thank the county maintenance staff, the Sheriff's Department for providing security, and County Legislator Les Porter who generously donates his time and talent as auctioneer.

We recommend full Board consideration of the attached bids for approval and respectfully request that you forward same at your earliest opportunity.

Sincerely yours,

Anthony Carvelli
Commissioner of Finance

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 2/19/15

AC/bad

cc: Gerald Fiorini, Chairman, Oneida County Board of Legislators
Peter M. Rayhill, County Attorney
File

RECEIVED
FEB 20 2015

4.

Feb 12, 2015 Auction Properties

Bid #	Name	Swis	Tax Map	CD	Town/City	Pad#	Bidder	Taxes Owed	Bid Amt
15-1-28	HAWKINS, THOMAS	6600	136.004-1-14	MF	WESTERN	185	East Venture LLC	$13,774.64	$16,500.00
15-1-50	MEMORIAL FOREST INC. YOUR	5089	367.000-1-65.9	AO	PARIS	221	Jeffrey & Cynthia Goldstein	$5,328.53	$16,000.00
15-1-34	JONES, EDWARD J	5889	159.000-1-40	OZ	TRENTON	51	E Donald & Gail Hannahs	$1,304.52	$3,400.00
15-1-80	THOMAS, TIMOTHY P	5289	142.002-2-61.2	QR	REMSEN	70	Patrick Harvey	$652.18	$2,600.00
	THOMAS, TIMOTHY P	5289	142.002-2-65	RX	REMSEN			$1,884.91	
	THOMAS, TIMOTHY P	5289	142.002-2-73	RD	REMSEN			$1,912.91	
	THOMAS, TIMOTHY P	5289	142.002-2-81.2	SB	REMSEN			$568.13	
15-1-20	ENNIS, PHILIP E	4200	115.000-2-3	HT	LEE	26	Amy L Bauer	$511.74	$900.00
15-1-14	DECARLOS, DOMINICK	6489	217.000-2-26	QL	VIENNA	180	Edward & Krystyna Lambrych	$1,755.61	$12,000.00
	DECARLOS, DOMINICK	6489	217.000-2-27	RE	VIENNA			$7,974.15	
15-1-64	RODRIGUEZ, VICTOR	4400	278.000-1-15	MT	MARCY	60	Thomas Smith	$5,829.87	$16,000.00
15-1-26	GLOD, JOHN	7007	317.010-1-2	JS	WHITESTOWN/V OF NEW YORK M	31	David Zhushma	$12,079.11	$30,000.00
15-1-57	PRESTON, CLARK M	4200	186.000-3-29	QQ	LEE	7	Richard Englebrecht	$798.42	$800.00
15-1-55	PELUSO, CHERYL	1301	223.014-4-55	QC	ROME	185	East Venture LLC	$734.71	$30,000.00
15-1-15	DILLENBECK, LEONARD	5889	248.000-2-69	XE	TRENTON	64	Terrance & Deborah Jones	$2,520.18	$11,000.00
15-1-59	RAYHILL, THOMAS	7003	305.014-4-16	PC	WHITESTOWN/V OF WHITESBORO	55	David Giglio	$7,432.47	$23,000.00
15-1-39	KOUTSODIMO, CHRIS	5489	409.000-1-5	ON	SANGERFIELD	34	Patrick Raney	$395.29	$100.00
15-1-51	NAMAN	6489	217.017-1-59	XT	VIENNA	159	Stuart Anderson	$30,885.69	$11,000.00
15-1-5	BOWMAN, COURTNEY	5289	142.002-5-5	PP	REMSEN	87	Robert Bonner	$448.39	$700.00
15-1-6	BOWMAN, COURTNEY	5289	142.002-5-8	RU	REMSEN	73	Wildredo Cintron	$452.10	$1,000.00
15-1-66	SCHACHTLER, ERIC	5401	392.006-2-16	QA	SANGERFIELD/V OF WATERVILLE	97	Kevin Lewis & Heyward Quinn	$13,401.69	$14,000.00
15-1-41	LAMB, JAMES L	3089	147.000-1-74	RN	CAMDEN	3	Joshua Yerdon	$8,112.43	$16,000.00
15-1-68	SCHOEN, TIMOTHY	2000	168.001-2-21	KE	ANNSVILLE	42	William Hoffmeister	$1,962.07	$2,500.00
15-1-40	LALANNE, RICKYVES MARTIN	3089	125.000-1-17.5	SM	CAMDEN	150	Pennock Brook LLC	$2,862.25	$100.00
15-1-71	SIEGFRIED, MARK	4200	169.000-2-2	JY	LEE	43	Night Windows Realty LLC	$9,296.09	$11,000.00
15-1-22	FRANCE, DARLENE	2400	62.000-1-13.5	MJ	AVA	90	Todd Croniser	$1,363.18	$1,000.00
15-1-44	LONDONO, ZENAIDA	3800	50.003-1-17	ME	FORESTPORT	97	Kevin Lewis & Heyward Quinn	$21,031.78	$10,000.00
15-1-12	CAMERONA, EVA	7005	305.016-1-79	UZ	WHITESTOWN/V OF YORKVILLE	55	David Giglio	$1,190.13	$20,000.00
	CAMERONA, EVA	7005	305.016-1-80	PC	WHITESTOWN/V OF YORKVILLE			$18,911.90	
15-1-52	OTTO, CHARLES J	3600	208.000-1-22	JH	FLOYD	13	Brent & Joan Scott	$2,324.66	$1,300.00
15-1-3	AVOTINS, RAISA	4089	356.000-1-26.2	RV	KIRKLAND	40	J. Sean Fitzgerald	$4,621.21	$1,300.00
15-1-73	STOCKING PAUL K	5600	139.000-1-20	JB	STEUBEN	37	Rome Property Management LLC	$2,929.72	$6,500.00
15-1-30	HERMANN, JOSEPH A	5289	161.000-1-6	NF	REMSEN	6	Donald C Lilly	$6,011.03	$16,500.00
15-1-74	SUSKIE, RICHARD	7089	315.019-3-9	VN	WHITESTOWN	37	Rome Property Management LLC	$23,335.61	$3,000.00

Feb 12, 2015 Auction Properties

Bid #	Name	Swis	Tax Map	CD	Town/City	Pad#	Bidder	Taxes Owed	Bid Amt
15-1-43	LIGHTHALL, PAMELA	7001	276.018-2-50	PW	WHITESTOWN/V OF ORISKANY	16	T. S. Property Solutions	$16,291.91	$6,000.00
15-1-37	KOTARY, BENJAMIN	3600	208.000-1-26	MF	FLOYD	109	Michael D Bolton	$3,584.95	$2,500.00
	KOTARY, BENJAMIN	3600	208.000-1-28	NR	FLOYD			$2,493.88	
15-1-38	KOTARY, BENJAMIN	3600	208.000-2-1	HU	FLOYD	93	Daniel & Viola Miller	$1,685.10	$500.00
15-1-72	STEFFENHAGEN, SHERRI	6489	164.000-1-19.3	UC	VIENNA	124	Katherine & Allen Fuller	$3,940.95	$3,750.00
15-1-60	RICHARDS, MICHAEL	6401	236.019-3-26	SN	VIENNA/V OF SYLVAN BEACH	27	Jack Lomedico	$3,714.21	$6,500.00
15-1-76	SUSKIE, RICHARD	7003	305.010-6-7	ON	WHITESTOWN/V OF WHITESBORO	56	Blin Richards & Beverly Sweet	$21,807.61	$8,000.00
15-1-9	BUCK, MICHAEL	5289	142.002-6-30	OQ	REMSEN	221	Kim M Delmedico	$542.83	$550.00
	BUCK, MICHAEL	5289	142.002-6-55	TR	REMSEN			$656.20	
15-1-17	DORNEY, EDITH A	7005	305.020-2-50	LH	WHITESTOWN/V OF YORKVILLE	55	David Giglio	$21,463.78	$22,500.00
15-1-21	FERRITER, PETER	5201	159.007-3-2	NV	REMSEN/V OF REMSEN	225	Dawn M Lasher	$1,948.28	$1,000.00
	FERRITER, PETER	5201	159.007-3-3	OO	REMSEN/V OF REMSEN			$20,919.89	
15-1-45	LONDONO, ZENAIDA	3800	50.003-1-22	JF	FORESTPORT	221	Kim M Delmedico	$20,198.47	$5,000.00
15-1-2	ASHWELL, MARY M	5089	358.008-1-19	WD	PARIS	137	Harold Wentworth	$15,238.30	$37,500.00
15-1-29	HEALEY, PATRINA	4889	349.012-1-72	TZ	NEW HARTFORD	55	David Giglio	$9,493.12	$21,000.00
15-1-63	RICHARDSON, GARY L	5289	104.000-1-4	KY	REMSEN	38	James Ruhm	$11,158.23	$12,000.00
15-1-47	MACH, RITA	5401	392.006-2-12	NC	SANGERFIELD/V OF WATERVILLE	41	Kevin Bower	$16,923.18	$16,000.00
15-1-65	SANSONE, JAMES B	3800	13.003-3-55	ON	FORESTPORT	83	Joseph Yoroshko	$8,442.90	$46,000.00
15-1-75	SUSKIE, RICHARD	7003	305.010-1-88	RS	WHITESTOWN/V OF WHITESBORO	185	East Venture LLC	$49,268.90	$11,000.00
15-1-1	ARCHER, NED	4200	134.000-2-27.3	OW	LEE	85	Russell L Loomis	$7,741.24	$27,000.00
15-1-18	DOUGLAS, DAVE	7089	317.005-3-4	QH	WHITESTOWN	219	Lisa R & Carmen Filletti	$16,621.27	$52,500.00
15-1-35	JURGIELEWICZ, DOROTHY	3800	37.000-1-17	MP	FORESTPORT	150	Pennock Brook LLC	$8,399.26	$26,000.00

ANTHONY J. PICENTE, Jr., *County Executive*
JOHN R. KENT, Jr., *Commissioner*

(315) 798-5710
FAX (315) 798-5852
planning@ocgov.net

Boehlert Center at UNION STATION

Oneida County Department of Planning

Boehlert Center at Union Station, 321 Main Street, Utica, NY 13501

FY 20 15-105

February 10, 2015

ECONOMIC DEVELOPMENT & TOURISM

WAYS & MEANS

Anthony J. Picente Jr.
Oneida County Executive
800 Park Avenue
Utica, NY 13501

Re: Submission of the 2015-2016 FTA Section 5311 Consolidated Non-Urbanized Formula Grant Application, Authorizing Resolution and Notarization Form, Third Party Operating Agreement, and FTA FFY 2015 Certification and Assurances

Dear County Executive Picente:

The Federal Transit Administration provides funds to cover up to 50 percent of the operating deficits for public transportation systems providing scheduled mass transit services in the non-urbanized and rural areas of the country. The federal funds are distributed on a bi-annual basis through the Section 5311 Urbanized Areas Formula program which is administered by the New York State Department of Transportation (NYSDOT).

Oneida County is the sponsor of the rural transportation services in the County provided by Birnie Bus Tours (BBT). The New York State Department of Transportation (NYSDOT) recently announced $150,000 for 2015 and $165,000 for 2016 allocated to Oneida County to support these rural transit services. These amounts will be matched with New York State Operating Assistance funds and Birnie Bus Tours own funds, to cover operating expenses. There are no Oneida County funds involved in the 2015-2016 Section 5311 application.

The NYSDOT's Section 5311consolidated application includes both, operating assistance and capital investment grants. Based on bus replacement schedule and the 2014-18 Transportation Improvement Program, Oneida County will be purchasing four (4) 30 FT medium duty mid-size replacement buses with lifts for public transportation service in rural areas and one service truck. Projected total cost of this project is $380,000. The Federal Transit Administration (FTA) share would be 80% of the total costs of capital equipment, NYS Department of Transportation share is 10% and the remaining 10 % will be paid by the local public transit operator (BBT).

New to the 2015-2016 Section 5311 application is a section to request Mobility Management project funding. For this funding cycle, Oneida County will be applying for operating funding, on behalf of the Parkway Center, for their rural Mobility Management project. The Federal share is 80% for the project, State share 10%, and the Local share 10% will be paid by Parkway Center.

It is a Federal requirement that every applicant who intends to apply and receive Federal assistance must submit annual certifications and assurances prior to grant approval. By signing the attached FTA 2015 Certifications and Assurances, Oneida County affirms its commitment to

9.

comply with all relevant Federal statutes, regulations, executive orders, and administrative guidance for grant administration.

I request that you recommend to the Oneida County Board of Legislators for its consideration and approval the attached Resolution and Notarization Form authorizing you, as the Oneida County Executive, to file the Fiscal Year 2015-16 Section 5311 Consolidated Non-Urbanized Formula Grant application. I also request that you sign the signature pages of the Application, the Third Party Operating Agreement, and the FTA required Certification and Assurances for FFY 2015.

Dana Crisino, HOCTS Program Manager, and I would be pleased to discuss this matter with you and/or the Board at your convenience.

Sincerely,

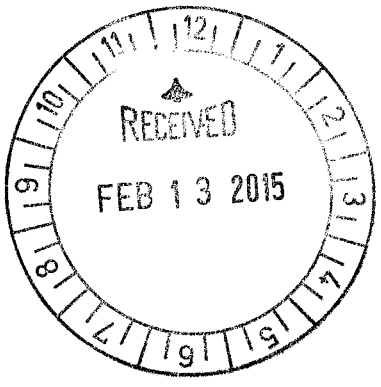
John R. Kent, Jr.

John R. Kent, Jr.
Commissioner

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 2/13/15



8.

Department
Planning

Competing Proposal_____
Only Respondent_____
Sole Source RFP_____

Oneida County Board of Legislators
Contract Summary

Name of Proposing Organization: NYS Department of Transportation

Title of Activity or Service: Requesting an Resolution Authorizing the filing of the a Consolidated Application for the 2015-2016 Federal Section 5311 Grant for the Rural and Non-urbanized Transportation Service in Oneida County, and signatures from the County Executive on appropriate application pages and related documents.

Proposed Dates of Operations: Effective upon approval and signature of County Executive through December 31, 2016.

Client Population/Number to be Served: N/A

SUMMARY STATEMENTS

Narrative Description of Proposed Services- The 2015-16 Consolidated Application is between NYS Department of Transportation and Oneida County, on behalf of Birnie Bus Tours Inc., which includes Rural Transit Operating Assistance funding for 2015 ($150,000) and 2016 ($165,000); capital requests of (4) four replacement buses and one service truck for 2015 ($380,000), and Mobility Management funding for 2015-2016 on behalf of Parkway Center's Mobility Management Program ($220,390).

Attachments to be approved and signed include Authorizing Resolution and Notarization Form, signature pages of the 2015-2016 Section 5311 Consolidated Grant Application, Third Party Operating Agreement, and FTA required Certification and Assurances.

1) **Program/Service Objectives and Outcomes** N/A

2) **Program Design and Staffing Level** N/A

Total Funding Requested: **None**

Oneida County Funding Recommendation: N/A

Proposed Funding Source (Federal $/State $/County $): Total project cost: $915,390= Federal share: $795,312; State share: $60,039; Local share: $60,039
There are NO COUNTY FUNDS involved.

Rural Operating Assistance:
BBT
Total: $315,000 Federal Share: $315,000

Parkway Center:
Total: $220,390 Federal Share: $176,312 State Share: $22,039 Local Share: $ 22,039

P.

Rural Capital Assistance:
BBT
Total: $380,000 Federal Share: $304,000 State Share: $ 38,000 Local Share: $38,000

Cost Per Client Served: N/A

Past Performance Served: N/A

O.C. Department Staff Comments: NYSDOT and Oneida County entered into a Master Rural Capital Agreement C003792 for the contract period 4/2/2008 to 4/1/2018.

INTRODUCTORY NO. **F.N.**

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

INTRODUCED BY:
2ND BY:

RE: RESOLUTION AUTHORIZING THE FILING OF CONSOLIDATED APPLICATION WITH THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION FOR A FISCAL YEARS 2015-2016 FEDERAL SECTION 5311 GRANT FOR THE RURAL AND NONURBANIZED TRANSPORTATION SERVICE IN ONEIDA COUNTY

WHEREAS, The Oneida County Planning Department is submitting a request for a Consolidated Grant, for fiscal years 2015-16 funding to the New York State Department of Transportation, pursuant to Section 5311, Title 49 United States Code, for a projects to provide public mass transportation service for ***Non-Urbanized and Rural areas of Oneida County*** operated by Birnie Bus Tours Inc. (BBT), Rome NY, and capital investment funds to assist in the purchase of four replacement medium duty-mid size ADA equipped buses, one replacement service truck for BBT; and funding for the continuing of the Mobility Management project, operated by Parkway Center, Utica, NY for Rural areas of Oneida County; and

WHEREAS, The required ten percent (10%) local share of the capital investment project total costs will be provided by the transit operator; the State share is 10% and the Federal Share is 80%;and

WHEREAS, The County of Oneida and the State of New York have entered into a continuing Agreement for a six-year period which authorizes the undertaking of said Projects and payment of the Federal share; now, therefore, be it hereby

RESOLVED, That the Oneida County Executive is hereby authorized to act on behalf of Oneida County to sign the grant application and progress and complete the above named Project; and be it further

RESOLVED, That the Oneida County Executive is authorized to execute and file with the application the annual certifications and assurances and other documents the NYSDOT requires before awarding a grant or cooperative agreement; and it is further

RESOLVED, That the Oneida County Executive is authorized to sign any contracts or agreements between the County of Oneida and NYSDOT or Third Party subcontractor necessary to complete the public transportation project, subject to the approval of the Oneida County Attorney.

APPROVED:

DATED:

Adopted by the following vote:
AYES ____ NAYS ____

Reminder

11.

APPENDIX C

NOTARIZATION FORM

STATE OF NEW YORK

COUNTY OF ONEIDA

On this _____ day of _____ in the year 2015 before me personally came Anthony J. Picente, Jr. to me known, who, being by me duly sworn did depose and say that s/he resides at _____; that s/he is the Oneida County Executive of the Municipal Corporation described in and which executed the above instrument; that s/he knows the Seal of said Municipal Corporation; that the Seal affixed to said instrument is such Corporate Seal; that it was affixed by order of the Board of said Municipal Corporation pursuant to a resolution which was duly adopted on _____ and to which a certified copy is attached and made a part hereof; and that s/he signed his name thereto by like order.

_____

Notary Public

NEW YORK STATE DEPARTMENT OF TRANSPORTATION
5311 CONSOLIDATED GRANT APPLICATION FOR FEDERAL ASSISTANCE
NON-URBANIZED AREA PUBLIC TRANSPORTATION PROGRAM (2015-2016)

PART 1 - GENERAL INFORMATION

For NYSDOT Office Use Only

Name and Address of Applicant		Approved Site	
Name	ONEIDA COUNTY	Incorporated	
Address	800 PARK AVENUE		
	UTICA, NY 13501	Email PIN	
Payment Address			
Vendor Number	75814186	Fax	156000460
Vendor Number	1000002595	Email	

Applicant Contact		Title	HOCTS Program Manager
Name	Dana Crisino	Phone	315-798-5710
Address	Boehlert Center at Union Station, 321 Main Street 3rd FL	Fax	315-798-5852
	Utica, NY 13501	Email	dcrisino@ocgov.net

Operator 1: System Name & Manager		Operator 2: System Name & Manager	
System Name	Birnie Bus Tours, Inc.	System Name	
Contact Name	Terry Inkawhich, Vice President of Finance CFO	Contact Name	
Address	P.O. Box 630	Address	
	248 Otis Street, Rome, NY 13440-0630		
Phone	315-336-3950 ext 239	Phone	
Fax	315-734-3950	Fax	
Email	terryi@birniebus.com	Email	

Operator 3: System Name & Manager		Operator 4: System Name & Manager	
System Name		System Name	
Contact Name		Contact Name	
Address		Address	
Phone		Phone	
Fax		Fax	
Email		Email	

PART 2 - PROJECT DESCRIPTION

Area Served: List the specific Municipalities in the service area to the right.	

Funding Type	Fiscal Year From & To (Mo/Day/Yr)	Insert "X" in box if Applying	Estimated Project Cost	Federal Assistance
Operating Assistance 2015	From 1/1/2015 To 12/31/2015	X	$457,582	$120,000
Operating Assistance 2016	From 1/1 /2016 To 12/31/2016	X	$484,299	$135,000
Capital Assistance 2015-16	From 1/1 /2015 To 12/31/2016	X	$559,390	$447,512

PART 3 - PROJECT CERTIFICATION

I certify that the accompanying data in this application are true and correct to the best of my knowledge and belief and are supported by our records.

Anthony J. Picente Jr.	Oneida County Executive	
Signature of Chief Elected or Designated Official	*Title*	*Date*

Payment for invoices submitted by Municipalities shall be rendered electronically unless payment by check is authorized by the Commissioner. Payments shall be made in accordance with state process. Authorization forms are available at http://osc.state.ny.us/epay/about.htm, by e-mail at epayments@osc.state.ny.us, by telephone at 518-474-4032.

13.

5311 Operating Assistance Application for 2015 & 2016 (2015-16 & 2016-17)

THIS IS A MULTI-OPERATOR TOTALS PAGE AND REQUIRES NO ENTRY. ALL OPERATOR PAGES MUST BE COMPLETED AND THEIR TOTALS WILL COMPILE ON THIS PAGE.

1	**ONEIDA COUNTY**	2015 ESTIMATED BUDGET	% CHANGE	2016 ESTIMATED BUDGET
2	**OPERATING EXPENSES** (Enter municipally derived expenses only here, if services are provided by a third party operator please detail expenses in Part II "Purchase of Transp.Service Agreements/Contracts ")			
a	Transit Management Salaries & Wages	$0	0%	$0
b	Operating Personnel Salaries & Wages	$0	0%	$0
c	Vehicle Maintenance Salaries & Wages	$0	0%	$0
d	**Total Salaries & Wages**	$0	0%	$0
e	Fringe Benefits	$0	0%	$0
f	**Total Salaries, Wages, and Fringe**	$0	0%	$0
g	Fuel and Lubricants	$0	0%	$0
h	Parts and Repairs	$0	0%	$0
i	Other Materials and Supplies	$0	0%	$0
j	Utilities, Leases, Taxes, Service & Administrative Fees	$0	0%	$0
k	Vehicle and other Insurance	$0	0%	$0
l	Purchase of Transp.Service Agreements/contracts **(if this section is used, fill out the worksheet on PART II, item 1)**	$447,892	6%	$476,910
m	Marketing, Advertising and Printing	$0	0%	$0
n	Other Contracted Services	$0	0%	$0
o	Audit Fee	$0	0%	$0
p	Other Operating Expenses **(Show detail of these expenses on the worksheet on PART II, item 2)**	$0	0%	$0
q	**Indirect Expenses** (Expenses approved by a cognizant federal agency and detailed in an attached Cost Allocation Plan/Indirect Cost Rate Proposal).	$200,850	0%	$200,850
3	**TOTAL EXPENSES**	$648,743	4%	$677,760
		$0		$0
4	**REVENUE FROM TRANSIT SERVICES (STOA eligible)**			
a	Number of fare paying passengers	2,500	1%	2,520
b	Fares collected	$5,051	1%	$5,092
c	Total third party Passengers **(Fill out worksheet on PART II, item 3)**	24,976	1%	25,267
d	Total third party per passenger reimbursement **(Fill out worksheet on PART II, item 3)**	$186,109	1%	$188,370
e	**Total STOA Eligible Riders**	27,476	1%	27,817
f	**Total passenger revenue**	$191,160	1%	$193,462
5	**ALLOWANCE FOR PROFIT= TOTAL PASSENGER REVENUE(4f) x .0638 (private for profit operators only, if profit is not included in 2(l))**	$0	0%	$0
6	**NET OPERATING DEFICIT**	$457,582	6%	$484,299
7	**OTHER SUBSIDIES & REVENUES**			
a	Auxiliary Transit Revenue, mail, advert., leases etc.	$0	0%	$0
b	Voluntary donations received from passengers (upon boarding)	$0	0%	$0
c	Contract Revenue **(fill out the worksheet on PART II, item 4)**	$0	0%	$0
d	STOA 18-b (requiring local match)	$21,195	2%	$21,592
e	STOA Dedicated (not requiring local match)	$235,189	5%	$247,696
f	Government Local Match to 18b **(fill out the worksheet on PART II, item 6)**	$0	0%	$0
g	Third Party Local Match to 18b **(fill out the worksheet on PART II, item 6)**	$21,195	2%	$21,592
h	Other Revenue Sources (Federal) **(fill out the worksheet on PART II, item 5)**	$0	0%	$0
i	Other Revenue Sources (State) **(fill out the worksheet on PART II, item 5)**	$0	0%	$0
j	Other Revenue Sources (Local Government) **(fill out the worksheet on PART II, item 5)**	$0	0%	$0
k	Other Revenue Sources **(fill out the worksheet on PART II, item 5)**	$60,002	-3%	$58,418
l	Total Other Revenue Sources	$60,002	-3%	$58,418
m	**TOTAL REVENUE & SUBSIDIES**	$337,582	3%	$349,298
8	**UNFUNDED DEFICIT**	$120,000	13%	$135,001
9	**PRELIMINARY MAXIMUM AMOUNT OF 5311 FUNDS PAYABLE**	$120,000	13%	$135,000
10	**SURPLUS / DEFICIT? This should equal zero. If there is a surplus it will carry forward to the next year. If there is a deficit, show on Worksheet Page 2 Section 5 additional revenue to zero out the budget.**	$0	49%	-$1

PART II (all green filled boxes on page 1 are to be filled out below)

1	**"OPERATING EXPENSES" page 1 (2l)**	2015	%	2016	
	***Purchase of Transp.Service Agreements/Contracts* (2l)** Complete this worksheet only if this is a 3rd party contract. Enter actual expenses for appropriate year based on descriptions below. Enter the amount of your contract agreement on the last line only.	Expense	Change	Expense	
	Transit Management Salaries & Wages	$170,940	2%	$174,359	
	Operating Personnel Salaries & Wages	$0	0%	$0	
	Vehicle Maintenance Salaries & Wages	$0	0%	$0	
	Fringe Benefits	$0	0%	$0	
	Fuel and Lubricants	$102,677	3%	$105,757	
	Parts and Repairs	$126,584	13%	$142,980	
	Other Materials and Supplies	$12,109	0%	$12,109	
	Transit Utilities and Leases	$0	0%	$0	
	Vehicle and other Insurance	$35,582	0%	$35,432	
	Marketing, Advertising and Printing	$0	0%	$0	
	Audit Fee	$0	0%	$7	
	Other Operating Expenses (taxes, Phone, electric, heat, admin, supplies, etc)	$0	0%	$6,265	
	CONTRACTED SERVICES: If the contract is LUMP SUM based on cost per mile, per hour, or other unit of measurement please enter total contract amount here and attach a breakout of how the value was derived (ie: contractor's anticipated expenses).	$0	0%	$0	
	TOTAL: Purchase of Transp.Service Agreements/Contracts	$447,892	6%	$476,910	
2	**"OPERATING EXPENSES" page 1 (2p)**	2015	%	2016	
	***Other Operating Expenses* (2p)** (enter actual expenses for each year based on descriptions below.)	Expense	Change	Expense	
	Travel, training, testing, uniforms	$0	0%	$0	
	Drug and Alcohol oversight expenses	$0	0%	$0	
	General Safety and Security expenses	$0	0%	$0	
	Other misc.	$0	0%	$0	

3	**"REVENUE FROM TRANSIT SERVICES (STOA eligible)" page 1 (4c&d)**	2015	2015	2016	2016
	Third party passengers reimbursed on a per-passenger basis and eligible for STOA (4c&d) (If needed enter other contract descriptions, passengers, and revenue generated in blank cells below)	Pass	Revenue	Pass	Revenue
	Department of Social Services (DSS)	0	$0	0	$0
	Department/Office of Aging (OFA)	0	$0	0	$0
	ARC	0	$0	0	$0
	Senior Housing	0	$0	0	$0
	Nursing Homes	0	$0	0	$0
	DOH NEMT	0	$0	0	$0
	Other Contracts (Private Business, etc)	0	$0	0	$0
		24,976	$186,109	25,297	$188,370
		0	$0	0	$0
		0	$0	0	$0

4	**"OTHER SUBSIDIES & REVENUES" page 1 (7c)(not included in 4d)**	2015	%	2016	
	Contract Revenue (7c) (Contracted subsidy or revenue received from an agency or organization which is not on a per passenger basis. Enter description below, and revenue under appropriate year column)	Revenue	Change	Revenue	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	

5	**"OTHER SUBSIDIES & REVENUES" Page 1 (7i-l)**	2015	%	2016	
	Other revenue (Federal, 7h) (enter amount next to source)	Revenue	Change	Revenue	
	5311 Supportive Employment Services	$0	0%	$0	
	Surface Transportation Program (STP) / Congestion Mitigation and Air Quality Improvement Program (CMAQ)	$0	0%	$0	
	Other funds (5307, 5339, etc)	$0	0%	$0	
	Other(State the source)	$0	0%	$0	
	Other revenue (State, 7i) (enter amount next to source)	Revenue	Revenue	Revenue	
	Transportation Assistance for Needy Families (TANF) Community Solutions for Transportation (CST)	$0	0%	$0	
	New York State Energy & Research Development Authority (NYSERDA)	$0	0%	$0	
	State Planning Resources	$0	0%	$0	
	Other(State the source)	$0	0%	$0	
	Other revenue (Local Government, 7j)(enter amount next to source)	Revenue	Revenue	Revenue	
	County/City General Fund	$0	0%	$0	
	Rental income, refunds	$0	0%	$0	
	Mortgage Recording Tax	$0	0%	$0	
	Other(State the source)	$0	0%	$0	
	Other revenue (Third Party, etc.7k) (enter amount next to source)	Revenue	Revenue	Revenue	
	Third Party Operator Contributions (charter, etc)	$0	0%	$0	
	Sales, refunds, interest	$0	0%	$0	
	Other(State the source)	$0	0%	$0	
		$60,002	-3%	$58,418	
6	**SOURCES OF LOCAL 18B MATCH (Government).** State the source(s) of leveraged local match below, and enter the match amount to the right.				
	General Fund	$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
		$0	0%	$0	
	SOURCES OF LOCAL 18B MATCH (Third Party) State the source(s) of leveraged local match below, and enter the match amount to the right.				
	Contract Stops	$0	0%	$0	
	Third Party Operator Contributions (charter, etc)	$0	0%	$0	
	Sales, refunds, interest	$0	0%	$0	
	Other(State the source)	$0	0%	$0	
		$21,195	2%	$21,592	

FORMULA CALCULATION FOR MAXIMUM 5311 FUNDS. NO ENTRY REQUIRED HERE.

	MAXIMUM AMOUNT OF 5311 FUNDS AVAILABLE	2015	%	2016
a	50% of Net Operating Deficit	$228,791	6%	$242,149
b	5311 & SES Allocation	$120,000	13%	$135,000
c	Unfunded deficit	$120,000	13%	$135,001
d	5311 & SES Allocation not eligible to be paid	$0	0%	$0
e	Maximum amount allowable is the least of a, b, or c	$120,000	13%	$135,000
f	Prior year overpayment	$0	0%	$0
g	Net amount payable	$120,000	13%	$135,000

PART III - OPERATIONAL INFORMATION

	FARE INFORMATION		2016		2016	
a	Base Fare	(Fixed Route)				
b	Base Fare	(Route Deviation)				
c	Base Fare	(Paratransit)				
d	Base Fare	(Dial-A-Ride)				
e	Base Fare	(Commuter/Intercity)				
f	Transfer	(Fixed Route)				
g	Transfer	(Route Deviation)				
h	Transfer	(Paratransit)				
i	Transfer	(Dial-A-Ride)				
j	Transfer	(Commuter/Intercity)				
k	Other	(Fixed Route)				
l	Other	(Route Deviation)				
m	Other	(Paratransit)				
n	Other	(Dial-A-Ride)				
o	Other	(Commuter/Intercity)				

	METHOD USED TO COUNT PASSENGERS (Place an "X" next below the appropriate box) MUST HAVE AN ENTRY	Trip Sheet	Sampling	Farebox	Other

	METHOD USED TO DETERMINE MILES (Place an "X" next below the appropriate box) MUST HAVE AN ENTRY	Trip Sheet	Sampling	Hubometer Odometer	Other

	PEAK AND TOTAL FLEET INFORMATION		2015	%	2016
a	**PEAK FLEET**	**(Fixed Route)**	0	0%	0
b	**PEAK FLEET**	**(Route Deviation)**	11	0%	11
c	**PEAK FLEET**	**(Paratransit)**	0	0%	0
d	**PEAK FLEET**	**(Dial-A-Ride)**	0	0%	0
e	**PEAK FLEET**	**(Commuter/Intercity)**	0	0%	0
f	**PEAK FLEET**		11	0%	11
g	**TOTAL FLEET**	**(Fixed Route)**	0	0%	0
h	**TOTAL FLEET**	**(Route Deviation)**	0	0%	0
i	**TOTAL FLEET**	**(Paratransit)**	0	0%	0
j	**TOTAL FLEET**	**(Dial-A-Ride)**	0	0%	0
k	**TOTAL FLEET**	**(Commuter/Intercity)**	0	0%	0
l	**TOTAL FLEET**		0	0%	0

	OPERATIONAL INFORMATION		2015		2016
a1	Revenue Riders(STOA eligible)	(Fixed Route)	0	0%	0
a2	Revenue Riders(STOA eligible)	(Route Deviation)	31,200	1%	31,600
a3	Revenue Riders(STOA eligible)	(Paratransit)	0	0%	0
a4	Revenue Riders(STOA eligible)	(Dial-A-Ride)	0	0%	0
a5	Revenue Riders(STOA eligible)	(Commuter/Intercity)	0	0%	0
b1	Revenue transfer (STOA Eligible)	(Fixed Route)	0	0%	0
b2	Revenue transfer (STOA Eligible)	(Route Deviation)	0	0%	0
b3	Revenue transfer (STOA Eligible)	(Paratransit)	0	0%	0
b4	Revenue transfer (STOA Eligible)	(Dial-A-Ride)	0	0%	0
b5	Revenue transfer (STOA Eligible)	(Commuter/Intercity)	0	0%	0
c	**TOTAL STOA ELIGIBLE RIDERS**		31,200	1%	31,600
d1	Non-Revenue Riders (Non-STOA Eligible)	(Fixed Route)	0	0%	0
d2	Non-Revenue Riders (Non-STOA Eligible)	(Route Deviation)	0	0%	0
d3	Non-Revenue Riders (Non-STOA Eligible)	(Paratransit)	0	0%	0
d4	Non-Revenue Riders (Non-STOA Eligible)	(Dial-A-Ride)	0	0%	0
d5	Non-Revenue Riders (Non-STOA Eligible)	(Commuter/Intercity)	0	0%	0
e1	Non-Revenue Transfers (Non-STOA Eligible)	(Fixed Route)	0	0%	0
e2	Non-Revenue Transfers (Non-STOA Eligible)	(Route Deviation)	0	0%	0
e3	Non-Revenue Transfers (Non-STOA Eligible)	(Paratransit)	0	0%	0
e4	Non-Revenue Transfers (Non-STOA Eligible)	(Dial-A-Ride)	0	0%	0
e5	Non-Revenue Transfers (Non-STOA Eligible)	(Commuter/Intercity)	0	0%	0
f	**TOTAL NON STOA ELIGIBLE RIDERS**		0	0%	0
g	**TOTAL STOA / NON STOA ELIGIBLE RIDERS**		31,200	1%	31,600
h1	Revenue Vehicle Miles (STOA Eligible)	(Fixed Route)	0	0%	0
h2	Revenue Vehicle Miles (STOA Eligible)	(Route deviation)	276,114	0%	276,400
h3	Revenue Vehicle Miles (STOA Eligible)	(Paratransit)	0	0%	0
h4	Revenue Vehicle Miles (STOA Eligible)	(Dial-A-Ride)	0	0%	0
h5	Revenue Vehicle Miles (STOA Eligible)	(Commuter/Intercity)	0	0%	0
	TOTAL VEHICLE MILES (STOA Eligible)		276,114		276,400
i1	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Fixed Route)	0	0%	0
i2	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Route deviation)	0	0%	0
i3	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Paratransit)	0	0%	0
i4	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Dial-A-Ride)	0	0%	0
i5	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Commuter/Intercity)	0	0%	0
	TOTAL VEHICLE MILES (NON-STOA Eligible)		0		0
i6	Charter/School/Contract Miles (Non-STOA-Eligible)		0	0%	0
j	**TOTAL VEHICLE MILES**		276,114	0%	276,400
k1	Revenue Vehicle Hours (STOA Eligible)	(Fixed Route)	0	0%	0
k2	Revenue Vehicle Hours (STOA Eligible)	(Route deviation)	10,397	-1%	10,343
k3	Revenue Vehicle Hours (STOA Eligible)	(Paratransit)	0	0%	0
k4	Revenue Vehicle Hours (STOA Eligible)	(Dial-A-Ride)	0	0%	0
k5	Revenue Vehicle Hours (STOA Eligible)	(Commuter/Intercity)	0	0%	0
L1	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Fixed Route)	0	0%	0
L2	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Route Deviation)	0	0%	0
L3	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Paratransit)	0	0%	0
L4	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Dial-A-Ride)	0	0%	0
L5	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Commuter/Intercity)	0	0%	0
L6	Charter/School/Contract Hours (Non-STOA Eligible)		0	0%	0
m	**TOTAL HOURS**		10,397	-1%	10,343
n	**Enter NUMBER OF EMPLOYEES (any employee directly involved in transit)**		22	0%	22

o	**SAFETY DATA (Report incidents, Fatalities, and Injury to right)**	This information will be required on annual reports only.
o1	Total Incidents (Fatality, Injury, or property damage $25,000 or greater)	
o2	Total Fatalities (Loss of Life)	
o3	Total Injuries (Requires immediate medical attention)	

16.

5311 Operating Assistance Application for 2015 & 2016 (2015-16 & 2016-17)

INSTRUCTIONS: Fill in all "WHITE BOXES" with Operational Data . All YELLOW and GREEN boxes are formula driven and require no entry. GREEN boxes will be determined from entry on worksheet page 2.

		Bimie Bus Tours, Inc.	2015 ESTIMATED BUDGET	% CHANGE	2016 ESTIMATED BUDGET
2		**OPERATING EXPENSES** (Enter municipally derived expenses only here, if services are provided by a third party operator please detail expenses in Part II "Purchase of Transp.Service Agreements/Contracts "			
	a	Transit Management Salaries & Wages		0%	
	b	Operating Personnel Salaries & Wages		0%	
	c	Vehicle Maintenance Salaries & Wages		0%	
	d	**Total Salaries & Wages**	$0	0%	$0
	e	Fringe Benefits		0%	
	f	**Total Salaries, Wages, and Fringe**	$0	0%	$0
	g	Fuel and Lubricants		0%	
	h	Parts and Repairs		0%	
	i	Other Materials and Supplies		0%	
	j	Utilities, Leases, Taxes, Service & Administrative Fees		0%	
	k	Vehicle and other Insurance		0%	
	l	Purchase of Transp.Service Agreements/contracts **(if this section is used, fill out the worksheet on PART II, item 1)**	$447,892	6%	$476,910
	m	Marketing, Advertising and Printing		0%	
	n	Other Contracted Services		0%	
	o	Audit Fee		0%	
	p	Other Operating Expenses **(Show detail of these expenses on the worksheet on PART II, item 2)**	$0	0%	$0
	q	Indirect Expenses See TAB 2A **-(This rolls up to TAB 2 - Operating Budget only)**			
3		**TOTAL EXPENSES**	$447,892	6%	$476,910
4		**REVENUE FROM TRANSIT SERVICES (STOA eligible)**			
	a	Number of fare paying passengers	2,500	1%	2,520
	b	Fares collected	$5,051	1%	$5,092
	c	Total third party Passengers **(Fill out worksheet on PART II, item 3)**	24,976	1%	25,297
	d	Total third party per passenger reimbursement **(Fill out worksheet on PART II, item 3)**	$186,109	1%	$188,370
	e	**Total STOA Eligible Riders**	27,476	1%	27,817
	f	**Total passenger revenue**	$191,160	1%	$193,462
5		**ALLOWANCE FOR PROFIT= TOTAL PASSENGER REVENUE(4f) x .0638 (private for profit operators only, if profit is not included in 2(l))**		0%	
6		**NET OPERATING DEFICIT**	$256,732	10%	$283,449
7		**OTHER SUBSIDIES & REVENUES**			
	a	Auxiliary Transit Revenue, mail, advert., leases etc.		0%	
	b	Voluntary donations received from passengers (upon boarding)		0%	
	c	Contract Revenue **(fill out the worksheet on PART II, item 4)**	$0	0%	$0
	d	STOA 18-b (requiring local match)	$21,195	2%	$21,592
	e	STOA Dedicated (not requiring local match)	$235,189	5%	$247,696
	f	Government Local Match to 18b **(fill out the worksheet on PART II, item 6)**	$0	0%	$0
	g	Third Party Local Match to 18b **(fill out the worksheet on PART II, item 6)**	$21,195	2%	$21,592
	h	Other Revenue Sources (Federal) **(fill out the worksheet on PART II, item 5)**	$0	0%	$0
	i	Other Revenue Sources (State) **(fill out the worksheet on PART II, item 5)**	$0	0%	$0
	j	Other Revenue Sources (Local Government) **(fill out the worksheet on PART II, item 5)**	$0	0%	$0
	k	Other Revenue Sources **(fill out the worksheet on PART II, item 5)**	$60,002	-3%	$58,418
	l	Total Other Revenue Sources	$60,002	-3%	$58,418
	m	**TOTAL REVENUE & SUBSIDIES**	$337,582	3%	$349,298
8		**UNFUNDED DEFICIT**	-$80,850	-19%	-$65,850
9		**PRELIMINARY MAXIMUM AMOUNT OF 5311 FUNDS PAYABLE**	$120,000	13%	$135,000
10		**SURPLUS / DEFICIT? This should equal zero. If there is a surplus it will carry forward to the next year. If there is a deficit, show on Worksheet Page 2 Section 5 additional revenue to zero out the budget.**	$0	0%	$0

17.

PART II (all green filled boxes on page 1 are to be filled out below)

1	**"OPERATING EXPENSES" page 1 (2l)**	2015	%	2016	
	***Purchase of Transp.Service Agreements/Contracts* (2l)** Complete this worksheet only if this is a 3rd party contract. Enter actual expenses for appropriate year based on descriptions below. Enter the amount of your contract agreement on the last line only.	Expense	Change	Expense	
	Transit Management Salaries & Wages	$170,940	2%	$174,359	
	Operating Personnel Salaries & Wages	$0	0%	$0	
	Vehicle Maintenance Salaries & Wages	$0	0%	$0	
	Fringe Benefits	$0	0%	$0	
	Fuel and Lubricants	$102,677	3%	$105,757	
	Parts and Repairs	$126,584	13%	$142,980	
	Other Materials and Supplies	$12,109	0%	$12,109	
	Transit Utilities and Leases	$0	0%	$0	
	Vehicle and other Insurance	$35,562	0%	$35,432	
	Marketing, Advertising and Printing	$0	0%	$0	
	Audit Fee	$0	0%	$7	
	Other Operating Expenses (taxes, Phone, electric, heat, admin, supplies, etc)	$0	0%	$6,265	
	CONTRACTED SERVICES: If the contract is LUMP SUM based on cost per mile, per hour, or other unit of measurement please enter total contract amount here and attach a breakout of how the value was derived (ie: contractor's anticipated expenses).		0%		
	TOTAL: Purchase of Transp.Service Agreements/Contracts	$447,892	6%	$476,910	

2	**"OPERATING EXPENSES" page 1 (2p)**	2015	%	2016	
	***Other Operating Expenses* (2p)** (enter actual expenses for each year based on descriptions below.)	Expense	Change	Expense	
	Travel, training, testing, uniforms		0%		
	Drug and Alcohol oversight expenses		0%		
	General Safety and Security expenses		0%		
	Other misc.		0%		

3	**"REVENUE FROM TRANSIT SERVICES (STOA eligible)" page 1 (4c&d)**	2015	2015	2016	2016
	Third party passengers reimbursed on a per-passenger basis and eligible for STOA (4c&d) (if needed enter other contract descriptions, passengers, and revenue generated in blank cells below)	Pass	Revenue	Pass	Revenue
	Department of Social Services (DSS)		$0		$0
	Department/Office of Aging (OFA)		$0		$0
	ARC		$0		$0
	Senior Housing		$0		$0
	Nursing Homes		$0		$0
	DOH NEMT		$0		$0
	Other Contracts (Private Business, etc)		$0		$0
	(UCP, ARC, LORETTO, CHBS, YMCA, DSO, RCIL, PSYCH CENTER, AVA)	24,976	$186,109	25,287	$188,370
			$0		$0
			$0		$0

4	**"OTHER SUBSIDIES & REVENUES" page 1 (7c)(not included in 4d)**	2015	%	2016	
	Contract Revenue (7c) (Contracted subsidy or revenue received from an agency or organization which is not on a per passenger basis. Enter description below, and revenue under appropriate year column)	Revenue	Change	Revenue	
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		
			0%		

5	**"OTHER SUBSIDIES & REVENUES" Page 1 (7i-l)**	2015	%	2016	
	Other revenue (Federal, 7h) (enter amount next to source)	Revenue	Change	Revenue	
	5311 Supportive Employment Services		0%		
	Surface Transportation Program (STP) / Congestion Mitigation and Air Quality Improvement Program (CMAQ)		0%		
	Other federal program funds (5307, 5309, etc)		0%		
	Other		0%		
	Other revenue (State, 7i) (enter amount next to source)	Revenue	Revenue	Revenue	
	Transportation Assistance for Needy Families (TANF) Community Solutions for Transportation (CST)		0%		
	New York State Energy & Research Development Authority (NYSERDA)		0%		
	State Planning Resources		0%		
	Other		0%		
	Other revenue (Local Government, 7j)(enter amount next to source)	Revenue	Revenue	Revenue	
	County/City General Fund		0%		
	Rental income, refunds		0%		
	Mortgage Recording Tax		0%		
	Other		0%		
	Other revenue (Third Party, etc.7k) (enter amount next to source)	Revenue	Revenue	Revenue	
	Third Party Operator Contributions (charter, etc)		0%		
	Sales, refunds, interest		0%		
	Other		0%		
	BIRNIE	$60,002	-3%	$58,418	
6	**SOURCES OF LOCAL 18B MATCH (Government).** State the source(s) of leveraged local match below, and enter the match amount to the right.				
	General Fund		0%		
			0%		
			0%		
			0%		
			0%		
	SOURCES OF LOCAL 18B MATCH (Third Party) State the source(s) of leveraged local match below, and enter the match amount to the right.				
	Contract Stops		0%		
	Third Party Operator Contributions (charter, etc)		0%		
	Sales, refunds, interest		0%		
	Other		0%		
	ARC	$21,195	2%	$21,592	

FORMULA CALCULATION FOR MAXIMUM 5311 FUNDS. NO ENTRY REQUIRED HERE.

	MAXIMUM AMOUNT OF 5311 FUNDS AVAILABLE	2015	%	2016
a	50% of Net Operating Deficit	$128,366	10%	$141,724
b	5311 & SES Allocation	$120,000	13%	$135,000
c	Unfunded deficit	-$80,850	-19%	-$65,850
d	5311 & SES Allocation not eligible to be paid	$200,850	0%	$200,850
e	Maximum amount allowable is the least of a, b, or c	-$80,850	-19%	-$65,850
f	Prior year overpayment	$0	0%	$200,850
g	Net amount payable	-$80,850	230%	-$266,699

PART III - OPERATIONAL INFORMATION

	FARE INFORMATION		2015		2016
a	Base Fare	(Fixed Route)			
b	Base Fare	(Route Deviation)	$1.50		$1.50
c	Base Fare	(Paratransit)			
d	Base Fare	(Dial-A-Ride)			
e	Base Fare	(Commuter/Intercity)			
f	Transfer	(Fixed Route)			
g	Transfer	(Route Deviation)			
h	Transfer	(Paratransit)			
i	Transfer	(Dial-A-Ride)			
j	Transfer	(Commuter/Intercity)			
k	Other	(Fixed Route)			
l	Other	(Route Deviation)			
m	Other	(Paratransit)			
n	Other	(Dial-A-Ride)			
o	Other	(Commuter/Intercity)			

	METHOD USED TO COUNT PASSENGERS (Place an "X" below the appropriate box) MUST HAVE AN ENTRY	Trip Sheets	Sampling	Farebox	Other
		X			

	METHOD USED TO DETERMINE MILES (Place an "X" below the appropriate box) MUST HAVE AN ENTRY	Trip Sheets	Sampling	Hubometer Odometer	Other

	PEAK AND TOTAL FLEET INFORMATION		2015	%	2016
a	**PEAK FLEET**	**(Fixed Route)**		0%	
b	**PEAK FLEET**	**(Route Deviation)**	11	0%	11
c	**PEAK FLEET**	**(Paratransit)**		0%	
d	**PEAK FLEET**	**(Dial-A-Ride)**		0%	
e	**PEAK FLEET**	**(Commuter/Intercity)**		0%	
f	**PEAK FLEET**		11	0%	11
g	**TOTAL FLEET**	**(Fixed Route)**		0%	
h	**TOTAL FLEET**	**(Route Deviation)**		0%	
i	**TOTAL FLEET**	**(Paratransit)**		0%	
j	**TOTAL FLEET**	**(Dial-A-Ride)**		0%	
k	**TOTAL FLEET**	**(Commuter/Intercity)**		0%	
l	**TOTAL FLEET**		0	0%	0

	OPERATIONAL INFORMATION		2015		2016
a1	Revenue Riders(STOA eligible)	(Fixed Route)		0%	
a2	Revenue Riders(STOA eligible)	(Route Deviation)	31,200	1%	31,600
a3	Revenue Riders(STOA eligible)	(Paratransit)		0%	
a4	Revenue Riders(STOA eligible)	(Dial-A-Ride)		0%	
a5	Revenue Riders(STOA eligible)	(Commuter/Intercity)		0%	
b1	Revenue transfer (STOA Eligible)	(Fixed Route)		0%	
b2	Revenue transfer (STOA Eligible)	(Route Deviation)		0%	
b3	Revenue transfer (STOA Eligible)	(Paratransit)		0%	
b4	Revenue transfer (STOA Eligible)	(Dial-A-Ride)		0%	
b5	Revenue transfer (STOA Eligible)	(Commuter/Intercity)		0%	
c	**TOTAL STOA ELIGIBLE RIDERS**		31,200	1%	31,600
d1	Non-Revenue Riders (Non-STOA Eligible)	(Fixed Route)		0%	
d2	Non-Revenue Riders (Non-STOA Eligible)	(Route Deviation)		0%	
d3	Non-Revenue Riders (Non-STOA Eligible)	(Paratransit)		0%	
d4	Non-Revenue Riders (Non-STOA Eligible)	(Dial-A-Ride)		0%	
d5	Non-Revenue Riders (Non-STOA Eligible)	(Commuter/Intercity)		0%	
e1	Non-Revenue Transfers (Non-STOA Eligible)	(Fixed Route)		0%	
e2	Non-Revenue Transfers (Non-STOA Eligible)	(Route Deviation)		0%	
e3	Non-Revenue Transfers (Non-STOA Eligible)	(Paratransit)		0%	
e4	Non-Revenue Transfers (Non-STOA Eligible)	(Dial-A-Ride)		0%	
e5	Non-Revenue Transfers (Non-STOA Eligible)	(Commuter/intercity)		0%	
f	**TOTAL NON STOA ELIGIBLE RIDERS**		0	0%	0
g	**TOTAL STOA / NON STOA ELIGIBLE RIDERS**		31,200	1%	31,600
h1	Revenue Vehicle Miles (STOA Eligible)	(Fixed Route)		0%	
h2	Revenue Vehicle Miles (STOA Eligible)	(Route deviation)	276,114	0%	276,400
h3	Revenue Vehicle Miles (STOA Eligible)	(Paratransit)		0%	
h4	Revenue Vehicle Miles (STOA Eligible)	(Dial-A-Ride)		0%	
h5	Revenue Vehicle Miles (STOA Eligible)	(Commuter/Intercity)		0%	
	TOTAL VEHICLE MILES (STOA Eligible)		276,114		276,400
i1	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Fixed Route)		0%	
i2	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Route deviation)		0%	
i3	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Paratransit)		0%	
i4	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Dial-A-Ride)		0%	
i5	Non Revenue & Deadhead Miles (Non-STOA Eligible)	(Commuter/Intercity)		0%	
	TOTAL VEHICLE MILES (NON-STOA Eligible)		0		0
i6	Charter/School/Contract Miles (Non-STOA-Eligible)			0%	
j	**TOTAL VEHICLE MILES**		276,114	0%	276,400
k1	Revenue Vehicle Hours (STOA Eligible)	(Fixed Route)		0%	
k2	Revenue Vehicle Hours (STOA Eligible)	(Route deviation)	10,397	-1%	10,343
k3	Revenue Vehicle Hours (STOA Eligible)	(Paratransit)		0%	
k4	Revenue Vehicle Hours (STOA Eligible)	(Dial-A-Ride)		0%	
k5	Revenue Vehicle Hours (STOA Eligible)	(Commuter/Intercity)		0%	
L1	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Fixed Route)		0%	
L2	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Route Deviation)		0%	
L3	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Paratransit)		0%	
L4	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Dial-A-Ride)		0%	
L5	Non-Revenue & Deadhead Hours (Non-STOA Eligible)	(Commuter/Intercity)		0%	
L6	Charter/School/Contract Hours (Non-STOA Eligible)			0%	
m	**TOTAL HOURS**		10,397	-1%	10,343
n	Enter NUMBER OF EMPLOYEES **(any employee directly involved in transit)**		22	0%	22

	SAFETY DATA (Report Incidents, Fatalities, and Injury to right)	
o1	Total Incidents (Fatality, Injury, or property damage $25,000 or greater)	This information will be required on annual reports only.
	Total Fatalities (Loss of Life)	
o3	Total Injuries (Requires immediate medical attention)	

Applicant:	ONEIDA COUNTY	Name of Federal Cognizant or other approving agency	

INDIRECT COST WORKSHEET
COST ALLOCATION PLAN

The purpose of a cost allocation plan is to summarize, in writing, the methods and procedures that an organization will use to allocate costs to various programs, grants, contracts and agreements. Only costs that are allowable, in accordance with the cost principles, will be allocated to benefiting the associated federal programs of this report.

Title 2 CFR part 225, also known as, OMB Circular A–87, "Cost Principles for State, Local, and Indian Tribal Governments," requires grantees who intend to seek payment for indirect costs to prepare a CAP or an Indirect Cost Rate Proposal. CAPs and/or Indirect Cost Rate Proposals must be approved by FTA or another cognizant Federal agency.

Definitions: *Indirect costs, as defined in 2 CFR part 225, are costs that are: (1) Incurred for a common or joint purpose benefiting more than one cost objective; (2) Not readily assignable to the cost objectives specifically benefited, without effort disproportionate to the results achieved; and (3) Originating in the grantee department as well as those incurred by other departments in supplying goods, services, and facilities to the grantee department.*

Examples of indirect costs are administrative, operational, and expenses of unit heads and their immediate staff. Principles and standards for determining costs applicable to grants and contracts with grantees or other State or local agencies are presented in 2 CFR part 225, and the appropriate Department of Health and Human Services (DHHS) publication, ASMB C–10.

Indirect Cost Pool

(Enter total cost of administrative services that are distributed across federal grant and contract programs, this number will be used for both budget years.)

Performing Unit	Unit Costs	Number of Units	Total Expenditures	Allocation Method	Percent (%) Transit Allocation	Total Services Costs Allocated to Transit
Personnel	$38,122.56	1.00	$38,122.56	Other	100	$38,122.56
Accounting			$0.00			$0.00
Purchasing			$0.00			$0.00
Finance			$0.00			$0.00
Audit	$358.66	1.00	$358.66	Other	100	$358.66
Other	$162,368.99	1.00	$162,368.99	Other	100	$162,368.99
			$0.00			$0.00
			$0.00			$0.00
			$0.00			$0.00
Totals			$200,850.22		100.00%	$200,850.22

DETAILED FARE TYPE

Type of Fare Product (fares, tokens, bus pass, etc.)	Number	% of Fare Paying
Cash	2500	100%
Tokens (Explain Type:)		0%
Driver Manifest		0%
Other(Explain:)		0%
Other(Explain:)		0%
Other(Explain:)		0%
Other(Explain:)		0%
Other(Explain:)		0%
TOTAL	2500	

SECTION 5311 VEHICLE REQUEST FORM

Sponsor:	**ONEIDA COUNTY**	***Instructions*** *: Summarize the quantity, description, and estimated cost for each type of vehicle being requested. Enter into clear cells only, the rest of the document is locked from entry. All other cells are formula driven and will self calculate.*	
Operator 1:	Birnie Bus Tours, Inc.	Operator 2:	Not Applicable
	Operator name as shown on "General Information" Tab		*Operator name as shown on "General Information" Tab*
Operator 3:	Not Applicable	Operator 4:	Not Applicable
	Operator name as shown on "General Information" Tab		*Operator name as shown on "General Information" Tab*

Request Number	Operator Number	Description of Vehicles Requested	Number of Requests	Unit Cost (Enter from Price Chart Below	Total Cost	Service Type	New or Replacement Vehicle	Planned Procurement Method	Replaced Vehicle VIN(s) (Separate VINS with a comma)
Request 1	Operator 1	Service Vehicle	1	$39,000	$39,000	Other	Replacemer	BID	OCRT: 1FTWF315X8EE26717
Request 2	Operator 1	OGS III or Similar (Seating 18/2	4	$75,000	$300,000	Deviation	Replacemer	OGS	OCRT: 1GB9G5A69A1122052, 1GB9G5A63A11
Request 3					$0				
Request 4					$0				
Request 5					$0				
Request 6					$0				
Request 7					$0				
Request 8					$0				

Vehicle Project Budget Summary	
Total Estimated Project Cost	$339,000
Federal Share	$271,200
State Share	$33,900
Local Share	$33,900
Source of Local Share	Operator Revenu
If Other, specify here	

PRICE CHART - Vehicle Cost Estimates	
OGS I or Comparable ($55,000)	OGS VII or Comparable ($40,000)
OGS II or Comparable ($60,000)	Trolley ($150,000)
OGS III or Comparable ($75,000)	Commuter Coach/Intercity Bus ($550,000)
OGS IV or Comparable ($150,000)	Service Vehicle ($50,000)
OGS V or Comparable ($250,000)	Other (Provide Written Cost Estimate)
OGS VI or Comparable ($115,000)	
Please provide an estimate (price quote) if using different estimates than those listed above. Supporting documentation must accompany request.	

23

SECTION 5311 Mobility Management REQUEST FORM

Sponsor:	ONEIDA COUNTY	***Instructions*** *: Summarize the description, and estimated cost for each type of costs being requested. Enter into clear cells only, the rest of the document is locked from entry. All other cells are formula driven and will self calculate.*

Proposed Mobility Management Entity/Applicant Name:	ElderLife, Inc.
Contact Name & Title:	Kelly Walters, Executive Director
Address:	220 Memorial Parkway
	Utica, NY 13501
Phone:	315-223-3973
Fax:	315-223-3974
Email:	kwalters@theparkwaycenter.org

Please fill in below a narrative of the Mobility Management Project:

The goal of the Oneida and Herkimer County mobility management program is to provide education to individuals about the available transportation programs and services within the rural parts of the Counties that will enable them to meet their transportation basic needs. This includes access to medical appointments and employment as well as grocery stores and social activities. Further, this program will target senior citizens, low-income individuals, people with disabilities, communities of color and individuals with limited English proficiency. In person workshops, peer based travel training, a comprehensive website and printed materials will be utilized to help reach the intended audiences. These materials will be distributed at senior centers, independent living facilities, low-income housing facilities and places of employment.

PROJECT TERM DATES (If Different than CY 2015 or 2016):	

Personnel	Hours Per Week (2015)	Cost ($) Per Hour	# Weeks Per Year Used (2015)	2015 Estimated Budget	Hours Per Week (2016)	Cost ($) Per Hour	# Weeks Per Year Used (2016)	2016 Estimated Budget
Mobility Manager	33	$19.81	52	$34,251	33.25	$19.81	52	$34,251
Other:	33	$14.84	52	$25,658	33.25	$14.84	52	$25,658
Other:	15	$19.80	52	$15,444	15	$19.80	52	$15,444
Total Personnel				$75,354				$75,354
FRINGE (health, pension, etc.)								
Type:				$3,990				$3,990
Type:				$2,989				$2,989
Type:				$1,800				$1,800
Total Fringe Benefits				$8,779				$8,779
STAFF TRAVEL COSTS								
Travel Mileage				$1,000				$1,000
Other Travel Costs				$2,500				$2,500
OUTREACH COSTS								
Education/Training				$2,000				$2,000
Meeting Expenses				$0				$0
Print				$2,000				$2,000
Advertising/Marketing				$3,000				$3,000
Other (Please specify): Web/Tech Support				$7,800				$7,800
FACILITIES COSTS								
Rent				$0				$0
Utilities				$0				$0
Telephone/Internet				$1,262				$1,262
Equipment				$0				$0
Supplies				$1,500				$1,500
Other (Please specify):				$5,000				$5,000
Total Expenses				$110,195				$110,195

Project Budget	
Total Estimated Project Cost	$220,390
Federal Share	$176,312
State Share	$22,039
Local Share	$22,039
Source of Local Share	
If Other, specify here	

PROCEED TO TAB 3C

IN-KIND VALUATION FORM TO DOCUMENT LOCAL MATCH

Date of Contribution	Detailed Description of Items or Service	Purpose of Contribution	Real or Approximate Value ($) of Contribution	How was Value Determined? (i.e. actual appraisal, fair market value, independent cost estimate (ICE). Provide formulas/methodologies or assumptions used for determining the Costs.	Name of Person and Agency responsible for Value Determination?	Was contribution obtained with or supported by Federal Funds?
	Rent/Internet	In Kind Match	$13,000	Fair Market Value of Space Used by Progran	City of Utica	no
	Support Staff	In Kind Match	$5,190	Support Staff time allocated to Program (Exe	ElderLife, Inc.	No
	Volunteers assisting with Program	In Kind Match	$6,427	Federal Volunteer Rate ($22.55 per hour x 3	ElderLife, Inc.	no
	TOTAL		$24,617			

Note: The total in-kind contribution does not transfer to TAB 3B - Mobility Management. This form is to document in-kind match to support some or all of the local match requirement on Tab 3B.

SECTION 5311 CURRENT FLEET/FACILITY INVENTORY

FACILITY

Operator #	Facility #	Ownership Code	Street Address	City
1	1			
	2			
	3			

FLEET

Operator #	VIN	Make	Model	Year of Manufacture	Vehicle Type	Vehicle Length (In Feet)	Seating Capacity	Wheelchair Positions	Condition	Vehicle Status	Mileage	Ownership Code	Largest Source of Funding for Purchase/Lease of Vehicle
	OCRT VEHICLES												
1	1FTWF315X8EE26717	FORD	F350	2008	AO		3	0	4=Needs Replace	Reserve	58088	Leased By Public Agency for Servic	FTA
1	1GB9G5A69A1122052	CHEV	4500	2010	BU	30	23	3	4=Needs Replace	Active Route Deviation	98281	Leased By Public Agency for Servic	FTA
1	1GB9G5A63A1122046	CHEV	4500	2010	BU	30	23	3	4=Needs Replace	Active Route Deviation	59067	Leased By Public Agency for Servic	FTA
1	1GB9G5A69A1122374	CHEV	4500	2010	BU	30	23	3	4=Needs Replace	Active Route Deviation	94176	Leased By Public Agency for Servic	FTA
1	1GB9G5A65A1121934	CHEV	4500	2010	BU	30	23	3	4=Needs Replace	Active Route Deviation	76362	Leased By Public Agency for Servic	FTA
1	4DRASSKN3EH021853	IC	HC	2014	BU	31	24	5	1=Excellent	Active Route Deviation	20928	Leased By Public Agency for Servic	FTA
1	4DRASSKN5EH021854	IC	HC	2014	BU	31	24	5	1=Excellent	Active Route Deviation	27044	Leased By Public Agency for Servic	FTA
1	4DRASSKN7EH021855	IC	HC	2014	BU	31	24	5	1=Excellent	Active Route Deviation	26304	Leased By Public Agency for Servic	FTA
1	1FDXE45P56DA72743	FORD	E450	2006	BU	30	20	3	3=Poor	Disposed	167278	Leased By Public Agency for Servic	FTA
1	1FDXE45P36DA72742	FORD	E450	2006	BU	30	20	3	3=Poor	Disposed	184832	Leased By Public Agency for Servic	FTA
1	1FDXE45P16DA72741	FORD	E450	2006	BU	30	20	3	3=Poor	Disposed	145618	Leased By Public Agency for Servic	FTA
1	1FDXE45PX6DA72740	FORD	E450	2006	BU	30	20	3	3=Poor	Disposed	190443	Leased By Public Agency for Servic	FTA
1	1HVBTAFM56H187143	IC	3400	2005	BU	30	32	2	3=Poor	Disposed	233911	Leased By Public Agency for Servic	FTA
1	1HVBTAFM76H187144	IC	BE	2005	BU	30	32	2	3=Poor	Disposed	205816	Leased By Public Agency for Servic	FTA
	4-CTY VEHICLES												
1	1M8SDMPAX1P054290	MCI	D4000	2001	BR	50	47	2	4=Needs Replace	Active Fixed Route	875807	Leased By Public Agency for Servic	FTA
1	1M8PDMPA65P056582	MCI	D4500	2005	BR	50	55	2	4=Needs Replace	Active Fixed Route	718199	Leased By Public Agency for Servic	FTA
1	1M8PDMHA6AP059393	MCI	D4500	2010	BR	50	55	0	1=Excellent	Active Fixed Route	364615	Leased By Public Agency for Servic	FTA
1	1M8PDMHA8AP059394	MCI	D4500	2010	BR	50	55	0	1=Excellent	Active Fixed Route	338004	Leased By Public Agency for Servic	FTA
1	1M8PDMBA5EP013276	MCI	D4500	2014	BR	50	55	2	1=Excellent	Active Fixed Route	81486	Leased By Public Agency for Servic	FTA
1	1M8SDMPA82P054404	MCI	D4000	2002	BR	50	47	2	3=Poor	Disposed	740180	Leased By Public Agency for Servic	FTA

EXHIBIT 1

DOCUMENTATION OF PUBLIC PARTICIPATION AND COORDINATION REQUIREMENTS

Sponsor: ONEIDA COUNTY

Complete and submit this checklist along with documentation to insure requirements for public participation have been met. *NOTE: Intercity Bus Operators DO NOT need to complete this section.*

X	Completed description of Section 5311 Coordination Efforts With Locally Developed, Coordinated Public Transit Human Services Transportation Plans (Bottom half of page)
X	Copy of Public Notice paid advertisement(s)
X	List of 1) private bus and taxi companies, 2) public transportation operators, and 3) human service agencies to whom Public Notice was sent
	Copies of comments, proposals, or other communications received in response to the Public Notice or paid ad from operators, the public, human service agencies or any other respondents
	Copies of your responses to any comments or proposals received and any follow-up documentation, including a hearing record (if one was held)
	If no comments were received, the following certification should be signed:

I certify, on behalf of the applicant, that no comments or responses to the Public Notice were received.

Name: Dana Crisino Title: HOCTS Program Manager

Signature: [signature] Date: 2/27/15

SECTION 5311 COORDINATION EFFORTS WITH LOCALLY DEVELOPED, COORDINATED PUBLIC TRANSIT HUMAN SERVICES TRANSPORTATION PLANS

COORDINATION. Now, only one Federal Transit Administration (FTA) formula program—the Enhanced Mobility of Seniors and Individuals with Disabilities Program (Section 5310), require that projects must be derived from a locally developed, public transit-human services transportation plan. FTA requires public transit systems funded under the Section 5311 formula program to participate in the local planning process for coordinated public transit-human service transportation in those areas applying for funds under Sections 5310. To the extent that funding remains available in the previous FTA formula programs, Jobs Access & Reverse Commute (JARC) (Section 5316) and New Freedom (Section 5317), then projects under 5316 and 5317 must still be included in the Coordinated Plan.

Beyond the specific coordinated planning requirements listed above, Section 5311 also requires coordination with transportation assistance under other Federal programs. The Section 5311 program of projects must provide "the maximum feasible coordination of public transportation service [assisted under Section 5311] with transportation assisted by other Federal sources."

Describe how the municipality and/or the transit system are participating in the local planning process:

See Attachment "Exhibit1narrative1516"

What is the status of the current Plan and has an update occurred in your geographic area? If so, please submit an electronic copy.

See Attachment "Exhibit1narrative1516"

What efforts are made to coordinate services with human service or other agencies in your geographic area?

See Attachment "Exhibit1narrative1516"

26a

If further space is needed please attached additional documents to this form.

EXHIBIT 2

FEDERAL FISCAL YEAR 2015 CERTIFICATIONS AND ASSURANCES FOR TRANSIT ASSISTANCE PROGRAMS

ONEIDA COUNTY	PLEASE READ	FEDERAL FISCAL YEAR 2014 CERTIFICATIONS AND ASSURANCES LINK BELOW By signing below you are certifying you have read these Certifications and Assurances http://ftateamweb.fta.dot.gov/static/CertsAndAssurance/2015-Certs-TEAM.pdf
Applicant		

The Applicant agrees to comply with applicable provisions of Categories 1 - 24 below *(If so, check to the right).* [X]

OR

The Applicant agrees to comply with applicable provisions of the Categories it has selected below:

	Description		Description
	1. Required Certifications and Assurances for Each Applicant		13. Fixed Gudieway Modernization Grant Program
	2. Lobbying		14. Bus and Bus Facilites Formula Grants Program and Bus and Bus Related Equipment and Facilities Grant Program(Discretionary)
	3. Procurement and Procurement Systems		15. Urbanized Area Formula Grants Programs, Passenger Ferry Grants Program, and Job Access and Reverse Commute(JARC) Program
	4. Private Sector Protections		16. Seniors/Elderly/Individuals with Disabilites Programs/New Freedom Program
	5. Rolling Stock Reviews and Bus Testing		17. Rural/Other Than Urbanized Areas/Appalachian Development/Over-the-Road Bus Accessibility Programs
	6. Demand Responsive Service		18. Tribal Transit Programs (Public Transportation on Indian Reservations Programs)
	7. Intelligent Transportation Systems		19. Low or No Emission/Clean Fuels Grant Programs
	8. Interest and Financing Costs and Acquisition of Capital Assets by Lease		20. Paul S. Sarbanes Transit in Parks Program
	9. Transit Asset Management Plan and Public Transportation Agency Safety Plan		21. State Safety Oversight Program
	10. Alcohol and Controlled Substances Testing		22. Public Transportation Emergency Relief Program
	11. Fixed Guideway Capital Investment Grants Program(New Starts, Small Starts, and Core Capacity) and Capital Investment Program in Effect before MAP-21 Became Effective		23. Expedited Project delivery Pilot Program
	12. State of Good Repair Program		24. Infrastructure Finance Programs

AFFIRMATION OF APPLICANT

ONEIDA COUNTY	Anthony J. Picente Jr.
Applicant	*Name and Relationship of Authorized Representative*

BY SIGNING BELOW, on behalf of the Applicant, I declare that the Applicant has duly authorized me to make these Certifications and Assurances and bind the Applicant's compliance. Thus, the Applicant agrees to comply with all Federal statutes and regulations, and follow applicable Federal directives, and comply with the certifications and assurances as indicated at the link above, as applicable to each application it makes to the Federal Transit Administration (FTA) in Federal Fiscal Year 2015, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent the Applicant.

FTA intends that the certifications and assurances the Applicant selects, as representative of the certifications and assurances in this document, should apply, as provided, to each project for which the Applicant seeks now, or may later, seek FTA assistance during Federal Fiscal Year 2015

The Applicant affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986,31 U.S.C. 3801 et seq., and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31 apply to any certification, assurance or submission made to FTA. The criminal fraud provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with a Federal public transportation program authorized in 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Signature: _____ Date: _____

AFFIRMATION OF APPLICANT'S ATTORNEY

(Each Applicant for financial assistance and an active project must provide an Affirmation of Applicant's Attorney pertaining to legal capacity.)

ONEIDA COUNTY	Harris Samuels, Assistant County Attorney
Applicant	*Name of Attorney for Applicant*

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under State, local, or tribal government law, as applicable, to make and comply with the certifications and assurances as indicated at the link above. I further affirm that, in my opinion, the certifications and assurances have been legally made and constitute legal and binding obligations on the Applicant.

I further affirm to the Applicant that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these certifications and assurances, or of the performance of the project.

Signature: _____ Date: _____

Print: _____

Attorney for Applicant

Each Applicant for FTA funding and each FTA Grantee with an active Capital or Formula Project must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its signature in lieu of the Attorney's signature, provided the Applicant has on file this Affirmation, signed by the attorney and dated this Federal fiscal year.

THIRD PARTY SIGNATURE (IF APPLICABLE)

Operator 1	Birnie Bus Tours, Inc.
Operator 2	
Operator 3	
Operator 4	
Mobility Mgr	ElderLife, Inc.

Third Party Applicant(s)

Operator 1	Timothy Birnie, President
Operator 2	
Operator 3	
Operator 4	
Mobility Mgr	Kelly Walters, Executive Director

Name and Relationship of Authorized Representative(s)

BY SIGNING BELOW, on behalf of the Applicant, I declare that the Applicant has duly authorized me to make these certifications and assurances and bind the Applicant's compliance. Thus, the Applicant agrees to comply with all Federal statutes and regulations, and follow applicable Federal directives, and comply with the certifications and assurances as indicated at the link above, applicable to each application it makes to the Federal Transit Administration (FTA) in Federal Fiscal Year 2015

FTA intends that the certifications and assurances the Applicant selects, as representative of the certifications and assurances in this document, should apply, as provided, to each project for which the Applicant seeks now, or may later, seek FTA assistance during Federal Fiscal Year 2015.

The Applicant affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986,31 U.S.C. 3801 et seq., and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31 apply to any certification, assurance or submission made to FTA. The criminal fraud provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with a Federal public transportation program authorized in 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Operator 1: _____ Date 2/24/15

Operator 2: _____ Date __/__/__

Operator 3: _____ Date __/__/__

Operator 4: _____ Date __/__/__

Mobility Mgr: Kelly Walters Date 2/24/15

EXHIBIT 3

Section 5333(b) Documentation and Agreement by Recipient to Terms and Conditions of the Special Section 5333(b) Warranty

Recipient Information
ONEIDA COUNTY
800 PARK AVENUE
UTICA, NY 13501

Project Description		
Operating Assistance 2015	*From 1/1/2015 To 12/31/2015*	X
Operating Assistance 2016	*From 1/1 /2016 To 12/31/2016*	X
Capital Assistance 2015-16		X

List all current operators of public transportation (including your system), intercity operators, and corresponding labor organizations (if applicable) representing the employees of the providers in the above service area that are eligible or potentially eligible recipients of federal Section 5311 funding assistance, even if they are not currently recipients. Exclude human service agency providers from this list unless they provide transportation to the general public; exclude taxi operators unless they provide shared-ride transportation on a regular and continuing basis.

Public Transportation Operators	*Labor Organizations* (Enter or Select Union from right, or enter N/A)	*Intercity Unions (For Reference Only)* Operator	Union
Birnie Bus Tours/Service, Inc.	*200 United*	*Adirondack Transit Lines, Inc.*	*UTU*
		Birnie Bus Tours/Service	*200 United*
		Butler Motor Transit, Inc.-Erie Division, a Coach USA Company	*ATU*
		Bonanza Bus	*ATU*
		Chenango Valley Bus Lines, Inc.	*ATU*
		First Transit, Inc.(NCE)	*Teamsters*
		Fullington Auto Bus Company/Trailways	*No Union*
		Greyhound	*ATU*
		Lake Front Trailways	*No Union*
		Passenger Bus Corporation	*Teamsters*
		Peter Pan Bus Lines	*ATU*
		Pine Hill-Kingston Bus Corporation	*UTU*
		Shortline/Coach USA	*TWU*
		Megabus	*Production Workers Union*

Certification I certify for the Recipient that, with respect to the subject Section 5311 project, I have reviewed the Special Section 5333(b) Warranty for the Section 5311 program including the Model Agreement which is a part thereof, and with full knowledge of the terms and conditions of said Warranty and Model Agreement, I certify that the Recipient agrees to comply with the terms and conditions of said Warranty and Model Agreement for the duration of the Section 5311 project and, further, that these terms and conditions will be a part of any and all agreements and contracts between or among the Federal Government, the State of New York, the Project Applicant and the Recipient, entered into with respect to the subject Section 5311 project.

Also, I acknowledge that, for the purposes of the Special Section 5333(b) Warranty for the Section 5311 Program, the State of New York is neither the legally nor financially responsible party under the Special Warranty, and the State assumes no special obligations under the Special Warranty that are not otherwise part of its normal obligations as a grant administering agency.

Name: Anthony J. Picente, Jr.

Title: Oneida County Executive

Signature _____

Date: _____

Link to Special Section 5333(b) Warranty:

http://www.dol.gov/olms/regs/compliance/transit/07_Special_Warranty.htm

IF APPLICABLE: THIRD PARTY REQUIRED SIGNATURE

The "Third Party Contractors" hereby certifies, as a condition of receiving federal assistance for the above project under Section 5311, of Title 49, United States Code, that compliance is required with Exhibit 3, Special Section 5333(b) Warranty for the Section 5311 Program and further certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification.

Third Party Operator/Contractor		***Name and Relationship of Authorized Representative(s)***	
Operator 1	Birnie Bus Tours, Inc.	Operator 1	Timothy Birnie, President
Operator 2		Operator 2	
Operator 3		Operator 3	
Operator 4		Operator 4	
Mobility Mgr	ElderLife, Inc.	Mobility Mgr	Kelly Walters, Executive Director

Third Party Signature(s):

Operator 1:	Date 2/24/15
Operator 2:	Date __/__/__
Operator 3:	Date __/__/__
Operator 4:	Date __/__/__
Mobility Mgr: Kelly Walters	Date 2/24/15

29.

EXHIBIT 4

Title VI/DBE Activities Assurance

Applicant Information
ONEIDA COUNTY
800 PARK AVENUE
UTICA, NY 13501

Project Description		
Operating Assistance 2015	From 1/1/2015 To 12/31/2015	X
Operating Assistance 2016	From 1/1/2016 To 12/31/2016	X
Capital Assistance 2015-16	From 1/1/2015 To 12/31/2016	X

Title VI Update

1) The above named applicant certifies to filing with NYSDOT the FTA Certifications and Assurances for FTA Assistance, including the Nondiscrimination Assurance (included in this application as Exhibit 2).		
2) In the past three (3) years, has any investigation, lawsuit or complaint alleging discrimination been filed against the applicant or any sub-recipient? If yes, please attach a concise description and outcome.	☐ Yes	☑ No
3) In the past three (3) years, have any Civil Rights Compliance Review Activities or investigations been conducted? If yes, please attach a summary.	☐ Yes	☑ No
4) Does your agency have a Title VI Plan? If yes, provide documentation	☑ Yes	☐ No
5) Does your agency have Complaint Procedures? If yes, provide documentation	☑ Yes	☐ No
6) Does your agency have a Notification and Public Outreach process? If yes, provide documentation	☑ Yes	☐ No

FTA Civil Rights Guidance Link:
http://www.fta.dot.gov/civil_rights.html

NYSDOT Civil Rights Program & Technical Guidance:
https://www.dot.ny.gov/divisions/policy-and-strategy/public-transportation/civilrights

If you answered no to question 4, you may develop your own or adopt NYSDOT's Civil Rights Statement and Complaint Procedures. Please contact your representative and visit (link).
(See page 2 of this exhibit for a sample statement.)

DBE Update

DBE Guidance Link: http://www.fta.dot.gov/civilrights/12989.html

Sub-recipients who receive less than $250,000 in combined capital and operating assistance within the same fiscal year contract period must submit annual reports on its DBE contracting activities.

Submit the above required reports to your Transit Liaison at: Public Transportation Bureau, 50 Wolf Road, POD 54, Albany, New York 12232

FTA reserves the right to require quarterly reports from a state or sub-recipient which fails to achieve its approved goal or which is implementing a major construction project.

At a minimum, the "good faith efforts" include:

1) *Identification of all contracting opportunities, if any, associated with the FTA assistance. The overall project budget might serve as the overall source document for this step.*
2) *Analysis of DBE availability (known and projected) to provide the products or services identified for contracting at either the prime or subcontract levels. This step includes making contact with organizations which may be expected to have information regarding availability including at a minimum, the Small Business Administration and the Minority Business Development Agency (U.S. Department of Commerce).*
3) *Establishment of contract goals for all FTA-assisted contracts based upon the availability analysis.*
4) *Use of good faith efforts to achieve contract goals established. Good faith efforts criteria are located in 49 CFR Part 26, Appendix A.*
5) *Use of DBE firms certified under the eligibility criteria of 49 CFR Part 26.*
6) *Recordkeeping and reporting sufficient for verification of steps taken and participation achieved.*

CERTIFICATION

Anthony J. Picente, Jr.	County Executive	
Signature of Chief Elected or Designated Official	*Title*	*Date*

IF APPLICABLE: THIRD PARTY REQUIRED SIGNATURE

The "Third Party Contractors" hereby certifies, as a condition of receiving federal assistance for the above project under Section 5311, of Title 49, United States Code, that compliance is required with Exhibit 4, Title VI/DBE Activities Assurance, and further certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Sections 3801 et seq. are applicable.

Third Party Operator/Contractor		*Name and Relationship of Authorized Representative(s)*	
Operator 1	Birnie Bus Tours, Inc.	Operator 1	Timothy Birnie, President
Operator 2		Operator 2	
Operator 3		Operator 3	
Operator 4		Operator 4	
Mobility Mgr	ElderLife, Inc.	Mobility Mgr	Kelly Walters, Executive Director

Third Party Signature(s):

Operator 1: ~~Terry Inkawhich~~, Birnie Bus Tours, Inc., ~~Vice President of Finance CFO~~	Date __/__/__
Operator 2: Tim Birnie Pres.	Date __/__/__
Operator 3:	Date __/__/__
Operator 4:	Date __/__/__
Mobility Mgr: Kelly Walters	Date 2/24/15

30

2015 -2016 Allocations for 5311 Operating, Appalachian Development and Supportive Employment Services

SPONSOR (Sub-Recipient)	2015 5311 Operating Allocations	2015 Appalachian Development Allocations	2015 5311 SES Allocations		2016 5311 Operating Allocations	2016 Appalachian Development Allocations	2016 5311 SES Allocations	DOT System Specialist
Allegany County	$312,000	$12,596			$316,500	$12,620		John Reel
Broome County	$87,500	$13,451	$10,000		$89,000	$13,478	$10,000	John Reel
Cattaraugus County	$0	$15,501				$15,532		John Reel
Cayuga (CNYRTA)	$185,500	$0			$192,000	$0		Chris Nweke
Chautauqua County	$353,000	$34,716			$358,500	$34,786		John Reel
Chemung County	$68,000	$5,527			$70,000	$5,538		John Reel
Chenango County	$101,500	$12,990			$111,500	$13,016		John Reel
City of Amsterdam	$164,000	$0			$166,500	$0		Dick Lenseth
City of Corning	$97,000	$0			$102,000	$0		John Reel
City of Gloversville	$89,000	$0	$200,000		$98,000	$0	$200,000	Dick Lenseth
City of Hornell	$129,500	$0	$128,500		$131,500	$0	$128,500	John Reel
City of Olean	$153,000	$0			$155,000	$0		John Reel
City of Oneonta	$124,500	$0			$126,500	$0		Chris Nweke
Clinton County	$164,500	$0			$167,000	$0		Dick Lenseth
Columbia County	$50,000	$0			$58,000	$0		Dick Lenseth
Cortland County	$225,000	$12,696			$228,000	$12,720		John Reel
Delaware County	$0	$12,347			$0	$12,372		Chris Nweke
Dutchess County	$87,000	$0			$96,000	$0		Jonathan Cymalo
Erie (NFTA)	$65,000	$0	$0		$72,000	$0	$0	John Reel
Essex County	$138,000	$0	$285,000		$152,000	$0	$285,000	Dick Lenseth
Franklin County	$127,000	$0	$250,000		$129,000	$0	$260,000	Dick Lenseth
Fulton County	$45,000	$0			$50,000	$0		Dick Lenseth
Genesee (RGRTA)	$94,000	$0	$69,000		$103,500	$0	$70,000	John Reel
Greene County	$42,000	$0			$47,000	$0		Dick Lenseth
Lewis County	$84,500	$0			$86,000	$0		Chris Nweke
Livingston (RGRTA)	$107,000	$0			$118,000	$0		John Reel

2015 -2016 Allocations for 5311 Operating, Appalachian Development and Supportive Employment Services

SPONSOR (Sub-Recipient)	2015 5311 Operating Allocations	2015 Appalachian Development Allocations	2015 5311 SES Allocations		2016 5311 Operating Allocations	2016 Appalachian Development Allocations	2016 5311 SES Allocations	DOT System Specialist
Madison County	$107,000	$0			$110,000	$0		Chris Nweke
Monroe (RGRTA)	$50,000	$0	$0		$55,000	$0	$0	John Reel
Montgomery County	$124,500	$0	$150,000		$126,500	$0	$155,000	Dick Lenseth
Niagara County	$94,000	$0			$103,000	$0		John Reel
Oneida County	$150,000	$0			$165,000	$0		Chris Nweke
Onondaga (CNYRTA)	$61,000	$0	$0		$67,000	$0	$0	Chris Nweke
Ontario (RGRTA)	$352,000	$0			$355,000	$0		John Reel
Orange County	$300,000	$0			$304,500	$0		Jonathan Cymalo
Orleans (RGRTA)	$61,000	$0			$67,000	$0		John Reel
Oswego (CNYRTA)	$209,000	$0			$216,000	$0		Chris Nweke
Oswego County (OCO)	$60,000	$0			$66,000	$0		Chris Nweke
Otsego County	$209,000	$16,021			$212,000	$16,054		John Reel
Putnam County	$80,000	$0			$88,000	$0		Marc Boucher
Rensselaer County	$50,000	$0			$60,000	$0		Dick Lenseth
Schoharie County	$214,000	$8,428	$60,000		$217,000	$8,444	$65,000	Chris Nweke
Schuyler County	$117,000	$4,720			$119,000	$4,730		John Reel
Seneca (RGRTA)	$55,000	$0			$62,000			John Reel
Seneca Nation of Indians (SNI)	$103,000	$5,167			$104,000	$5,178		John Reel
St. Lawrence County	$128,000	$0			$140,000	$0		Dick Lenseth
Steuben County	$184,000	$25,474			$186,500	$25,525		John Reel
Sullivan County	$37,000	$0			$41,000	$0		Jonathan Cymalo
Tioga County	$78,000	$8,638			$88,000	$8,656		John Reel
Tompkins County	$236,000	$11,328			$239,500	$11,351		John Reel
Ulster County	$161,000	$0			$173,000	$0		Jonathan Cymalo
Wayne (RGRTA)	$138,000	$0	$83,000		$151,000	$0	$85,000	John Reel
Wyoming (RGRTA)	$96,000	$0			$106,000	$0		John Reel

2015 -2016 Allocations for 5311 Operating, Appalachian Development and Supportive Employment Services

SPONSOR (Sub-Recipient)	2015 5311 Operating Allocations	2015 Appalachian Development Allocations	2015 5311 SES Allocations		2016 5311 Operating Allocations	2016 Appalachian Development Allocations	2016 5311 SES Allocations	DOT System Specialist
Adirondack Trailways	$800,000	$0			$818,000	$0		Lisa Ann Shamma
Pine Hill - Kingston Trailways	$255,000	$0			$263,000	$0		Lisa Ann Shamma
Passenger Bus/New York Trailways	$220,000	$0			$226,000	$0		Lisa Ann Shamma
Hudson Transit (Shortline)	$205,000	$0			$208,000	$0		Lisa Ann Shamma
Chenango Valley Bus Lines	$212,000	$0			$218,000	$0		Lisa Ann Shamma
Butler/Coach USA	$429,000	$0			$440,000	$0		Lisa Ann Shamma
Birnie Bus	$534,000	$0			$552,000	$0		Lisa Ann Shamma
North Country Express (NCE) - First Transit	$227,000	$0			$232,000	$0		Lisa Ann Shamma
Fullington Trailways	$117,000	$0			$120,000	$0		Lisa Ann Shamma
Totals	**$9,547,000**	**$199,600**	**$1,235,500**		**$9,922,500**	**$200,000**	**$1,258,500**	

Undersheriff Robert Swenszkowski
Chief Deputy Jonathan G. Owens

Chief Deputy Gabrielle O. Liddy
Chief Deputy Dean Obernesser

Sheriff Robert M. Maciol

FN 20 15 - 106

February 19, 2015

Anthony J. Picente, Jr.
County Executive
800 Park Avenue
Utica, NY 13501

PUBLIC SAFETY

WAYS & MEANS

Reviewed and Approved for submittal to the Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 2/25/15

Dear County Executive Picente:

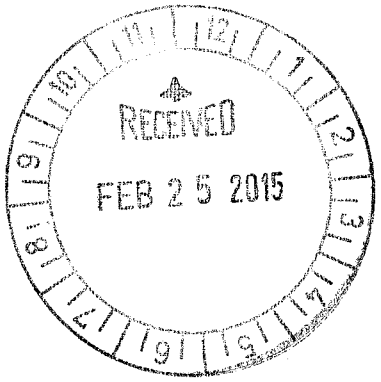
I recently learned from Deputy DPW Commissioner Mark Laramie, that the bid quotes came in higher than originally anticipated to rehabilitate the women's recreational yard in a wing of the original jail. This project is necessary to ensure the safety of the inmates housed in this area. The rehabilitation is also necessary due to the new federal regulations (PREA) which further restrict the housing of the juvenile inmate population from the general inmate population.

As a direct result of the bids being higher than originally anticipated, it is necessary to amend the capital project in order for this important rehabilitation to be completed. Fortunately; there are funds available in the Pay-As-You-Go reserve to cover the additional funding

I therefore request an amendment to **Capital Project H-458 – Sheriff – Comprehensive Correctional Facility Improvements** as follows:

	CURRENT	CHANGE	PROPOSED
Bonds	$ 332,311	$ 00	$ 332,311
Transfer From General	$ 409,000	$ 00	$ 409,000
Pay As You Go	$ 00	$ 75,000	$ 75,000
TOTAL.....	$ 741,311	$ 75,000	$ 816,311

I respectfully request that this matter be acted on at the **March 11, 2015** meeting due to the time constraints of this bidding process.



34

I would like to thank you for your time and diligent attention to this matter in advance. If you any questions, require clarification or seek additional information from me in order to help you make a decision regarding my request, please do not hesitate to contact me at any point in time.

Sincerely,

Robert M. Maciol
Oneida County Sheriff

CC: Dep. Commissioner of DPW
Comptroller
County Attorney
Budget

35.

Administrative Office
6065 Judd Road Oriskany, NY 13424
Voice (315) 736-8364
Fax (315) 765-2205

Law Enforcement Division
6065 Judd Road Oriskany, NY 13424
Voice (315) 736-0141
Fax (315) 736-7946

Correction Division
6075 Judd Road Oriskany, NY 13424
Voice (315) 768-7804
Fax (315) 765-2327

Civil Division
200 Elizabeth Street Utica, NY 13501
Voice (315) 798-5862
Fax (315) 798-6495

Anthony J. Picente, Jr
County Executive

John P. Talerico
Commissioner

ONEIDA COUNTY DEPARTMENT OF PERSONNEL

County Office Building 800 Park Avenue Utica, New York 13501-2986
Phone: (315) 798-5725 Fax: (315) 798-6490 Email: personnel@ocgov.net
Web site: www.ocgov.net

FN 20 15-107

February 24, 2015

ECONOMIC DEVELOPMENT & TOURISM

WAYS & MEANS

Anthony J. Picente, Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

Dear County Executive Picente:

Attached for your review and approval is correspondence from Kimberly Evans-Dame, Executive Director of Human Resources at Mohawk Valley Community College, requesting the addition of College Services Associate, Grade 21W (Step 1) at $28,720, to Oneida County's Classification Plan. Also attached is the job description which outlines the responsibilities and duties for this position. This position is in the Competitive class.

This position exists only at Mohawk Valley Community College, but will be included in the United Public Service Employee (UPSEU) White Collar contract. Therefore, Oneida County Board of Legislator approval is required.

If you concur, I respectfully request that this recommendation be forwarded to the Board of Legislators for their consideration.

Sincerely,

John P. Talerico
Commissioner of Personnel

Reviewed and Approved for submittal to the Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 2/27/15

RECEIVED
MAR 02 2015

Attachment

Cc: Kimberly Evans-Dame, Executive Director of Human Resources

36

Mohawk Valley Community College
1101 Sherman Drive
Utica, New York 13501-5394
www.mvcc.edu

Human Resources
315-792-5496
Fax 315-731-5858

MEMORANDUM

FEBRUARY 12, 2015

TO: Commissioner John Talerico
Oneida County Personnel

FROM: Kimberly Evans-Dame KED/rdw
Executive Director of Human Resources

SUBJECT: College Service Associate

As requested, I am writing to you to provide justification for the approval of a new UPSEU and Civil Service title for College Service Associate with Mohawk Valley Community College.

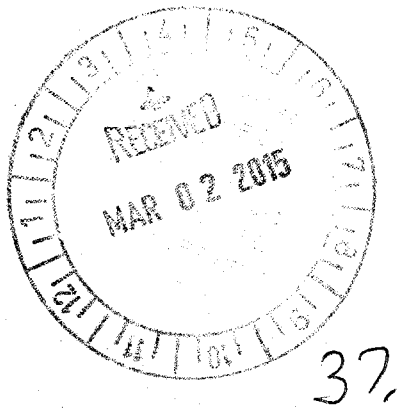
Over the past two (2) years the College has researched the new title of College Service Associate, which provides a broad range of administrative and student support in our five (5) Academic Centers and Student Affairs Departments. The College currently utilizes the title of Senior Office Specialist I, which as technology, College services, and student needs have changed, has struggled to meet the changing needs of the College in Academic and Student Affairs.

As administrative support, this position works collaboratively with all levels of the organization, while at the same time, meets the complex needs of our students, our customers.

It is imperative that the College invest more in these key front line positions to ensure that both administrative and student support needs are adequately met. Attached is the approved MVCC Board of Trustees resolution to create the College Service Associate position in conjunction with Oneida County Civil Service.

Thank you.

Attachment



37.

Civil Division:	Oneida County Government
Jurisdictional Class:	Competitive
EEO Category:	Administrative Support
Adopted:	12/15/14

COLLEGE SERVICES ASSOCIATE

DISTINGUISHING FEATURES OF THE CLASS: The work involves providing support, guidance and referrals for students of Mohawk Valley Community College and for communicating and responding to Academic Center or Student Affairs Departmental customers as needed through established protocol. The incumbent also serves as a liaison between the Academic Center and the textbook and instructional material publishers. Work is performed under the direct supervision of the designated Center or Departmental supervisor or administrator. Supervision of others is not a function of this class. The incumbent performs related work as required.

TYPICAL WORK ACTIVITIES: (Illustrative only)

Advises and guides students on the appropriate College and SUNY processes and procedures;

Triages student requests and needs according to student development and educational needs;

Refers students to appropriate College services, faculty and staff, and advises and directs them accordingly;

Communicates and responds to Academic Center or Student Affairs Departmental customers through phone calls, e-mails, etc., from staff, faculty, parents, students and outside agencies;

Prepares correspondence and reports as necessary;

Uses applicable Academic Center or Student Affairs departmental and student software and systems with proficiency;

Assists Academic Centers in the procurement of textbooks and instructional materials;

Serves as liaison between the Academic Center and the textbook and instructional material publishers;

Serves as front line representative to students, advising them on questions and concerns regarding student status and records;

Maintains multiple Academic Center or Student Affairs Departmental calendars, files and records;

Works independently and collaboratively on Academic Center or Student Affairs Departmental projects;

Mentors student employees and coordinates their assignments;

Maintains and adheres to Academic Center or Student Affairs Departmental confidentiality, including FERPA (Family Educational Rights and Privacy Act of 1974) guidelines with regards to all communication;

continued...

TYPICAL WORK ACTIVITIES: (Illustrative only) continued

Assists College staff with student intake, registration, retention and completion efforts;

Assists Academic Centers in the processing of faculty assignments;

May assist with the orientation and training of new staff.

FULL PERFORMANCE KNOWLEDGE, SKILLS, ABILITIES AND PERSONAL CHARACTERISTICS:

Working knowledge of office practices and procedures; working knowledge of College's policies and procedures, academic requirements, campus resources, student life, and support services; skill in organizing, coordinating and prioritizing projects; excellent oral and written communication skills; excellent interpersonal/human relations skills; ability to work with a diverse population; ability to identify students' needs for services in order to make appropriate referrals; ability to operate a personal computer and to learn and use a variety of software packages; ability to maintain confidentiality.

MINIMUM QUALIFICATIONS: Either:

(A) Graduation from a regionally accredited or New York State registered college or university with a Bachelor's Degree **AND** one (1) year of experience working in a complex business setting, providing face-to-face multi-level customer service*, including advising and guiding customers; **OR**

(B) Graduation from a regionally accredited or New York State registered college or university with an Associate's Degree **AND** three (3) years of experience working in a complex business setting, providing face-to-face multi-level customer service*, including advising and guiding customers ; **OR**

(C) Graduation from high school or possession of a high school equivalency diploma and five (5) years of experience working in a complex business setting, providing face-to-face multi-level customer service*, including advising and guiding customers .

*Note: Entry-level cashier and call center experience, or experience with limited transactions, are not acceptable qualifications for this position.

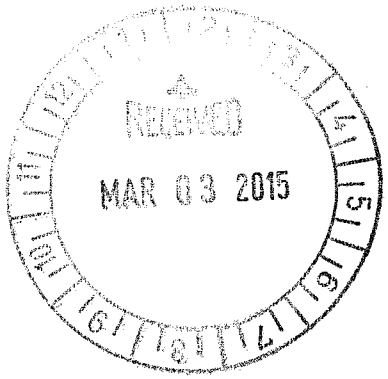
Adopted: 12/15/14

ONEIDA COUNTY
OFFICE OF THE COUNTY EXECUTIVE

ANTHONY J. PICENTE, JR.
County Executive
ce@ocgov.net

FN 20 15-108

March 4, 2015



Oneida County
Board of Legislators
800 Park Avenue
Utica, New York 13501

WAYS & MEANS

Honorable Members:

During the process of preparing for the closing of the County's accounting records for 2014, deficit balances were identified in a number of employee fringe benefit accounts that will require transfers. The transfers are covered by surplus funds in the 2014 budgets of other related fringe accounts.

The shortages in the retirement accounts are due to the ever increasing NYS Retirement charge. Health Insurance shortages result from an evolving workforce and coverage changes that they may elect.

Due to the need to close the 2014 accounting records, I ask that these transfers be acted upon at the **March 11th meeting.** I therefore request your Board approval for the following **2014** fund transfers:

TO:

AA# A1165.810 - District Attorney, Retirement	$ 6,648.
AA# A1170.810 - Public Defender-Criminal, Retirement	19,319.
AA# A1170.830 - Public Defender-Criminal, Social Security	8,495.
AA# A1173.850 - Public Defender-Civil, Unemployment Insurance	8,057.
AA# A1430.860 - Personnel, Health Insurance	5,370.
AA# A1450.810 - Board of Elections, Retirement	7,695.
AA# A1610.810 - Central Services, Retirement	6,867.
AA# A3020.810 - Emergency Communications, Retirement	7,228.
AA# A3020.850 - Emergency Communications, Unemployment Insurance	12,637.
AA# A3110.810 - Sheriff-Administration, Retirement	7,574.
AA# A3115.860 - Sheriff-Civil, Health Insurance	13,613.
AA# A3120.810 - Sheriff-Law Enforcement, Retirement	34,698.
AA# A3140.810 - Probation Office, Retirement	7,212.
AA# A3150.810 - Sheriff-Jail Inmates, Retirement	22,794.
AA# A4010.101 - Public Health Administration, Salaries	478.
AA# A4015.860 - Lead Screening Program, Health Insurance	5,986.
AA# A4021.850 - Community Wellness, Unemployment Insurance	5,311.
AA# A4059.860 - Early Intervention Administration, Health Insurance	19,687.
AA# A6012.850 - Temporary Assistance, Unemployment Insurance	7,266.
AA# A6012.860 - Temporary Assistance, Health Insurance	21,824.
AA# A6014.860 - Employment Programs, Health Insurance	24,807.
"A" Fund Total:	$ 253,566.

40.

AA# D5110.810 - Maintenance of Highways & Bridges, Retirement	$	5,276.
AA# D5110.860 - Maintenance of Highways & Bridges, Health Insurance		27,693.
"D" Fund Total:	$	32,969.

AA# J6303.830 - College Student Corps, Social Security	$	7,811.
"J" Fund Total:	$	7,811.

FROM:

AA# A1165.860 - District Attorney, Health Insurance	$	6,648.
AA# A1170.850 - Public Defender-Criminal, Unemployment Insurance		2,313.
AA# A1170.860 - Public Defender-Criminal, Health Insurance		16,050.
AA# A1173.860 - Public Defender-Civil, Health Insurance		17,508.
AA# A1450.860 - Board of Elections, Health Insurance		7,695.
AA# A1480.810 - Health Insurance Administration, Retirement		5,370.
AA# A1610.860 - Central Services, Health Insurance		6,867.
AA# A3020.830 - Emergency Communications, Social Security		6,831.
AA# A3120.860 - Sheriff-Law Enforcement, Health Insurance		34,698.
AA# A3140.830 - Probation Office, Social Security		7,212.
AA# A3150.860 - Sheriff-Jail Inmates, Health Insurance		57,015.
AA# A4010.860 - Public Health Administration, Health Insurance		11,775.
AA# A4060.860 - Education of Handicapped Children Admin, Health Insurance		19,687.
AA# A6012.830 - Temporary Assistance, Social Security		29,090.
AA# A6013.860 - Medicaid Administration, Health Insurance		24,807.
"A" Fund Total:	$	253,566.

AA# D3310.860 - Traffic Control, Health Insurance	$	9,544.
AA# D5020.860 - Engineering, Health Insurance		9,632.
AA# D5110.830 - Maintenance of Highways & Bridges, Social Security		8,172.
AA# D5110.850 - Maintenance of Highways & Bridges, Unemployment Insurance		5,621.
"D" Fund Total:	$	32,969.

AA# J6300.810 - Workforce Development Administration, Retirement	$	7,811.
"J" Fund Total:	$	7,811.

Respectfully submitted,

Anthony J. Picente, Jr.
Oneida County Executive

AJP:gpb
CC:County Attorney
Comptroller
Budget Director

24.

ONEIDA COUNTY DEPARTMENT OF WATER QUALITY & WATER POLLUTION CONTROL

51 Leland Ave, PO Box 442, Utica, NY 13503-0442
(315) 798-5656 wpc@ocgov.net FAX 724-9812

Anthony J. Picente, Jr.
County Executive

Steven P. Devan, P.E.
Commissioner

March 6, 2015

The Honorable Anthony J. Picente, Jr.
Oneida County Executive
800 Park Ave.
Utica, NY 13501

FN 20 15 - 109

Re: Engineering Planning Grant EPG# 42484
Consolidated Funding Application (CFA) program 2014

WAYS & MEANS

Dear County Executive Picente:

Oneida County received a NYSDEC Engineering Planning Grant award under last year's Consolidated Funding Application (CFA) program. A planning and engineering report will be prepared that will build off the findings of a prior high-level conceptual review of "Neutralization Plant" to treat for microchip manufacturing rinse waters. This prior report was commissioned by Mohawk Valley EDGE and completed in 2013.

In short, over 75% of wastewater discharged from microchip fabrication is general rinse water that only require pH neutralization to be suitable for either re-use or direct discharge to surface water bodies, such as the Barge Canal. If these rinse waters could be delivered to a special facility for pH adjustment, significant flows could be diverted from the Oneida County Water Pollution Control Plant.

This report would further investigate the feasibility of a neutralization plant with regard to public ownership, user fees, siting, costs, etc. The report will also include next-level design considerations such as whether the neutralization plant would be established to treat only rinse waters destined for surface water discharge or all rinse waters, including a portion that would be returned to the microchip manufacturer for reuse.

The cost of the report is $62,500. Eighty percent (80%) of the cost of the report will be covered under the grant and the remaining 20% will be covered by EDGE. Oneida County will contract directly with EDGE to complete the report. A draft resolution is attached which allows me to execute the grant, approves contracting with EDGE to do the actual study and details that EDGE is responsible for the local match portion of the grant.

I would appreciate consideration of this matter by you and the Board of Legislators at your earliest possible convenience. I am available to meet with you or the Board to discuss this request and explain this in more detail. Thank you for your consideration in this matter.

RECEIVED
MAR 06 2015

Sincerely,
THE ONEIDA COUNTY DEPARTMENT OF WATER QUALITY AND WATER POLLUTION CONTROL

Steven P. Devan, P.E.
Commissioner

Reviewed and Approved for submittal to the Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 3/6/15

Cc: Paul D. Romano, P.E. – O'Brien and Gere
Steven J. DiMeo – Mohawk Valley EDGE

42.

WHEREAS, the County of Oneida was awarded an Engineering Planning Grant (EPG# 42484) through the 2014 Consolidated Funding Application (CFA) process by the New York State Department of Environmental Conservation and Environmental Facilities Corporation; and

WHEREAS, the Marcy NanaoCenter is a 450-acre greenfield site being developed for the semiconductor industry and is the largest shovel-ready semiconductor site in the world.

WHEREAS, the semiconductor fabrication is highly water intensive process and will require large amounts of fresh water for production resulting in a similar magnitudes of wastewater discharges; and

WHEREAS, the largest wastewater stream generated by a semiconductor fabricator is General Rinse Water (GRW); and

WHEREAS, GRW requires only pH neutralization, a simple treatment process, prior to reusing the GRW for semiconductor fabrication or to be permitted for direct discharge to surface waters; and

WHEREAS, the reuse of treated-GRW reduces the volume of fresh water that the semiconductor fabricator requires and the reuse and/or surface water discharge of treated-GRW reduces the longer-term capacity demands on the local sanitary sewer system and the Oneida County Water Pollution Control Plant; and

WHEREAS, the Engineering Planning Grant will provide eighty percent (80%) of the funding necessary to study the feasibility of constructing a Wastewater Treatment System (WWTS) facility near or at the Marcy NanoCenter site to treat GRW; and

WHEREAS, the twenty percent (20%) local match requirement relative to the cost of the study will be reimbursed to Oneida County from the Mohawk Valley Economic Development Growth Enterprise Corporation (EDGE); and

WHEREAS, the study may be used to leverage addition funding, in future CFA rounds, to design or construct a GRW WWTS facility; and

WHEREAS, 6 NYCRR Section 617.5 (Title 6 of the New York Code of Rules and Regulations) under the State Environmental Quality Review Act (SEQR) provides that certain actions identified in subdivision (c) of that section are not subject to environmental review under the Environmental Conservation Law;

NOW, THEREFORE, BE IT RESOLVED THAT Steven P. Devan, P.E., Commissioner Oneida County Department of Water Quality & Water Pollution Control (WQ&WPC), is authorized to execute a Grant Agreement with the NYS Environmental Facilities Corporation and any and all other contracts, documents and instruments necessary to bring about the Project and to fulfill the County's obligations under the Engineering Planning Grant Agreement.

BE IT FURTHER RESOLVED that County of Oneida authorizes and appropriates a minimum of 20% local match as required by the Engineering Planning Grant Program for the General Rinse Water Treatment Facility Feasibility Study (EPG #42484). Under the Engineering Planning Grant Program, this local match must be at least 20% of the total project cost. The maximum local share appropriated subject to any changes agreed to by the Commissioner of WQ&WPC shall not exceed $12,500 based upon a total

estimated maximum project cost of $62,500. The Commissioner of WQ&WPC may increase this local match through the use of in kind services without further approval from the Oneida County.

BE IT FURTHER RESOLVED THAT that the County of Oneida hereby determines that the proposed General Rinse Water Treatment Facility Feasibility Study is a Type II action in accordance with 6 NYCRR Section 617.5(c)(18) which states that "information collection including.. engineering studies...that do not commit the agency to undertake, fund or approve any Type I or Unlisted action" is not subject to review under 6 NYCRR Part 617.

2014 DEC/EFC Wastewater Infrastructure Engineering Planning Grant Program

45.

CFA #	Municipality	Project Name	County	Award
42169	City of Cohoes	Cohoes Combined Sewer Overflow Clean Water Project	Albany	30,000
42659	Village of Wellsville	Village of Wellsville WWTP Engineering Planning Grant	Allegany	30,000
41655	Village of Allegany	Allegany Planning Study	Cattaraugus	30,000
41741	Town of Ripley	Ripley Planning Study	Chautauqua	30,000
42239	Town of Big Flats	Town of Big Flats Davenport/Olcott Road Area Wastewater Study	Chemung	30,000
42234	Town of Southport	Town of Southport and Elmira City School District Pine City Area Wastewater Study	Chemung	30,000
40897	Town of Chazy	Sewer System Evaluation	Clinton	30,000
39694	Town of Germantown	Germantown Wastewater Treatment Plant Improvement Project	Columbia	30,000
41507	Town of Ancram	Sewer Study	Columbia	30,000
42411	Village of Homer	Village of Homer Sewer System Inflow and Infiltration Study	Cortland	30,000
43183	Village of Sidney	Village of Sidney Wastewater Treatment Plant	Delaware	30,000
40000	Town of Sidney	Sidney Center Wastewater Project	Delaware	30,000
40558	City of Poughkeepsie	City of Poughkeepsie Waterfront Development	Dutchess	30,000
39521	Village of Akron	Village of Akron Wastewater Infrastructure Engineering Planning Grant	Erie	30,000
43090	Town of Concord	Town of Concord Sewer Districts #1 and # 2 Engineering Study	Erie	30,000
39464	Town of Crown Point	Improving the Crown Point Wastewater Treatment System	Essex	19,520
41181	Village of Bergen	Collection System Study	Genesee	30,000
41684	Town of Batavia	East Side Sewer Planning	Genesee	30,000
43261	Village of Alexander	Village of Alexander Wastewater Infrastructure Evaluation	Genesee	30,000
43091	Town of Inlet	Inlet Expansion and Shared Sludge Press Study	Hamilton	12,000
39238	Village of Speculator	Engineering Planning Grant	Hamilton	30,000
41009	Village of Frankfort	Village of Frankfort Inflow and Infiltration Study	Herkimer	30,000
42646	Town of Lyme	Wastewater Collection System Study	Jefferson	30,000
42623	Village of Adams	Village of Adams Wastewater Treatment Plant Improvements	Jefferson	30,000
40079	Village of Deferiet	Wastewater Upgrades Planning Study	Jefferson	30,000
42697	Town of Hounsfield	Hounsfield Sewer Project	Jefferson	30,000
41552	Livingston County Water & Sewer Authority (LCWSA)	Collection System Study	Livingston	30,000
38698	Village of Lima	Village of Lima Wastewater Treatment Plant Engineering Planning Grant 2014	Livingston	30,000
42532	Springwater Sewer District	Engineering Study of System Deficiencies	Livingston	30,000
41486	Town of Leicester	Wastewater Treatment System Study	Livingston	14,000
40388	Town of Sullivan	Bridgeport Sewer District Extension No. 1	Madison	30,000
40385	Village of Honeoye Falls	Honeoye Falls Waste Water Treatment Plant Planning for the Future	Monroe	30,000
43465	Village of Nelliston	Nelliston Wastewater	Montgomery	30,000
40994	Town of Newfane	Newfane Wastewater Plant Engineering Study	Niagara	30,000
40780	Village of Vernon	Wastewater Treatment Plant Evaluation	Oneida	30,000
42484	Oneida County Department of Water Pollution	Nanocenter Wastewater Treatment System	Oneida	50,000
40904	Onondaga County Department of Water Environment Protection	Erie Boulevard Storage System Investigation for Water Quality Enhancement	Onondaga	50,000
40773	Onondaga County Department of Water Environment Protection	Meadowbrook Limestone WWTP Enhanced Wet Weather Operations Planning	Onondaga	30,000
41576	City of Newburgh	Newburgh Sanitary Sewer Overflow Investigation Project	Orange	27,840
38627	Village of Kiryas Joel	Kiryas Joel Headworks Loading Analysis	Orange	30,000
42524	Village of Central Square	Village of Central Square Wastewater Treatment Plant Improvements	Oswego	30,000
41130	Village of Castleton-on-Hudson	Castleton Inflow and Infiltration Study	Rensselaer	30,000
41300	Village of South Glens Falls	Village of South Glens Falls Wastewater Engineering Study	Saratoga	30,000
40097	Town of Glenville	Town of Glenville Wastewater Engineering Study	Schenectady	30,000
41405	Town of Rotterdam	Rotterdam Inflow and Infiltration Study Project	Schenectady	30,000
43368	Village of Sharon Springs	Wastewater Study	Schoharie	30,000

2014 DEC/EFC Wastewater Infrastructure Engineering Planning Grant Program

CFA #	Municipality	Project Name	County	Award
41801	Village of Interlaken	Sanitary Sewer Collection Study	Seneca	30,000
41505	Village of Lodi	Wastewater System Evaluation for the Village of Lodi	Seneca	22,480
40894	Village of Gouverneur	New Effluent Disinfection System Report and Design	St. Lawrence	30,000
38948	Village of Potsdam	Wastewater Treatment Planning Study	St. Lawrence	30,000
39197	Town of Colton	Village of Colton Wastewater Engineering Planning Grant 2014	St. Lawrence	30,000
40647	Town of Waddington	Town of Waddington	St. Lawrence	30,000
39783	City of Ogdensburg	St Lawrence Shores	St. Lawrence	30,000
41468	Village of Painted Post	Painted Post Wastewater Infrastructure Engineering Planning Study	Steuben	30,000
41752	Town of Campbell	Town of Campbell and Village of Savona Wastewater Planning Study	Steuben	30,000
42259	Village of Hammondsport	Hammondsport Village Square Wastewater Planning Study	Steuben	30,000
41511	Town of Lumberland	Lumberland Central Sewer Feasibility Study Project	Sullivan	30,000
42954	Village of Ellenville	Ellenville SSO Abatement Elimination and CMOM Planning Project	Ulster	30,000
42253	Village of Lake George	Village of Lake George Wastewater Treatment Improvement Initiative	Warren	30,000
42254	Town of Bolton	Town of Bolton Wastewater Treatment Improvement Initiative	Warren	30,000
40829	City of Glens Falls	Clendon Avenue Storm Sewer	Warren	30,000
42255	Town of Hague	Town of Hague Wastewater Treatment Improvement Initiative	Warren	30,000
38699	Village of Palmyra	Village of Palmyra Wastewater Treatment Plant Engineering Planning Grant 2014	Wayne	30,000
40816	City of Mount Vernon	Stormwater & Sanitary Sewer Line Replacements	Westchester	40,000
41810	Village of Castile	Wastewater System for the Village of Castile	Wyoming	12,400
39062	Village of Arcade	Sewer II Work	Wyoming	26,000
41228	Town of Genessee Falls	New Municipal Sewer System for the Town of Genesee Falls	Wyoming	12,400
			TOTAL	1,966,640

NYS Consolidated Funding Application
Application Number 42484
Registration Email: romano@roadrunner.com
File created June 16, 2014 - 12:45 PM
Application finalized on June 16, 2014 - 12:44 PM

Region

Mohawk Valley

Questionnaire Questions & Answers

Threshold

Engineering Planning Grant Program

Q_1321

Are you a municipality as defined by 21 NYCRR 2602 New York State Clean Water Revolving Fund Regulations (http://www.nysefc.org/DocumentCentral.aspx)?

Yes

Q_1397

Is this a planning project that will lead to either the restoration or protection of a surface waterbody?

Yes

Q_3473

Is your municipality's median household income (MHI) equal to or less than $65,000 according to the United States Census 2010?

Yes

Q_3472

Is this planning project in the 2014 Clean Water State Revolving Fund (CWSRF) Intended Use Plan (IUP) listed on the Annual Project Priority List?

No

Q_2192

Will the municipality provide a local match of at least 20% of the total cost of the planning project?

Yes

Location

Q 184

47.

NYS Assembly District(s) where the project is located. (please enter a number between 1 and 150 that represents your Assembly District)

119

Q_190

NY Senate District(s) where the project is located. (please enter a number between 1 and 63 that represents your Senate District)

47

Q_565

Project City

Marcy

Q_568

Project State

NY

Q_572

Project Latitude

43.136666

Q_573

Project Longitude

-75.24175

Q_616

For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

The project coud be at or directly adjacent to the Nanocenter site in Marcy, NY or may be south of the Nanocenter site and north of the Barge Canal.

Q_928

Project Street Address: if the project does not have a definite street address, please skip to "Project without a Street Address" below.

No Answer

Q_971

Project Without a Street Address: please enter a description of the project location. Include project starting/ending street addresses, cities & zip codes if applicable.

The project will be located in the Town of Marcy south of the NanoCenter site.

Q_972

Project county or counties.

Oenida

48.

Q_1034

Project ZIP Code. (please use ZIP+4 if known)

13403

Q_3527

US Congressional District where the project is located.

22nd

Basic

General Project Information

Q_550

If you are a DBA, what is your DBA name?

No Answer

Q_549

Type of Applicant (select all that apply)

County

Q_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

Federal Tax ID Number

Q_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

N/A

Applicant

	Answer
Legal Name	Oneida County Department of Water Pollution
Street Address	51 Leland Avenue

49.

City	Utica
State	NY
Zip Code (use ZIP+4 if known)	13503
Email Address	sdevan@ocgov.net
Telephone Number (include area code)	315-798-5656

Contacts

	Primary Contact	**Additional Contact**
First Name	Steven	Paul
Last Name	Devan	Romano
Title	Commissioner	Consultant
Street Address	51 Leland Avenue	400 Andrews Street
City	Utica	Rochester
State	NY	NY

SD.

ZIP Code	13503	14604
Email Address	sdevan@ocgov.net	paul.romano@obg.com
Telephone Number	315-798-5656	315-794-1949

Q_3656

Is the project included in a NY Rising Community Reconstruction Program plan or a NY Rising Countywide Resiliency Plan?

No

Q_3744

If the project is included in a NY Rising Community Reconstruction Program plan or a NY Rising Countywide Resiliency Plan, please indicate the planning committee name, project name, and location.

No Answer

Q_3762

Does your project directly address the needs of people in your region who are living in poverty and who seek resources for inclusion in the economic life of New York State?

No

Q_3763

How does your project seek to apply CFA funds for the purpose of eliminating barriers to skilled employment by poor people in your region, as identified by the Opportunity Agenda? Please describe any efforts to collaborate at the local or regional level (i.e. public, private, labor, philanthropic sectors).

The potential project discussed in this application will rely on close collaboration with the public and private sectors, namely the Oneida County Sewer District with the future semiconductor fabrication (FAB) manufacturer.

Q_3764

How does your project build workforce development programs, improve physical infrastructure, and/or establish social services that connect people living in poverty in your region with skilled employment, in correspondence with the economic revitalization priorities, distressed community targets, and the industry growth areas identified in the Opportunity Agenda and Strategic Plan?

The potential project discussed in this application is ancillary project to Marcy Nanocenter idenified as a "Key Driver" in the Region's Strategic Plan with regard to economic revitalization priorities. Jobs resulting from this project could potentially be created in the public sector and and may be especially adaptable to local Workforce Development Programs.

51.

Q 3766

Is this a Global NY Project?

No

Q_3768

If this is a Global NY Project, please describe how this project relates to the identified goals of your region's Global Marketing and Export Strategies as part of the Global NY initiative?

No Answer

Project Description

Q_575

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

Oneida County is expected to welcome the semiconductor fabrication (e.g., memory chips or microprocessors) industry to Marcy, New York as part of the "Nano Utica" intiative within a few short years. The semiconductor fabrication (FAB) process is highly water intensive and will require large amounts of fresh water (up to 6 MGD per FAB) which will incur similar magnitudes of wastewater discharge. The general rinse water (GRW) is the largest volume of wastewater generated (~75% to 80% of process wastewater volume) and is generated from rinsing processes using ultrapure water (UPW). The GRW if properly segregated from the other wastewater streams, requires only pH neutralization prior to reuse by the FAB or surface water discharge. Under this grant, the County will further investigate the feasibility of building a County-owned Wastewater Treatment System (WWTS) to treat GRW.

Q_930

Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.

New York State has invested 100's of millions of dollars into the Computer Chip Commercialization Center (Quad-C) at SUNYIT and the Marcy "Nanocenter" site, which is the future home of a FAB. Quad-C and Nanocenter along with State's success in Fishkill (IBM), Malta (Global Foundries), and its investment in nano-technology education and research at the SUNY College of Nanoscale Science and Engineering (CNSE) in Albany is beginning to transform the New York economy in ways not seen since the Erie Canal. Alain Kaloyeros, president/CEO of CNSE predicts that the new "Nano Canal" will eventually expand westward accross Upstate. As communities, such as greater Utica, prepare for a FAB, they are intensely evaluating the capacity of their water, sewer, and energy infrastructure and searching for ways, in partnership with the FAB, to build in sustainable features.

Q_929

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

The project is in the conceptual development and planning phase.

Q_975

Estimated Project Timeline: including project start/completion dates, estimates for design, permitting and construction or other major steps. (You may enter N/A for non-Project related applications)

52.

This application is for the planning phase of a project. The planning and engineering report will be started in 2015 and build off the findings of a prior high-level conceptual review. This report would further investigate the feasiblity of the WWTS with regard to public ownership, user fees, siting, costs, etc. The report will also include next-level design considerations such as whether the WWTS would be established to treat only GRW destined for surface water discharge or all the GRW including a portion that would be returned to the FAB for reuse. Existing information on the subject suggests that certain GRW, if properly segregated, may be better suited for return to the FAB and the FAB may desire to have more control of GRW destined for reuse/recycle. The report could potentially be completed simultanenous to discussion with a FAB committed to the Marcy Nanocenter site.

Q_976

Statement of Need

Conceptually a WWTS would neutralize the GRW to allow for recycle back to the FAB and/or discharge to the New York State Barge Canal (the Canal) through a new State Pollution Discharge Elimination System (SPDES) permitted outfall. The remaining industrial wastewaters generated by the FAB will be pre-treated by the manufacturer in its Central Utility Building (CUB) and discharged to the Oneida County Publically-Owned Treatment Works (POTW), also known as the Water Pollution Control Plant (WPCP). Therefore, the introduction of WWTS for GRW would reduce by 75-80% wastewater volumes that would be realized at the POTW. This would reduce stress on the POTW, by decreasing the volume of low-BOD wastewaters that it needs to treat, and would reserve capacity of the POTW during wet weather operations. The DEC has urged Oneida County to continue exploring the concept of a WWTS for the GRW.

Q_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

The planning phase will not require permitting.

Q_2364

What is the status of State and/or Federal Environmental Review? If review of the project is underway or completed pursuant to the State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please indicate the lead agency (if applicable).

Not applicable

Q_1054

If National Environmental Policy Act (NEPA) Record of Decision has been issued, please explain (include date of Record of Decision).

Not applicable

Prior CFA Funding

Q_2362

If funding was awarded in prior CFA rounds, what were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

Not applicable

Standard Question

53.

Engineering Planning Grant Program

Q_2622

Provide the population of the municipality applying for the grant. Cities and Villages should use municipal wide 2010 Census data. Towns and Counties should use the 2010 Census data for the area that is being served. In cases where the service area has not been established, provide an estimate for the envisioned service area.
http://www.dec.ny.gov/docs/water_pdf/censusinstructions3.pdf

106000

Q_582

Estimated Service Life

50

Q_1450

Will the proposed project result in the creation of construction jobs? If so, estimate the number of construction jobs to be created.

Enter zero if not applicable.

20

Q_1459

Will the proposed project directly or indirectly result in the creation of permanent jobs? If so, estimate the number of permanent full-time equivalent jobs that will be created.

Enter zero if not applicable.

2

Q_2193

What is the name of the surface waterbody that could be restored or protected as a result of the planning project? If known, provide the latitude and longitude of the discharge causing the water quality impairment.

Mohawk River, 43.124464, -75.266669

Q_2194

Has the municipality applied for or received funding from other State or Federal agencies for the completion of an engineering report for the proposed project?

No

Q_1324

Does the municipal system have a State Pollutant Discharge Elimination System (SPDES) permit?

Yes

Q_1325

If your municipal system has a State Pollutant Discharge Elimination System (SPDES) permit, list the permit number.

54.

0238376

Q_1374

If the municipal system discharges to a State Pollutant Discharge Elimination System (SPDES) permitted facility controlled by another municipal entity (i.e., satellite collection system) describe any water quality impacts associated with the discharge.

The applicant is Oneida County. The District's POTW (Water Pollution Contol Plant) is a County-owned faciity.

Q_1326

Is this planning project required by a State Pollutant Discharge Elimination System (SPDES) permit to protect the receiving water?

No

Q_1330

Is this planning project required by an Order on Consent executed between the NYS Department of Environmental Conservation and the municipality?

No

Q_1331

Is this planning project for the preparation of a Flow Management Plan as required by a State Pollutant Discharge Elimination System (SPDES) Permit?

No

Q_2307

Is the planning project identified in a Total Maximum Daily Load (TMDL)?

No

Q_2308

If the planning project is identified in a Total Maximum Daily Load (TMDL), please identify the TMDL.

No Answer

Q_1332

Is this project for the planning of an upgrade to an existing collection and/or treatment system?

No

Q_1333

Is the project for the planning of a new wastewater treatment and/or collection system to replace an existing municipal treatment and/or collection system?

No

Q_1376

Is this project for the planning of a treatment and/or collection system for a currently unsewered area?

55.

No

Q_1461

Is the planning project part of a regional wastewater improvement effort?

Yes

Q_3480

Is the project specifically identified in any of the following formally adopted plans? (check all that apply)

Other

Q_3481

If the project is specifically identified in a formally adopted plan, provide the plan's title and date of publication. If available, provide the plan's web address/URL. If the project is not identified in any plan, in the answer box please answer "Not in any plan."

The Mohawk Valley Sustainability Plan does not specifically mention this project. However, the plan advances conservation of water and watershed management goals which are in alignment with this potential project. Further, the potential project has a direct positive effect on the County's SSO Mitigation Project by reserving capacity at the POTW for Sauquoit Creek Pump Station flows.

Q_1463

Describe how the public and stakeholders who will be affected by, or who can advance the project, will be engaged in project planning and implementation.

Because the project relates directly to the Oneida County Sewer District (OCSD) Sanitary Sewer Overflow (SSO) Mitigation Project, the Steering Committee developed for the SSO mitigation work will be engaged in the planning of this project.

Q_1464

Describe how local officials are committed to this planning project and its future implementation.

The potential project presented in this application is a complimentary project to the OCSD SSO Mitigation Project, which is required by an executed Order on Consent. The OCSD SSO Mitigation Project has had broad support from the municipalities within the district, as well as the County Executive and County Legislature. In addition, as a project that would be completed in response to the development of the Marcy Nanocenter, the project has the greater interest of local and State economic development agencies and State government officials.

Q_370

Explain the strategy and resources for going forward after the project is complete; that is, for implementing grant-funded plans, developing and using grant-funded acquisitions, and maintaining grant-funded improvements.

If the project goes forward it will be supported by the Oneida County Department of Water Quality and Water Pollution Control.

Q_1434

Describe the administrative structures in place to administer the requested grant. Identify individuals who will be responsible for specific tasks, such as contract and grants administration, fiscal accounting, and project management. For those managers and professionals

56

already hired, describe their qualifications. Summarize consultant selection process and schedule, noting whether it is completed, underway or proposed. For future hires, describe qualifications sought and procurement/hiring method.

All administrative functions to admister the grant are in place under various Oneida County Departments (see http://www.ocgov.net/). Steven P. Devan, P.E., Commissioner - Oneida County Department of Water Quality & Water Pollution Control, will serve as the applicant's Project Manager. The consutant for the report preparation will be selected using a Qualification-Based Selection (QBS) process.

Q_2516

Is the municipality submitting more than one application for the New York State DEC/EFC Wastewater Infrastructure Engineering Planning Grant program? If so, please list the proposed projects in priority order, 1 - highest priority, 2 - second priority and 3 - third priority and so forth.

No, only one Engineering Planning Grant application is being submitted by Oneida County.

Smart Growth

Smart Growth Questions:The NYS Smart Growth Public Infrastructure Policy Act requires that a project meet the relevant smart growth criterion to the extent practicable. Please respond to the questions below regarding smart growth criteria.

Q_1059

Does the proposed project use, maintain, or improve existing infrastructure? Y/N/Not Relevant. Please explain all responses.

The project is for new infrastruture but the project would also miminize the impacts of Marcy Nanocenter flows on the existing Oneida County POTW.

Q_1060

Is the proposed project located in a municipal center? Y/N/Not Relevant. Please explain all responses.

The project would be sited in the Town of Marcy, close Marcy Nanocenter or the Marcy business district along River Road.

Q_1061

Is the proposed project located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan? Y/N/Not Relevant. Please explain all responses.

The project is not yet sited but it is anticipated to be adjacent to or near the Marcy Nanocenter or just south toward the Marcy business district (River Road Commercial Business Corridor).

Q_1062

Will the proposed project protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources? Y/N/Not Relevant. Please explain all responses.

The potential project promotes water reuse and recycle at Marcy Nanocenter and wastewater treatment efficiency, and likely better effluent results, at the POTW in the long term (with Marcy Nanocenter on line). Therefore, the project benefits the local water source, Hinckley Reservoir, from a conservation perspective and would result in a net water quality benefit to the Mohawk River. The project speaks to

57.

Strategy 4, Increase Spatial Efficiency, of the **MVREDC Regional Plan**. The project embraces these components of the Strategy 4: (1) Make key investments that improve critical infrastructure assets and promote sustainability and (2) Use of the region's natural resources in an environmentally sound manner.

Q_1063

Will the proposed project foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups? Y/N/Not Relevant. Please explain all responses.

Not relavent.

Q_1064

Will the proposed project provide mobility through transportation choices including improved public transportation and reduced automobile dependency?Y/N/Not Relevant. Please explain all responses.

Not Relavant.

Q_1065

Will the proposed project involve coordination between state and local government and inter-municipal and regional planning? Y/N/Not Relevant. Please explain all responses.

Yes. The project requires coordination between the Oneida County Sewer District, the Town of Marcy, NYSDEC, and potentially CNSE among others.

Q_1066

Will the proposed project involve participation in community based planning and collaboration? Y/N/Not Relevant. Please explain all responses.

Yes. To the extent that the project is viewed as a complimentary project to the OCSD SSO Mitigation Project, it would be reviewed with the Steering Committee. Further, the project would require SEQRA review and Marcy Planning Board approval.

Q_1067

Will the proposed project ensure predictability in building and land use codes? Y/N/Not Relevant. Please explain all responses.

Not Relavent.

Q_1068

Will the proposed project promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation? Y/N/Not Relevant. Please explain all responses.

The potential project builds in some sustainable practices, at Marcy Nanocenter, by dividing wastewater streams (between contaminated wastewater streams and GRW) and promoting GRW reuse and recycle at the FAB or surface water discharge to the canal. Surface water discharge of pH-nuetralized GRW is anticipated to have a net water quality benefit (vs. POTW treatment) by improving wastewater treatment efficiency at the POTW, and likely better effluent results (i.e. the POTW will not have to treat a large-volume of weak (low-BOD) wastewater. Therefore, the project benefits the local water source, Hinckley Reservoir, from a conservation perspective and would result in a net water quality benefit to the Mohawk River. Further, reducing influent flows at the POTW would also likely result in a net energy savings, if they GRW WWTS can be designed with minimal pumping

58

and enegy needs. The governance structure, being the County government, is in place to sustain its implementation.

Certification

General Certifications

Q_1037

By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for Minority-owned Business Enterprise (MBE)/Woman-owned Business Enterprise (WBE) participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

Paul D. Romano

Q_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving Assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

Paul D. Romano

Q_2309

New York State DEC/EFC Wastewater Infrastructure Engineering Planning Grant Program Certification. By entering your name in the box below, you agree on behalf of the applicant that, if New York State DEC/EFC Wastewater Infrastructure Engineering Planning Grant Program funding is provided for the project described in this Application, the applicant shall comply with all laws, regulations, provisions and guidance with respect thereto, as each may be amended from time to time, including but not limited to the Clean Water Act, 33 U.S.C. 1251 et seq. , Chapter 565 of the Laws of 1989, 6 NYCRR Part 649, and 21 NYCRR Part 2602.

Paul D. Romano

59.

Net New Jobs

No job answers necessary due to your associated programs.

Qualified Investments

No investment answers necessary due to your associated programs.

Total Project Cost

Total project cost: $ 62500

Funding Requested from Program

Program	Amount Requested		
Engineering Planning Grant Program	$	50000	maximum funding allowed: $50,000

Program Budget

Engineering Planning Grant Program

Use	Source	Amount	Indicate Source / Comments
Architectural/Engineering/Soft Costs	State	$50000	DEC
Architectural/Engineering/Soft Costs	Local	$12500	Oneida County (from EDGE)

60,

New York State DEC/EFC Wastewater Infrastructure Engineering Planning Grant

Description

The New York State Department of Environmental Conservation (DEC), in conjunction with the New York State Environmental Facilities Corporation (EFC), will offer grants to municipalities to help pay for the initial planning of eligible Clean Water State Revolving Fund (CWSRF) water quality projects. Up to $2 million has been made available for this program.

The Wastewater Infrastructure Engineering Planning Grant will assist municipalities with a Median Household Income (MHI) of $65,000 or less with the engineering and planning costs of CWSRF-eligible water quality projects. Grants of up to $50,000[1] (with a 20% required local match) will be provided to finance activities including engineering and/or consultant fees for engineering and planning services for the production of an engineering report.

The ultimate goal of this wastewater infrastructure engineering planning grant program is to assist needy communities with funding necessary to initiate a planning process and follow-up implementation plan to address local water quality problems. Successful applicants can use the engineering report funded by the grant when seeking financing through the CWSRF program or other financial means to further pursue the identified solution.

Eligible Types of Applicants

- Municipalities as defined in 21 NYCRR 2602 New York State Clean Water Revolving Fund Regulations; and
- Median household income (MHI) of the municipality is equal to or less than $65,000 according to the United States Census 2010, American Community Survey, http://factfinder2.census.gov/faces/nav/jsf/pages/index.xhtml.

Eligible Activities

Funding can be used by municipalities for the preparation of an engineering report[2] and planning activities to determine the scope of water quality issues. Priority will be given to municipalities proposing planning projects with a documented water quality impairment:

- required by an executed Order on Consent;
- required by a SPDES permit;
- upgrade or replace an existing wastewater system;

[1] See the "Award Criteria, Limitations" section of this document for details of funding amounts.
[2] See the "Key Definitions" section of this document for specific information on the required contents of the engineering report.

61,

- construct a wastewater treatment and/or collection system for an unsewered area.
- identified in a Total Maximum Daily Load (TMDL)

Smart Growth alternative(s) and green infrastructure alternative(s) must be considered and documented in the engineering report.

In accordance with the laws, rules and regulations governing the CWSRF, projects eligible under Section 212 (Publically Owned Treatment Works) of the federal Clean Water Act must be publicly-owned.

The following minimum alternatives need to be considered for projects with ***no existing wastewater infrastructure***:

- decentralized systems;
- new sewers and connection to regional wastewater treatment facility; and
- new sewers and a wastewater treatment facility.

The following minimum alternatives need to be considered for projects with ***existing wastewater infrastructure***:

- rebuilding existing wastewater infrastructure; and
- connecting to regional wastewater treatment facility.

To obtain the funds for the design and construction of their project, applicants must complete reviews under both the State Environmental Quality Review (SEQR) Act and State Environmental Review Process (SERP). Applicants also must have their projects reviewed by the New York State Office of Parks and Historic Preservation's State Historic Preservation Office (SHPO).

Ineligible Activities

- Projects that do not lead to the restoration or protection of a surface waterbody.
- Projects and scope listed on the 2014 CWSRF Intended Use Plan Annual Project Priority List.
- Design and construction costs for the wastewater treatment and/or collection systems.

Selection Criteria

Projects will be scored and selected based on the following criteria.

	Points Assigned	Criteria
Regional Economic Development Priority	20 points	Alignment with the goals and priorities of its Regional Economic Development Council (REDC).
Performance Measures	40 points	Severity of existing water quality impairments.
Strategies	24 points	Proposed project is required by a Consent Order, SPDES permit or TMDL.
Vision	12 points	Planning project is identified in a formally adopted plan and has both public support and a committed local leadership.
Agency Priority	4 points	Alignment with DEC and EFC priorities

62.

Additional consideration will be given to projects supporting the NY Rising Community Reconstruction Program, NY Rising Countywide Resiliency Plan and/or advance a Regional Economic Development Council Opportunity Agenda strategy.

Award Criteria Details

Eligible Area, City, County Population Limits or Population Target Types:

- Applicants from every region of the state are encouraged to apply.
- Municipalities as defined in 21 NYCRR 2602 New York State Clean Water Revolving Fund Regulations; and
- Median household income (MHI) of the municipality is equal to or less than $65,000 according to the United States Census 2010, American Community Survey, http://factfinder2.census.gov/faces/nav/jsf/pages/index.xhtml.

Limitations:

- Grant awards will be limited to:
  - $50,000 per project for municipalities with a population of 50,000 or greater according to the United States Census 2010, American Community Survey, http://factfinder2.census.gov/faces/nav/jsf/pages/index.xhtml.
  - $30,000 per project for municipalities with a population of less than 50,000 according to the United States Census 2010, American Community Survey, http://factfinder2.census.gov/faces/nav/jsf/pages/index.xhtml.
- All grants require a local match equal to 20% of the total project cost.

  Example: Grant Award: $50,000
  Local match: $12,500
  Total Cost Engineering Planning Grant: $62,500
- The Departments may be able to fund only one project per municipality in this Round. If an applicant is submitting multiple applications for their municipality, they are asked to prioritize their projects.

Long Range Goals:

Successful applicants will use the engineering report completed under this grant when seeking financing through the CWSRF program or other financial means to further pursue the recommended solution.

Project Term Completion Dates:

Grant recipients must submit a complete engineering report within 9 months of their grant agreement being executed.

Matching Fund Requirements/Deadlines:

- The grant will provide for up to 80% of the total eligible project costs set forth in the application. The applicant is required to provide the balance of the funds needed to complete the initial planning undertaken with the grant.
- A minimum 20% local match is required. Match can include cash and/or in-kind services. Other grants may not be used for local match. The applicant should identify the source of the match at the time that the application is submitted.

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Grant Payment: The grant will be disbursed in two payments. The municipality will be eligible to receive $15,000, as an advance payment once the grant agreement is executed. The remainder of the grant award will be paid when the municipality's engineering report and planning has been completed and approved by DEC and EFC.

Successful Applicant Requirements

Documentation: Recipients must provide documentation of the following requirements before entering into a grant agreement for the planning project.

- Legal right to own, operate and maintain the project for the duration of its useful life.
- Compliance with the New York State Smart Growth Infrastructure Policy Act of 2010.
- Compliance with the New York State's Minority/Woman-owned Business Enterprises (M/WBE) requirements. (http://www.nysefc.org/Default.aspx?tabid=485)
- Detailed final budget and plan of finance including all third party funding agreements, and satisfaction of the minimum 20% local match requirement. (http://www.nysefc.org/Default.aspx?tabid=485)
- Local resolution authorizing and obligating local match funds. Match can include cash and/or in-kind services. Other grants may not be used for local match. Sample resolution language: http://www.nysefc.org/Default.aspx?tabid=485
- Designation of an Authorized Representative for the project.
- Executed Engineering Agreement, including Minority/Women Owned Business Enterprises (M/WBE) language. An MWBE Work Plan and Utilization Plan will be required if the Engineering Agreement exceeds $25,000.
- Completion of Environmental Quality Review (SEQR) Act. Please refer to EFC's "Environmental Review Guidance" on EFC's website for more information on the SEQR processes.
- Acknowledgement of project review by the New York State Office of Parks and Historic Preservation's State Historic Preservation Office (SHPO).

Key Definitions

Engineering Report - means the document or documents, which determines the technical and economic feasibility of a CWSRF eligible project. [Reference: 21 NYCRR 2602.2(a)(22) New York State Clean Water Revolving Fund Regulations]

Engineering reports should be prepared in accordance with requirements identified in *Recommended Standards for Wastewater Facilities* (2004 edition), commonly known as the 10-State Standards (http://10statesstandards.com/waterstandards.html) or TR-16 Guides for the Design of Wastewater Treatment Works prepared by New England Interstate Water Pollution Control Commission (http://www.neiwpcc.org/tr16guides.asp). All engineering reports should include the following sections.

Engineering Report Outline

1. ***Project Planning***
    a. Location
    b. Environmental Resources Present
    c. Population Trends
    d. Community Engagement
2. ***Existing Facilities***
    a. Location Map

b. History
c. Condition of Existing Facilities
d. Financial Status of any Existing Facilities
e. Water/Energy/Waste Audits

3. ***Need for Project***
   a. Health, Sanitation and Security
   b. Aging Infrastructure
   c. Reasonable Growth
4. ***Alternative Considered***
   a. Description
   b. Design Criteria
   c. Map
   d. Environmental Impacts
   e. Land Requirements
   f. Potential Construction Problems
   g. Sustainability Considerations
      i. Water and Energy Efficiency
      ii. Green Infrastructure
      iii. Other
   h. Cost Estimates
5. ***Selection of an Alternative***
   a. Life Cycle Cost Analysis
   b. Smart Growth Analysis
   c. Non-Monetary Factors
6. ***Proposed Project (Recommended Alternative)***
   a. Preliminary Project Design
   b. Project Schedule
   c. Permit Requirements
   d. Sustainability Considerations
      i. Water and Energy Efficiency
      ii. Green Infrastructure
      iii. Other
   e. Total Project Cost Estimate (Engineer's Opinion of Probable Cost)
   f. Annual Operating Budget
      i. Income
      ii. Annual O&M Costs
      iii. Debt Repayment
      iv. Reserves
   g. Debt Service Reserve
   h. Short-lived Asset Reserve
7. ***Conclusion and Recommendations***

In-Kind Services - means services performed by capable and qualified employees of the recipient for technical and administrative force account that are directly related to and in support of the development of the Engineering Report and are deemed reasonable by the Environmental Facilities Corporation.

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Municipality - means any county, city, town, village, district corporation, county or town improvement district, Indian reservation wholly within New York State, any public benefit corporation or public authority established pursuant to the laws of New York or any agency of New York State which is empowered to construct and operate a project, or any two or more of the foregoing which are acting jointly in connection with a project. [Reference: 21 NYCRR 2602.2(a)(49) New York State Clean Water Revolving Fund Regulations]

Planning - means the orderly development of a project concept from the original statement of need or purpose through the evaluation of alternatives to a final recommendation on a course of action and measures to implement the selected alternative, including completion of the environmental review process. [Reference: 21 NYCRR 2602.2(a)(51) New York State Clean Water Revolving Fund Regulations]

Additional Resources

For more information, visit http://www.efc.ny.gov or http://www.dec.ny.gov/pubs/81196.html

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ONEIDA COUNTY BOARD OF LEGISLATORS

Gerald J. Fiorini, Chairman • 800 Park Avenue • Utica, New York 13501
Work Phone: 798-5900 • Home Phone: 337-9045

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WAYS & MEANS

February 6, 2015

Board of Legislators
800 Park Ave.
Utica, NY 13501

Honorable Members:

I am writing in regards to Local Law Introductory "B" regulating the tethering of dogs in Oneida County, which has been included in the March 11, 2015 Resolution Packet. This proposed Local Law is currently tabled in Ways and Means, and after meeting with the Utica and Rome Humane Societies, Spring Farm Cares, and CNYSPCA, I am requesting that the Ways and Means Committee remove the docket from the table and amend the current version. My amendments will be provided to the Committee on Board Day for their consideration.

To ensure that this law takes effect as soon as possible, I am attaching a message of necessity to this Local Law pending passage by Ways and Means, and I am requesting that the waiting period be waived for passage by this Board at the March 11, 2015 board meeting.

Thank you in advance.

Sincerely,

Gerald J. Fiorini

Gerald J. Fiorini
Chairman of the Board

67.