



ONEIDA COUNTY BOARD OF LEGISLATORS

ONEIDA COUNTY OFFICE BUILDING ♦ 800 PARK AVENUE ♦ UTICA, N.Y. 13501-2977

Gerald J. Fiorini
Chairman
(315) 798-5900

Mikale Billard
Clerk
(315) 798-5404

David J. Wood
Majority Leader

Patricia A. Hudak
Minority Leader

COMMUNICATIONS FOR DISTRIBUTION

December 29, 2010

(Correspondence relating to upcoming legislation, appointments, petitions, etc)

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ALL SUPPORTING DOCUMENTATION AVAILABLE AT

www.ocgov.net

WAYS & MEANS

Dated 12/15/10

We, the undersigned Republican members of the Oneida County Board of Legislators, hereby petition and designate the Rome Daily Sentinel as the official newspaper representing the Republican Party to publish the concurrent resolutions, election notices, official canvasses, local laws, notices and other matters required by law to be published in the year 2011.

Bin Mandyl

Mark White

Howard White

Frederic Scullal

Pat Brennan

Tom Egan

James DePue

Steve

Paul R. Paparella

~~Steve~~

Ed P. Votst

David Wieg

Herald

Stephen Koefaw

Chris Wood

with 11

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

INTRODUCED BY: Messrs. Porter, Wood, Fiorini
2ND BY:

**RE: DESIGNATION OF THE ROME DAILY SENTINEL AS THE OFFICIAL NEWSPAPER
REPRESENTING THE REPUBLICAN PARTY FOR 2011**

WHEREAS, A majority of the Republican members of this Board have designated, in writing, the Rome Sentinel as a newspaper representing the political party to which they belong, and the newspaper to publish the sessions laws, the notices of redemption, tax sales, official canvasses, local laws, notices, and all other matters required by law to be published in the year 2011; the Rome Sentinel also publishes all concurrent resolutions and election notices, and

WHEREAS, Such designation has been signed by the members making it and filed with the Clerk of the Board of County Legislators, now, therefore, be it hereby

RESOLVED, That the Rome Sentinel is hereby designated as the newspaper to publish the sessions laws, the notices of redemption, tax sales, official canvasses, local laws, election notices, notices, and all other matters required by law to be published in the year 2011, and the Rome Sentinel is also designated to publish the concurrent resolutions and that said newspaper is designated as the official newspaper of the County of Oneida for the year 2011.

APPROVED: Ways & Means Committee ()
DATED:

Adopted by the following v.v. vote:
AYES NAYS ABSENT

WAYS & MEANS

Dated 12/15/10

We, the undersigned Democratic members of the Oneida County Board of Legislators, hereby petition and designate the Utica Observer Dispatch as the official newspaper representing the Democratic Party to publish the concurrent resolutions, election notices, official canvasses, local laws, notices and other matters required by law to be published in the year 2011.

[Handwritten signatures]
Sharon Kaye Thoma
Chad Dewey
John Chy
Joseph J. ...
William Goodman
Martin J. Kaye
Michael ...

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

INTRODUCED BY: Messrs. Porter, Hudak
2ND BY:

**RE: DESIGNATION OF THE UTICA OBSERVER DISPATCH AS THE OFFICIAL NEWSPAPER
REPRESENTING THE DEMOCRATIC PARTY FOR 2011**

WHEREAS, A majority of the Democratic members of this Board have designated, in writing, the Utica Observer Dispatch as a newspaper representing the political party to which they belong, and the newspaper to publish the sessions laws, the notices of redemption, tax sales, official canvasses, local laws, notices, and all other matters required by law to be published in the year 2011; the Utica Observer Dispatch also publishes all concurrent resolutions and election notices, and

WHEREAS, Such designation has been signed by the members making it and filed with the Clerk of the Board of County Legislators, now, therefore, be it hereby

RESOLVED, That the Utica Observer Dispatch is hereby designated as the newspaper to publish the sessions laws, the notices of redemption, tax sales, official canvasses, local laws, election notices, notices, and all other matters required by law to be published in the year 2011, and the Utica Observer Dispatch is also designated to publish the concurrent resolutions and that said newspaper is designated as the official newspaper of the County of Oneida for the year 2011.

APPROVED: Ways & Means Committee ()

DATED:

Adopted by the following vote:
AYES NAYS ABSENT



ONEIDA COUNTY DEPARTMENT OF LAW

Oneida County Office Building
800 Park Avenue • Utica, New York 13501-2975
(315) 798-5910 • fax (315) 798-5603

ANTHONY J. PICENTE JR.
COUNTY EXECUTIVE

LINDA M.H. DILLON
COUNTY ATTORNEY

FN 20 10 - 474

December 20, 2010

Hon. Anthony J. Picente, Jr.
County Executive
Oneida County
800 Park Avenue
Utica, New York, 13501

COURTS, LAWS & RULES

WAYS & MEANS

RE: County Claims Administration

Dear Mr. Picente:

I enclose herewith a proposed contract between Oneida County and Gustave W. Boucher, d/b/a L.G. Boucher for claims administration services for the years 2011 through 2013.

Mr. Boucher, a contract agent with the former provider, CSI, Inc. is being recommended by the Law Department to continue this valuable service to the County. This office is satisfied with the level of service and experience offered by this individual in its efforts to maintain an independent source for administration and handling of lawsuits against the County. In addition, the retention of this individual appears to offer certain economies in the handling and disposition of claims. The amount of the contract is \$56,000. This is actually a savings from the previous contract amount paid to CSI, Inc.

I respectfully request that the attached contract be forwarded to the Board of Legislators for their consideration and approval at their December 29, 2010 regular session. I apologize for the abbreviated timeframe but the request for proposal process was more time consuming than anticipated.

Thank you for your kind attention to this request for action.

Very truly yours,

Linda M. H. Dillon
County Attorney

Cc: Mello Testa
Gustave W. Boucher
Kimberly S. Flint

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 12/21/10

6.

CLAIMS ADMINISTRATION AGREEMENT

This Agreement made the 20 day of December, 2010, by and between **COUNTY OF ONEIDA**, a New York municipal corporation with offices at the County Office Building, 800 Park Avenue, Utica, New York, 13501 (hereinafter referred to as the "County") and **GUSTAVE W. BOUCHER d/b/a LG Boucher**, P.O. Box 570, Galway, New York, 12074 (hereinafter referred to as the "Contractor")

WITNESSETH

WHEREAS, the County desires to arrange for risk and insurance administration services in accordance terms set forth below, and

WHEREAS, the Contractor possesses the requisite skill, experience, licenses and certifications to provide such services as are set forth in said terms

NOW THEREFORE, in consideration of the mutual promises made herein, the parties hereto agree as follows:

1. The term of this Agreement shall be for a period of three (3) years beginning on January 1, 2011 and ending on December 31, 2013.
2. The County shall pay to the Contractor the following sums for each contract year: sum of Fifty Six Thousand Dollars (\$56, 000.) for the services provided by the Contractor under the terms of this Agreement. Contractor shall be paid in equal monthly installments totaling Four Thousand Six Hundred Sixty Six and 66/100 Dollars (\$4,666.66) upon presentation to the Oneida County Comptroller of properly completed vouchers.
3. The Contractor shall provide the following claims administration and adjustment services to the County:
 - a. Investigate all tort claims made against the County;
 - b. Provide periodic written reviews and reports on all claims to the County or any required or designated agencies of the County;
 - c. Provide any administrative and clerical work in connection with managing and tracking the claims;
 - d. Vigorously pursue any subrogation and loss recoveries;
 - e. Establish and maintain individual files for each claim, which files shall be the sole and exclusive property of the County'
 - f. Make recommendations regarding payment/adjustment of claims or settlement of claims, losses and other loss adjustment expenses related to claims;

- g. retain and store, at the County's request, any closed claims files for a period of one (1) year, after which such files shall be transferred to the custody of the designated record keeper for the County.
4. The Contractor shall make recommendations and retain defense counsel to represent the County in any proceedings related to such claims. The Contractor shall retain counsel chosen from the County's panel of lawyers/firms, which panel of lawyers is attached hereto as Attachment "B".
5. The Contractor shall monitor and document the status of all County tort claims so as to be able to provide the County with a current status report upon request.
6. The Contractor shall provide detailed and cumulative claims analysis reports to the County in the form and frequency set forth in the Proposal submitted to the County, which Proposal is attached herewith and made a part hereof this Agreement as Attachment "A".
7. This Agreement may be terminated upon sixty (60) days written notice of termination by either party. At such time as either party may elect to terminate the Agreement, all files, documents, reports and other papers related to the tort claims handled by the Contractor under the terms of this Agreement shall be returned to the County along with a final report from the Contractor as to the then current status of each file. At such time as either party may elect to terminate this Agreement, the payments to the Contractor shall be pro-rated as of and to the date of termination.
8. Each party agrees to indemnify the other against any claims, demands, proceedings, actions, damages, costs and expenses incurred as a consequence of its negligence in fulfilling its obligations and responsibilities under the terms of this Agreement.
9. Contractor agrees to meet with the County on reasonable notice and at reasonable times and locations to permit the County to inspect or audit any and all files controlled or supervised by the Contractor under this Agreement.
10. The Contractor may verbally represent to prospective clients that the County is its customer and may list the County as its customer on customer lists provided to prospective clients. Except as provided herein, the Contractor shall not display the County's name in any manner, including, without limitation, for the purpose of

promotion, development or acquisition of new business for the Contractor.

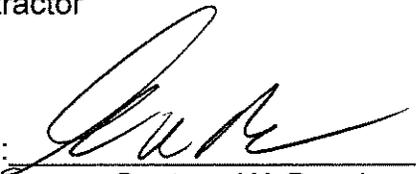
11. All notices required herein shall be served on or mailed to the parties at the addresses indicated above or as otherwise set forth in the Proposal attached herewith as Attachment "A".
12. This Agreement, comprised of the above written terms, shall constitute the entire understanding between the County and the Contractor. This Agreement may only be modified by a writing signed by the parties.
13. This Agreement shall be governed by the laws of the State of New York.

IN WITNESS WHEREOF the County and the Contractor have signed this Agreement on the day and year first above written.

County of Oneida County

Contractor

By: _____
Anthony J. Picente
Oneida County Executive

By: 
Gustave W. Boucher

Approved as to Form only



County Attorney's Office

ATTACHMENT "A"

General Services:

- a. Investigate all tort claims made against the County of Oneida, including, but not limited to, its departments, agencies, elected and appointed officials and employees;
- b. Provide periodic written reviews and reports on all claims against the County to the County Attorney or any required designated agency or contract attorney of the County;
- c. Provide any necessary administrative and clerical work in connection with the management and tracking of claims;
- d. Vigorously pursue any subrogation and loss recoveries;
- e. Establish and maintain individual files for each claim, which files shall be the sole and exclusive property of the County;
- f. Make recommendations regarding payment/adjustment of claims or settlement of claims, losses and other loss adjustment expenses related to such claims;
- g. Retain and store, at the request of the County, any closed claims files for a period of one (1) year, after which such files shall be transferred to the custody of the designated record keeper for the County;
- h. Provide Errors and Omissions Professional Liability Insurance with the County of Oneida named as an additional insured;
- i. Provide all necessary field investigation and appraisals related to claims;
- j. Provide all necessary licenses and certifications accrediting the Contractor for the work required under the terms of the Claims Administration Agreement.
- k. Maintain a data base of information related to Oneida County claims which may be readily accessed for information and a status report as needed by the County Attorney and contract attorneys handling specific claims.

- l. Make recommendations and retain defense counsel to represent the County in any proceedings related to the subject claims; such counsel shall be chosen and retained pursuant to the panel of lawyers/firms set forth in Attachment "B"
- m. Provide detailed and cumulative analysis reports to the County in electronic format as requested, or minimally, on a semiannual basis. Provide item and summary reports from Consultant's Standard Report Guide, as may be selected by the County, which indicate cumulative claims and loss and expense payment.

ATTACHMENT "B"

Petrone & Petrone, P.C.
1624 Genesee Street
Utica, NY 13502

David R. Diodati, Esq.
23 Genesee Street
New Hartford, NY 13413

Bartle J. Gorman, Esq.
Gorman, Waskiewicz, Gorman & Schmitt
1508 Genesee Street
Utica, New York, 13502

David A. Bagley, Esq.
Kernan Professional Group
1310 Utica Street
P. O. Box 750
Oriskany, NY, 13424

ANTHONY R. CARVELLI
COMMISSIONER

ONEIDA COUNTY

ANTHONY J. PICENTE JR.
COUNTY EXECUTIVE



DEPARTMENT OF FINANCE

County Office Building ♦ 800 Park Avenue ♦ Utica, New York 13501
(315) 798-5750 ♦ Fax: (315) 735-8371 ♦ www.ocgov.net

December 20, 2010

FN 20 10 - 475

WAYS & MEANS

Mr. Anthony J. Picente, Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

Dear Mr. Picente:

Please find enclosed with this correspondence several resolutions extending against the taxable property located in Oneida County various tax levies for 2011.

Please forward same to the Board of Legislators for their action on December 29, 2010.

Sincerely,

Anthony Carvelli
Commissioner of Finance

AC/bad

Enclosures

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 12/31/10

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

**INTRODUCED BY:
2ND BY:**

**RE: APPROVAL OF HIGHWAY 1, 2, 3 & 4 HEALTH, FIRE PREVENTION, GENERAL WELFARE,
AND SPECIFIED DISTRICT TAXES TO BE LEVIED AGAINST TOWNS**

WHEREAS, There has been presented to this Board a duly certified copy of the annual budget of each of the several towns in the County of Oneida for the fiscal year beginning January 1, 2011, now therefore, be it hereby

RESOLVED, That there shall be assessed and levied upon, and collected from, the real property liable therefore within the respective fire, fire protection, fire alarm and improvement districts in the towns specified in their respective annual budgets;

RESOLVED, (a) That there be and hereby is assessed and levied upon, and collected from, the taxable real property situate in the named towns outside of any incorporated village, wholly or partially located therein, the amounts indicated therein for Town-Wide General, Town-Wide Highway, Highway Outside and General Outside as specified in the budgets of the respective towns, and be it further

RESOLVED, (b) That the amounts to be raised by tax for all purposes specified in the said several annual budgets as presented to this Board and which are on file within the Office of the Clerk and/or the Commissioner of Finance, shall be and hereby are assessed and levied upon, and collectible from, all taxable property in the towns as enumerated, except as otherwise provided by law.

APPROVED: Ways & Means Committee (December 29, 2010)

DATED: December 29, 2010

**INTRODUCTORY
NO.**

F.N.)

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

**INTRODUCED BY:
2ND BY:**

**RE: WATER RENTS, SEWER CHARGES, AND OTHER MISCELLANEOUS CHARGES TO
BE LEVIED ON PROPERTIES IN SPECIFIED TOWNS**

WHEREAS, The supervisors of various towns have filed with the Commissioner of Finance itemized statements showing owners, and amounts of arrears of said owners, or water rents and sewer charges, as more particularly on file with the Commissioner of Finance, and

WHEREAS, The Commissioner of Finance may be aware of other pro-rata taxes, DEC Violations, NSF charges or other miscellaneous charges against properties owned by various persons, now, therefore, be it hereby

RESOLVED, That there be levied and extended on the 2011 tax rolls of the aforementioned towns, against properties owned by various persons mentioned in the amounts set forth opposite their respective names.

APPROVED: Ways & Means Committee (December 29, 2010)

DATED: December 29, 2010

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

INTRODUCED BY:
2ND BY:

RE: AMOUNTS TO BE LEVIED AS PART OF COUNTY TAX AND EXTENDED AGAINST PROPERTIES IN VARIOUS TOWNS AND CITIES

WHEREAS, There have been filed with the Clerk of the Oneida County Board of legislators and the Commissioner of Finance reports by various city, town and other public officials, and

WHEREAS, Said reports show various figures which are to be levied as part of the 2011 County tax, now, therefore, be it hereby

RESOLVED, That the amounts* herein set forth be, and the same hereby are, levied as part of the 2011 County tax and ordered extended against properties in the various towns and cities according to law, as follows:

| | |
|--|-----------------|
| School Superintendent's levy | \$ 15,657.00 |
| Erroneous Taxes & Misc. Credits, net | \$ 49,997.70 |
| Returned School Taxes | \$ 7,830,768.79 |
| Delinquent Charges School | \$ 548,165.23 |
| Returned Village Taxes | \$ 517,039.47 |
| Delinquent Charges Village | \$ 36,192.45 |
| UMVRWB Town Outside Water Charges | \$ 44,453.11 |
| Delinquent Charges – Water | \$ 808.18 |
| HAVA | \$ 730,080.52 |

OIN Pursuant to Chapter 144, State Finance Law, Laws of New York, 2010:

| | |
|--|-----------------|
| Town of Verona, VVS/Oneida City School | |
| 2010/11 Additional Value from OIN | \$ 9,992,979.52 |
| Delinquent Charges – School | \$ 699,508.57 |
| Town of Vernon, VVS School | |
| 2010/11 Additional Value from OIN | \$ 557,070.71 |
| Delinquent Charges – School | \$ 38,994.95 |

*@ 12-20-10, subject to items returned for insufficient funds or similar adjustments, if any.

APPROVED: Ways & Means Committee (December 29, 2010)

DATED: December 29, 2010

**INTRODUCTORY
NO.**

F.N.

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

INTRODUCED BY

2ND BY:

**RE: AMOUNT OF \$66,807,332 TO BE LEVIED AND ASSESSED UPON PROPERTIES IN
ONEIDA COUNTY**

WHEREAS, The Oneida County Board of Legislators adopted the 2011 County Budget and it is now necessary to levy taxes accordingly, now, therefore, be it hereby

RESOLVED, That there be levied and assessed upon the taxable property of the County of Oneida therefore, for State and County purposes and for highway purposes, the sum of \$64,307,332, and be it further

RESOLVED, That there be levied and assessed upon the taxable property of the County of Oneida therefore for Town and County purposes the sum of \$2,500,000.00 as a reserve for unpaid taxes, and be it further

RESOLVED, That the total of the aforementioned levies shall be \$66,807,332.

APPROVED: Ways & Means Committee (December 29, 2010)

DATED: December 29, 2010

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

**INTRODUCED BY:
2ND BY:**

**RE: APPROVAL OF SPECIFIED AMOUNTS TO BE LEVIED AGAINST UTICA AND
SPECIFIED TOWNS FOR DELINQUENT SEWER CHARGES**

WHEREAS, The Finance Administrator, Oneida County Part County Sewer District, has filed with the Commissioner of Finance an itemized statement showing owners and the amounts of arrears of said owners for Sewer Use Charges, on file with the Commissioner of Finance, now, therefore, be it hereby

RESOLVED, That there shall be levied and extended on the 2011 tax rolls of the towns and cities listed below against the properties owned by the various persons in the amounts set opposite their respective names, as follows:

| MUNICIPALITY | AMOUNT |
|--------------|---------------------|
| Utica | \$166,216.74 |
| Deerfield | \$ 7,333.13 |
| Marcy | \$ 11,119.45 |
| New Hartford | \$ 21,588.36 |
| Whitestown | \$ 32,747.15 |
| Paris | \$ 18,405.60 |
| Trenton | \$ <u>1,535.81</u> |
| TOTAL | \$258,946.24 |

*plus items posted for insufficient funds or similar adjustments if necessary.

APPROVED: Ways & Means Committee (December 29, 2010)

DATED: December 29, 2010

**INTRODUCTORY
NO.**

F.N.

ONEIDA COUNTY BOARD OF LEGISLATORS

RESOLUTION NO.

**INTRODUCED BY:
2ND BY:**

**RE: AMOUNTS TO BE LEVIED AND ASSESSED UPON CERTAIN ONEIDA INDIAN
NATION PROPERTIES IN ONEIDA COUNTY PURSUANT WITH CHAPTER 144,
STATE FINANCE LAW, LAWS OF NEW YORK, 2010**

WHEREAS, The New York State Legislature has enacted legislation to address the uncertainty that exists as to when, and to what extent, the Oneida Indian Nation will pay taxes, and

WHEREAS, The administrative calculations of tax liability within the County are contingent upon an accurate and final determination of property tax liability and payment against such liabilities, and

WHEREAS, It is necessary to generally preserve the status quo for all other property owners pending the resolution of the uncertainty, now, therefore, be it hereby

RESOLVED, That the sums generated from additional value assessments on certain properties owned by the Oneida Indian Nation as specified in said legislation are levied and extended on the 2011 tax rolls.

APPROVED: Ways & Means Committee (December 29, 2010)

DATED: December 29, 2010

20.



ONEIDA COUNTY BOARD OF LEGISLATORS

Gerald J. Fiorini, Chairman ♦ 800 Park Avenue ♦ Utica, New York 13501
Work Phone: 798-5900 ♦ Home Phone: 337-9045

December 23, 2010

FN 20 10 - 476

READ & FILED

Board of Legislators
800 Park Ave.
Utica, NY 13501

Honorable Members:

Pursuant to Rule 29 of the Rules of the Board, I do hereby extend into 2011 the following Docket Numbers:

2010-445
2010-446
2010-447
2010-451
2010-452
2010-465
2010-466
2010-468
2010-469
2010-470

Thank you for your consideration.

Sincerely,

Gerald J. Fiorini
Chairman

RECEIVED
ONEIDA COUNTY LEGISLATURE
2010 DEC 27 PM 10:16

ONEIDA-HERKIMER SOLID WASTE AUTHORITY

BOARD MEMBERS

Donald Gross, Chairman
Neil C. Angell, Vice Chairman
Harry A. Hertline, Treasurer
Vincent A. Casale
Alicia Dicks

James M. D'Onofrio
Barbara Freeman
Kenneth A. Long
Robert J. Roberts, III
James M. Williams

William A. Rabbia, Executive Director
Peter M. Rayhill, Authority Counsel
Jodi M. Tuttle, Authority Secretary

December 21, 2010

FN 20 10 477

Mr. Mikale Billard
Clerk
Oneida County Board of Legislators
800 Park Ave.
Utica, NY 13501

READ & FILED

Dear Mr. Billard:

Please find enclosed for your information and file a copy of the adopted 2011 budget for the Oneida-Herkimer Solid Waste Management Authority. This is being forwarded to you pursuant to Article IX, Section 9.2 of the Authority Bylaws and the Public Authorities Accountability Act of 2005.

If you have any questions, please contact me at (315)733-1224.

Sincerely,



William A. Rabbia
Executive Director

WAR/jmt

22.

**ONEIDA-HERKIMER
SOLID WASTE
AUTHORITY**

**2011
ADOPTED
BUDGET**

ADOPTED: DECEMBER 20, 2010

24.

ONEIDA-HERKIMER SOLID WASTE AUTHORITY

BOARD MEMBERS

Donald Gross, Chairman
Neil C. Angell, Vice Chairman
Harry A. Hertline, Treasurer
Vincent A. Casale
Alicia Dicks

James M. D'Onofrio
Barbara Freeman
Kenneth A. Long
Robert J. Roberts, III
James M. Williams

William A. Rabbia, Executive Director
Peter M. Rayhill, Authority Counsel
Jodi M. Tuttle, Authority Secretary

Introductory No. 33

Resolution No. 33

Introduced by: Mr. Hertline
Seconded by: Mr. Roberts

RE: APPROVAL OF 2011 ONEIDA-HERKIMER SOLID WASTE MANAGEMENT AUTHORITY BUDGET AND 2011 RATE SCHEDULE AND THE 2011-2012 SOLID WASTE COLLECTION AND DISPOSAL PERMIT RULES AND REGULATIONS

WHEREAS, the Authority commenced the budget preparation process in August; and

WHEREAS, the Finance Committee of the Board of Directors reviewed financial information, revenue estimates, and expenditure requests and the Committee evaluated alternatives and compiled a proposed budget for 2011; and

WHEREAS, the proposed budget for the Oneida-Herkimer Solid Waste Management Authority for 2011 was distributed on October 29, 2010 in conformance with the Public Authorities Accountability Act, the Authority statute and bylaws; and

WHEREAS, the proposed budget has been available for public comment since October 29, 2010 and it was also the subject of a public briefing at the Authority meeting on November 15, 2010; and

WHEREAS, a public hearing was held on December 20, 2010 to receive and consider public comment on the proposed budget; and

WHEREAS, all other provisions of the Public Authorities Accountability Act, Authority statute and bylaws were met with respect to the proposed budget; and

WHEREAS, the proposed budget for the Authority covers the revenues and expenditures for the Administrative function, the Western Transfer Station, the Eastern Transfer Station, the Green Waste Compost Facility, the Recycling Center, the Household Hazardous Waste Facility, Debt Service, the Regional Landfill Facility, the Utica collection function, the Ilion collection function, the Frankfort collection function, the Mohawk collection function, the Dolgeville collection function and the Herkimer collection function; and

25

Introductory No. 33

Resolution No. 33

Page 2

WHEREAS, the Authority is continuing a system of fees and charges which meet all its obligations and support its functions and also provide direct economic incentives to maximize recycling; now, therefore, be it

RESOLVED, that the Authority hereby adopts the 2011 budget as attached; and be it further

RESOLVED, the attached schedule of charges be adopted for Authority Services for 2011; and be it further

RESOLVED, that the Authority hereby approves the 2011-2012 Solid Waste Collection and Disposal Permit Rules and Regulations as attached; and be it further

RESOLVED, that the Authority reserves the right to establish or amend fees, as it may deem necessary, at a later date.

Adopted by the following vote:

AYES_8_ NAYS_0_

Absent: Ms. Dicks and Mr. Long

Dated: December 20, 2010

| 2011 ADOPTED FEE SCHEDULE WASTE CLASS | CONTRACT PERMITTED HAULER RATE PER TON OR RATE PER ITEM | NON-CONTRACT PERMITTED HAULER RATE PER TON OR RATE PER ITEM | RESIDENT RATE PER TON OR RATE PER ITEM |
|---|--|--|---|
| Municipal Solid Waste (Non-Recyclable Waste) | \$72.15 | \$115.00 | \$72.15 |
| Local Waste | \$63.00 | N/A | N/A |
| Sludge | \$72.15 | \$115.00 | N/A |
| Construction & Demolition | \$58.00 | \$115.00 | \$58.00 |
| C&D T&D Roll-Off | \$73.00 | N/A | N/A |
| C&D T&D Trailer | \$58.00 | N/A | N/A |
| C&D - Trailer Direct Haul | \$50.00 | N/A | N/A |
| Waste Mixed with 25% Recyclables | \$144.30 | \$230.00 | \$144.30 |
| Select C&D | \$25.00 | N/A | \$25.00 |
| Clean Wood Pallets | \$15.00 | \$15.00 | \$15.00 |
| Direct Haul Special Waste | \$55.00 | \$87.00 | N/A |
| Direct Haul Asbestos | \$120.00 | \$159.00 | N/A |
| ADC/Contaminated Soil - Regional Landfill Cover | \$15-\$30 | N/A | N/A |
| System Recyclables | \$0.00 | \$49.00 | \$0.00 |
| Out of Region Mixed Recyclables | \$26.00 | N/A | N/A |
| Out of Region Container Recyclables | \$49.00 | N/A | N/A |
| Recyclables Mixed with Unacceptable Material | \$72.15 | \$115.00 | \$72.15 |
| Green Waste Compost Disposal | \$15.00 | \$18.50 | \$5.00 Flat Fee P/U Truck/Trailer |
| Green Waste Compost Disposal - Minimum Charge | \$5.00 | \$5.00 | Small Load - No Charge |
| Bulk Compost Out - Large P/U Truck (we load) | N/A | N/A | \$20.00 Flat Fee |
| Bulk Compost Out - Small P/U Truck (we load) | N/A | N/A | \$15.00 Flat Fee |
| Bulk Compost Out - Less than 100 yd. (we load) | N/A | N/A | \$15.00 Per Yard |
| Bulk Compost Out - Greater than 100 yd. (we load) | N/A | N/A | \$12.00 Per Yard - By Appointment Only |
| Stumps, Root Balls & Oversized Limbs | \$15.00 | \$18.50 | N/A |
| Tires up to 16" (Per Tire) | \$2.50 | \$3.00 | \$2.50 |
| Tires 700 to 900 Series (Per Tire) | \$4.50 | \$5.50 | \$4.50 |
| Tires 900 to 11.20 Series (Per Tire) | \$12.50 | \$15.50 | \$12.50 |
| Tractor Tires (Per Tire) | \$20.00 | \$25.00 | \$20.00 |
| Tires Bulk | \$190.00 | \$235.00 | \$190.00 |
| Tires on a Rim Add for Each | \$2.00 | \$2.00 | \$2.00 |
| Household White Goods (i.e. Washers) Per Item | \$0.00 | \$0.00 | \$0.00 |
| Household Items w/CFCs (i.e. Freezers) Per Item | \$10.00 | \$15.00 | \$10.00 |
| 35-Gallon Bag of MSW | N/A | N/A | \$2.00 |
| One Bulk Item (i.e. Chair/Sofa/Table) | N/A | N/A | \$5.00 |
| Minimum Load Charge MSW 0 to 250 lbs. | \$10.00 Flat Fee | \$15.00 Flat Fee | \$10.00 Flat Fee |
| Minimum Load Charge MSW 251 to 500 lbs. | \$18.00 Flat Fee | \$28.75 Flat Fee | \$18.00 Flat Fee |
| Minimum Load Charge MSW 501 to 750 lbs. | \$27.50 Flat Fee | \$43.25 Flat Fee | \$27.50 Flat Fee |
| Minimum Load Charge MSW 751 to 1,000 lbs. | \$36.00 Flat Fee | \$57.50 Flat Fee | \$36.00 Flat Fee |
| Minimum Load Charge - C & D 0 to 250 lbs. | \$10.00 Flat Fee | \$15.00 Flat Fee | \$10.00 Flat Fee |
| Minimum Load Charge - C & D 251 to 500 lbs. | \$14.50 Flat Fee | \$28.75 Flat Fee | \$14.50 Flat Fee |
| Minimum Load Charge - C & D 501 to 750 lbs. | \$22.00 Flat Fee | \$43.25 Flat Fee | \$22.00 Flat Fee |
| Minimum Load Charge - C & D 751 to 1,000 lbs. | \$29.00 Flat Fee | \$57.50 Flat Fee | \$29.00 Flat Fee |
| Penalty for Untarped Loads | \$10.00 | \$10.00 | \$10.00 |
| Manually Removing Frozen Loads | \$0.00 | \$25.00 | N/A |
| Vehicle Weight Fee | \$5.00 | \$10.00 | \$5.00 |

MSW = Municipal Solid Waste (Non-Recyclable Waste)
ADC = Alternate Daily Cover
C&D = Construction & Demolition Debris
T&D = Trucking & Disposal
N/A = Non-Applicable

27.

| ADOPTED 2011 AUTHORITY REVENUES | | | | |
|---------------------------------|---------------------------|------------------------------|---------------------------|------------------------|
| CATEGORY | 2009 AMENDED BUDGET | 2010 SIX MONTHS ACTUAL | 2010 AMENDED BUDGET | 2011 ADOPTED BUDGET |
| System Tipping Fee (STF) | \$18,747,200 | \$8,734,818 | \$18,205,737 | \$18,258,200 |
| Sale of Recyclables | \$2,100,000 | \$1,492,069 | \$1,665,000 | \$1,600,000 |
| Recyclable Processing Fees | \$0 | \$37,676 | 0 | \$80,000 |
| Compost Tipping Fees | \$168,000 | \$66,316 | \$168,000 | \$148,000 |
| Interest Earnings | \$450,000 | \$228,691 | \$385,000 | \$410,000 |
| Recycling Fees-Tires | \$100,000 | \$62,732 | \$145,000 | \$125,000 |
| Bottle Redemption | \$42,000 | \$27,714 | \$40,000 | \$38,600 |
| Department Transfers | \$140,200 | \$66,600 | \$132,200 | \$98,200 |
| HHW Grant | \$73,500 | \$0 | \$71,563 | \$60,000 |
| CESQG Fees | \$45,500 | \$23,428 | \$45,500 | \$46,000 |
| Sale of Equipment | \$35,000 | \$0 | \$25,000 | \$15,000 |
| Discounts | (\$20,000) | (\$8,261) | (\$20,000) | (\$17,000) |
| Penalties | \$10,000 | (\$986) | \$5,000 | \$2,000 |
| Permits | \$2,600 | \$870 | \$5,000 | \$5,000 |
| Sale of Compost | \$78,000 | \$58,402 | \$120,000 | \$95,000 |
| Miscellaneous Income | \$69,000 | \$62,037 | \$55,000 | \$50,000 |
| Landfill Gas Revenues | \$0 | \$0 | \$0 | \$25,000 |
| Subtotal | \$22,041,000 | \$10,852,106 | \$21,048,000 | \$21,039,000 |

| ADOPTED 2011 LOCAL GOVERNMENT REVENUES | | | | |
|--|---------------------------|------------------------------|---------------------------|------------------------|
| CATEGORY | 2009 AMENDED BUDGET | 2010 SIX MONTHS ACTUAL | 2010 AMENDED BUDGET | 2011 ADOPTED BUDGET |
| Toter Revenue | \$620,998 | \$311,796 | \$618,000 | \$621,500 |
| Sale of Bags | \$2,099,116 | \$1,001,144 | \$2,054,500 | \$2,034,400 |
| User Fees | \$2,075,000 | \$951,381 | \$2,075,000 | \$2,055,000 |
| Interest Earnings | \$50,000 | \$5,343 | \$35,200 | \$20,400 |
| Penalties | \$30,000 | \$16,340 | \$30,000 | \$39,000 |
| Miscellaneous Income | \$220,000 | \$2,221 | \$279,300 | \$264,700 |
| Subtotal | \$5,095,114 | \$2,288,225 | \$5,092,000 | \$5,035,000 |
| TOTAL REVENUES | \$27,136,114 | \$13,140,331 | \$26,140,000 | \$26,074,000 |

2011 PROJECTED TONNAGE

| Waste Class | 2008 Actual Tons | 2009 Actual Tons | 2010 Budget Tons | 2010 Rates | 2010 Budgeted Revenue | 2010 Actual Tons 6 months | 2010 Revenue 6 Mo. | 2011 Projected Tons | 2011 Adopted Rates | 2011 Projected Revenue |
|--|------------------|------------------|------------------|-------------|-----------------------|---------------------------|--------------------|---------------------|--------------------|------------------------|
| MSW | 172,526 | 168,028 | 167,810 | \$72.15 | \$12,107,487 | 81,471 | \$5,810,612 | 167,000 | \$72.15 | \$12,049,050 |
| C&D | 44,191 | 47,080 | 43,100 | \$58.00 | \$2,499,800 | 20,386 | \$1,199,137 | 43,500 | \$58.00 | \$2,523,000 |
| C&D/T&D Trailer | 1,386 | 1,498 | 3,000 | \$58.00 | \$174,000 | 3,131 | \$181,598 | 4,000 | \$58.00 | \$232,000 |
| C&D/T&D Trailer Direct | 2,584 | 3,371 | 2,500 | \$50.00 | \$125,000 | 366 | \$18,300 | 2,000 | \$50.00 | \$100,000 |
| C&D/T&D Roll-off | 1,679 | 371 | 1,500 | \$73.00 | \$109,500 | 267 | \$19,491 | 1,000 | \$73.00 | \$73,000 |
| Direct Haul Special | 4,391 | 3,183 | 4,000 | \$55.00 | \$220,000 | 1,523 | \$83,765 | 3,500 | \$55.00 | \$192,500 |
| Local Waste | 5,905 | 5,826 | 5,100 | \$63.00 | \$321,300 | 2,263 | \$142,569 | 5,000 | \$63.00 | \$315,000 |
| Sludge | 11,110 | 11,398 | 11,000 | \$72.15 | \$793,650 | 5,635 | \$392,106 | 11,000 | \$72.15 | \$793,650 |
| Asbestos | 7,051 | 2,491 | 4,000 | \$120.00 | \$480,000 | 2,219 | \$266,280 | 4,000 | \$120.00 | \$480,000 |
| ADC/Cover**** | 67,095 | 79,540 | 55,000 | \$15 - \$30 | \$1,375,000 | 24,837 | \$620,925 | 60,000 | \$15 - \$30 | \$1,500,000 |
| TOTAL | 317,918 | 322,786 | 297,010 | ---- | \$18,205,737 | 142,098 | \$8,734,783 | \$301,000 | ----- | \$18,258,200 |
| **** Revenue is estimated at \$25 per ton for 2011 | | | | | | | | | | |

| ADOPTED 2011 AUTHORITY EXPENSES | | | | |
|---------------------------------|---------------------------|------------------------------|---------------------------|------------------------|
| CATEGORY | 2009 AMENDED BUDGET | 2010 SIX MONTHS ACTUAL | 2010 AMENDED BUDGET | 2011 ADOPTED BUDGET |
| Salaries & Wages | \$3,293,749 | \$1,430,387 | \$3,052,379 | \$2,945,044 |
| Overtime/Shift Differential | \$254,500 | \$109,916 | \$203,000 | \$203,100 |
| Other Fees & Services | \$925,000 | \$258,870 | \$955,925 | \$606,500 |
| Temporary Labor | \$38,000 | \$72,889 | \$123,000 | \$75,000 |
| Office Equipment & Supplies | \$44,500 | \$18,342 | \$43,800 | \$35,700 |
| Insurance | \$131,100 | \$53,605 | \$138,750 | \$130,200 |
| Rent/Lease of Equipment | \$159,600 | \$12,075 | \$27,000 | \$23,700 |
| Utilities | \$399,200 | \$146,876 | \$353,800 | \$310,800 |
| Telephone | \$35,500 | \$15,895 | \$36,500 | \$35,700 |
| Postage/Shipping | \$15,000 | \$9,620 | \$16,100 | \$16,500 |
| Training | \$23,000 | \$8,398 | \$20,000 | \$22,500 |
| Public Information | \$75,000 | \$2,233 | \$51,000 | \$150,000 |
| Uniforms & Safety | \$36,000 | \$15,024 | \$35,000 | \$29,000 |
| Auto Supplies/Repairs | \$211,300 | \$67,207 | \$197,400 | \$166,500 |
| Travel | \$16,000 | \$3,370 | \$18,000 | \$18,000 |
| Gas & Oil | \$1,285,500 | \$390,110 | \$956,230 | \$880,220 |
| Materials & Supplies | \$308,500 | \$101,944 | \$271,500 | \$192,500 |
| Maintenance Service Contracts | \$92,000 | \$45,758 | \$121,000 | \$129,300 |
| Transportation | \$2,383,000 | \$1,094,708 | \$2,201,000 | \$2,658,000 |
| Disposal Fees | \$262,500 | \$95,664 | \$250,000 | \$250,000 |
| Host Community Fee | \$0 | \$290,971 | \$638,000 | \$697,000 |
| Other Expenses | \$459,900 | \$103,730 | \$327,500 | \$269,500 |
| Retirement | \$282,600 | \$165,352 | \$228,267 | \$511,028 |
| Social Security | \$265,682 | \$112,299 | \$249,036 | \$240,833 |
| Workers Compensation | \$70,700 | \$42,432 | \$82,442 | \$86,500 |
| Health Insurance | \$658,500 | \$342,780 | \$748,570 | \$846,000 |
| GASB 45 Contribution | \$0 | \$49,135 | \$77,000 | \$80,000 |
| Debt Service | \$6,685,000 | \$906,990 | \$6,684,000 | \$6,684,000 |
| Capital Projects | \$376,200 | \$161 | \$416,500 | \$292,750 |
| Depreciation | \$0 | \$2,017,722 | \$0 | |
| Reserves | \$2,723,000 | \$219,800 | \$2,255,000 | \$2,190,000 |
| Contingency | \$530,469 | \$0 | \$270,301 | \$263,125 |
| TOTAL EXPENSES | \$22,041,000 | \$8,204,263 | \$21,048,000 | \$21,039,000 |

| ADOPTED 2011 LOCAL GOVERNMENT EXPENSES | | | | |
|--|---------------------------|------------------------------|---------------------------|------------------------|
| CATEGORY | 2009 AMENDED BUDGET | 2010 SIX MONTHS ACTUAL | 2010 AMENDED BUDGET | 2011 ADOPTED BUDGET |
| Salaries & Wages | \$191,864 | \$110,646 | \$230,987 | \$221,296 |
| Overtime/Shift Differential | \$25,000 | \$7,725 | \$7,000 | \$15,000 |
| Other Fees & Services | \$311,600 | \$127,530 | \$262,000 | \$263,800 |
| Temporary Labor | \$3,000 | \$0 | \$1,500 | |
| Office Equipment & Supplies | \$6,500 | \$472 | \$5,000 | \$3,000 |
| Insurance | \$25,000 | \$10,669 | \$24,800 | \$22,000 |
| Rent/Lease of Equipment | \$38,300 | \$17,140 | \$35,300 | \$35,600 |
| Utilities | \$0 | \$0 | \$0 | |
| Telephone | \$1,000 | \$196 | \$1,000 | \$500 |
| Postage/Shipping | \$13,000 | \$7,002 | \$13,000 | \$13,000 |
| Training | \$0 | \$0 | \$0 | |
| Public Information | \$4,000 | \$0 | \$5,000 | \$2,000 |
| Uniforms & Safety | \$1,500 | \$666 | \$1,000 | \$1,000 |
| Auto Supplies/Repairs | \$6,000 | \$1,379 | \$4,000 | \$3,500 |
| Travel | \$0 | \$105 | \$0 | |
| Gas & Oil | \$12,000 | \$1,581 | \$17,500 | \$8,000 |
| Materials & Supplies | \$0 | \$0 | \$0 | |
| Maintenance Service Contracts | \$0 | \$420 | \$0 | |
| Transportation | \$0 | \$0 | \$0 | |
| Disposal Fees | \$1,826,085 | \$854,547 | \$1,766,500 | \$1,770,000 |
| Collection Fees | \$2,147,673 | \$1,086,666 | \$2,180,550 | \$2,212,230 |
| Other Expenses | \$73,200 | \$49,858 | \$83,200 | \$79,747 |
| Retirement | \$17,400 | \$26,167 | \$25,200 | \$36,862 |
| Social Security | \$16,000 | \$9,003 | \$18,206 | \$18,077 |
| Workers Compensation | \$4,000 | \$3,192 | \$9,059 | \$7,000 |
| Health Insurance | \$20,000 | \$18,824 | \$31,430 | \$45,000 |
| Debt Service | \$16,000 | \$8,000 | \$16,000 | \$16,000 |
| Capital Projects | \$28,000 | \$0 | \$33,500 | \$4,250 |
| Depreciation | \$0 | \$21,133 | \$0 | |
| Reserves | \$0 | \$0 | \$0 | |
| Contingency | \$32,992 | \$0 | \$31,268 | \$7,138 |
| Transfers to Other Departments | \$80,000 | \$47,000 | \$94,000 | \$60,000 |
| Bad Debt | \$195,000 | \$95,000 | \$195,000 | \$190,000 |
| TOTAL EXPENSES | \$5,095,114 | \$2,504,921 | \$5,092,000 | \$5,035,000 |

**2011 Adopted
Capital Plan**

| | 2011 | 2012 | 2013 | 2014 | 2015 |
|--------------------------------|---------------------|------------------|------------------|--------------------|------------------|
| Recycling Center | | | | | |
| Fleet Maint. Pick-Up Truck | | | | | \$34,000 |
| Roll-Off Boxes | \$8,500 | | | \$10,000 | \$12,000 |
| Schuler Highway Repairs | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| Skid Steer Loaders | | | | | \$35,000 |
| Heavy Duty Pick-Up Truck | | | | \$32,000 | |
| Loader | | | \$150,000 | | |
| Conveyors | | | | | |
| Dock Levelers/Seals | | | | | |
| Roll-Off/Rail Truck | | | \$130,000 | | |
| Scale 4 Replacement | | | | | |
| Single Stream Conversion | \$10,000,000 | | | | |
| Scale Deck | | | | \$20,000 | \$20,000 |
| RC Total | \$10,033,500 | \$25,000 | \$305,000 | \$87,000 | \$126,000 |
| Administration | | | | | |
| Capital Reserve | | | | \$50,000 | \$50,000 |
| 4WD Pick-Up Truck | | | \$30,000 | | |
| Handicap Lift | | | | \$30,000 | |
| Car/SUV | | | \$30,000 | | |
| Computer System Upgrades | | | \$5,000 | \$15,000 | |
| Parking Lot/Sidewalk Repair | \$7,000 | | | | |
| Food Waste Compost (2) | \$13,000 | \$25,000 | \$25,000 | | |
| Interior Renovation/AC Upgrade | | | | | |
| Admin. Total | \$20,000 | \$25,000 | \$90,000 | \$95,000 | \$50,000 |
| Regional Landfill | | | | | |
| Tracked Skidsteer | | | | | |
| Crew Truck | | \$40,000 | | | |
| LF Compactor | \$800,000 | | | | |
| LGP Dozer | | | | | \$350,000 |
| Excavator | | | | | |
| Pick-Up Truck | | | \$38,000 | | |
| Utility Vehicle | | | | | |
| Rock Truck | | | | | |
| Water Truck | | \$30,000 | | | |
| Fuel Truck | | \$30,000 | | | |
| Cell Construction | | | | \$5,500,000 | |
| Closure/Partial | | | | \$960,000 | |
| Stake Rack Truck Bed | \$4,000 | | | | |
| Parts Steam Cleaner | \$20,000 | | | | |
| Roller (Used) | | | | \$40,000 | |
| Government Surplus Equipment | \$10,000 | \$10,000 | \$10,000 | \$10,000 | |
| RLF Total | \$834,000 | \$110,000 | \$48,000 | \$6,510,000 | \$350,000 |

2011 Adopted
Capital Plan

| | 2011 | 2012 | 2013 | 2014 | 2015 |
|--|---------------------|------------------|--------------------|--------------------|------------------|
| Eastern Transfer Station | | | | | |
| Govt. Surplus Equip | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| Schuler Highway Repairs | \$25,000 | \$25,000 | \$25,000 | \$25,000 | |
| Roll-Off Truck | | | | \$140,000 | |
| Excavator | | \$220,000 | | | |
| Tip Floor Work | | | | \$75,000 | |
| Overhead Doors | | | \$25,000 | \$25,000 | |
| Ventilation | | | \$5,000 | | |
| Snow Plow | | | | | |
| Loader | | | | \$190,000 | |
| Scale Pit Repair | \$15,000 | | | | |
| ETS Total | \$50,000 | \$255,000 | \$65,000 | \$465,000 | \$10,000 |
| Western Transfer Station | | | | | |
| 3/4 ton 4WD Pick-Up Truck w/Plow | | | | | |
| Govt. Surplus Equip | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| Excavator | | | \$225,000 | | |
| Loader | | \$200,000 | | | |
| Roof Repair | \$175,000 | | | | |
| Tip Floor Repair | | | | | |
| Overhead Door Repair/Replacement | | | | | |
| Paving | | | | \$20,000 | |
| Roll-Off Box | \$4,250 | | \$5,000 | | \$5,500 |
| WTS Total | \$189,250 | \$210,000 | \$240,000 | \$30,000 | \$15,500 |
| Green Waste Compost | | | | | |
| Grinder w/Trade-In | | | | \$450,000 | |
| Screen w/Trade In | | | \$220,000 | | |
| Loader | | \$180,000 | | | |
| GWC Total | \$0 | \$180,000 | \$220,000 | \$450,000 | \$0 |
| Household Hazardous Waste | | | | | |
| Hazardous Unit Grates (4) | | | | \$60,000 | |
| Hazardous Storage | | | | \$60,000 | |
| Lab Tables | | | | | |
| Battery Storage Units | | | | | |
| Ventilation | | | | | |
| HHW Total | \$0 | \$0 | \$0 | \$120,000 | \$0 |
| Utica Waste Collection | | | | | |
| Dump Vehicle | | | \$42,000 | | |
| Pickup Truck | | | | | \$30,000 |
| Roll-Off Box | \$4,250 | | \$5,000 | | \$5,500 |
| 2 - 25cy Packer Trucks | | | | \$285,000 | |
| UWC Total | \$4,250 | \$0 | \$47,000 | \$285,000 | \$35,500 |
| TOTAL CAPITAL PLAN | \$11,131,000 | \$805,000 | \$1,015,000 | \$8,042,000 | \$587,000 |
| Closure/Post-Closure Reserve Drawdown | \$0 | \$0 | \$0 | (\$960,000) | \$0 |
| RLF Equipment Reserve Drawdown | (\$834,000) | (\$110,000) | (\$48,000) | (\$50,000) | (\$350,000) |
| Liner Extension Reserve Drawdown | \$0 | \$0 | \$0 | (\$5,500,000) | \$0 |
| Single-Stream Debt Issuance | (\$10,000,000) | | | | |
| Current Year Operating Budget Capital Purchases | \$297,000 | \$695,000 | \$967,000 | \$1,532,000 | \$237,000 |

**Oneida Herkimer Solid Waste Authority
Recycling Center
2011 Adopted Budget**

| | 2009 | 2010 | 2010 | 2011 |
|-------------------------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| System Tip Fee Revenue | \$0 | \$0 | \$0 | |
| Sale of Recyclables | \$1,639,167 | \$1,492,069 | \$1,665,000 | \$1,600,000 |
| Bottle Redemption | \$40,965 | \$27,714 | \$40,000 | \$38,600 |
| Tipping Fees - Recyclables | \$69,394 | \$37,676 | \$0 | \$80,000 |
| Tire Recycling Fees | \$136,826 | \$62,732 | \$145,000 | \$125,000 |
| Miscellaneous Income | \$45,886 | \$35,547 | \$55,000 | \$45,000 |
| Gain/Loss on Sale of Property | \$30,597 | \$592 | \$25,000 | \$15,000 |
| Transfer From Other Depts | \$26,000 | \$0 | \$0 | \$0 |
| Operating Grants | \$0 | \$0 | \$0 | \$0 |
| Total Operating Revenue | \$1,988,835 | \$1,656,330 | \$1,930,000 | \$1,903,600 |
| Operating Expenses | | | | |
| Salaries & Wages | \$1,236,639 | \$581,973 | \$1,261,303 | \$1,050,000 |
| Overtime | \$61,525 | \$36,520 | \$40,000 | \$36,000 |
| Shift Differential | \$3,439 | \$1,828 | \$4,000 | \$4,000 |
| Other Fees & Services | \$239 | \$268 | \$500 | \$500 |
| Temporary Labor | \$112,763 | \$72,889 | \$123,000 | \$75,000 |
| Automotive & Other Equipment | \$369 | \$0 | \$0 | \$0 |
| Office Equipment, Furn. & Fixtures | \$965 | \$1,232 | \$1,500 | \$1,000 |
| Office Supplies | \$1,610 | \$1,604 | \$2,000 | \$2,500 |
| Insurance | \$55,709 | \$22,437 | \$60,400 | \$57,000 |
| Rent/Lease Prop. & Equipment | \$16,715 | \$8,548 | \$18,000 | \$15,000 |
| Electric | \$124,391 | \$61,656 | \$148,000 | \$130,000 |
| Heat | \$39,630 | \$25,621 | \$70,000 | \$60,000 |
| Water/Sewer | \$3,583 | \$1,748 | \$3,800 | \$3,800 |
| Telephone | \$7,727 | \$4,561 | \$8,500 | \$9,200 |
| Postage/Shipping | \$231 | \$16 | \$0 | \$0 |
| Training & Special Schools | \$0 | \$1,100 | \$2,000 | \$1,500 |
| Uniforms & Safety | \$16,107 | \$9,102 | \$21,000 | \$15,000 |
| Automotive Supplies | \$23,029 | \$28,493 | \$50,000 | \$36,000 |
| Automotive Repairs | \$1,202 | \$84 | \$5,000 | \$2,500 |
| Travel & Subsistence | \$38 | \$32 | \$0 | \$0 |
| Gasoline & Oil | \$70,568 | \$39,294 | \$100,000 | \$75,000 |
| Other Materials & Supplies | \$129,641 | \$58,609 | \$160,000 | \$100,000 |
| Tire Disposal | \$87,646 | \$40,177 | \$100,000 | \$100,000 |
| Maintenance & Service Contracts | \$17,057 | \$5,443 | \$18,000 | \$15,800 |
| Transportation | \$0 | \$0 | \$0 | \$350,000 |
| Other Expenses | \$20,901 | \$8,550 | \$25,000 | \$20,000 |
| Host Community Benefit | \$31,921 | \$13,750 | \$34,000 | \$34,000 |
| Depreciation & Amortization | \$664,131 | \$330,670 | \$0 | \$0 |
| Retirement | \$91,252 | \$72,801 | \$153,900 | \$170,040 |
| Social Security | \$94,042 | \$45,157 | \$99,856 | \$83,385 |
| Workers Compensation | \$39,500 | \$17,340 | \$33,215 | \$35,000 |
| Unemployment | \$0 | \$0 | \$1,000 | \$1,000 |
| Health Insurance | \$323,003 | \$164,541 | \$355,372 | \$420,000 |
| Total Operating Expenses | \$3,275,573 | \$1,656,044 | \$2,899,346 | \$2,903,225 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$3,753 | \$41,132 |
| Capital Projects | \$0 | \$0 | \$134,500 | \$33,500 |
| Total Non-Operating Expenses | \$0 | \$0 | \$138,253 | \$74,632 |
| Total Expenses | \$3,275,573 | \$1,656,044 | \$3,037,599 | \$2,977,857 |

34.

**Oneida Herkimer Solid Waste Authority
Administration
2011 Adopted Budget**

| | 2009 | 2010 | 2010 | 2011 |
|-------------------------------------|--------------------|------------------|--------------------|--------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| System Tip Fee Revenue | \$0 | \$0 | \$0 | \$0 |
| Miscellaneous Income | (\$1,342) | \$10,126 | \$0 | \$0 |
| Cash Discounts - Sales | (\$23,853) | (\$8,261) | (\$20,000) | (\$17,000) |
| Penalties & Late Charges | \$62 | (\$986) | \$5,000 | \$2,000 |
| Permits | \$2,875 | \$870 | \$5,000 | \$5,000 |
| Discounts Earned | \$1,427 | \$832 | \$0 | \$0 |
| Special Project Fees | \$0 | \$0 | \$0 | \$0 |
| Transfer From Other Depts | \$119,200 | \$66,600 | \$132,200 | \$98,200 |
| Bank Rec Adjustments: Admin | \$95 | (\$12) | \$0 | \$0 |
| Scale Over/Short: Admin | \$56 | (\$157) | \$0 | \$0 |
| NSF fees collected: Admin | \$997 | \$595 | \$0 | \$0 |
| Operating Grants | \$0 | \$0 | \$0 | \$0 |
| Interest Earnings - Admin | \$562,440 | \$228,691 | \$385,000 | \$410,000 |
| Interest Earnings - Bond Issue | \$0 | \$0 | \$0 | \$0 |
| Gain/Loss on Sale of Property | \$0 | \$0 | \$0 | \$0 |
| Total Operating Revenue | \$661,957 | \$298,298 | \$507,200 | \$498,200 |
| Operating Expenses | | | | |
| Salaries & Wages | \$798,367 | \$364,053 | \$782,815 | \$828,914 |
| Overtime | \$120 | \$393 | \$1,500 | \$1,000 |
| Shift Differential | \$0 | \$0 | \$0 | \$0 |
| Other Fees & Services | \$90,514 | \$30,897 | \$162,000 | \$45,000 |
| Temporary Labor | \$0 | \$0 | \$0 | \$0 |
| Automotive and Other Equipment | \$0 | \$0 | \$0 | \$0 |
| Office Equipment, Furn. & Fixtures | \$7,112 | \$4,427 | \$15,500 | \$11,000 |
| Office Supplies | \$7,899 | \$5,080 | \$12,000 | \$10,000 |
| Insurance | \$29,257 | \$10,604 | \$26,600 | \$25,000 |
| Rent/Lease Prop. & Equipment | \$2,494 | \$1,394 | \$2,500 | \$3,000 |
| Electric | \$6,856 | \$2,949 | \$7,000 | \$7,000 |
| Heat | \$2,974 | \$1,667 | \$5,000 | \$4,000 |
| Water/Sewer | \$402 | \$220 | \$500 | \$500 |
| Telephone | \$6,177 | \$3,171 | \$8,000 | \$7,000 |
| Postage/Shipping | \$13,893 | \$9,465 | \$15,000 | \$16,000 |
| Training & Special Schools | \$10,779 | \$4,588 | \$9,000 | \$12,000 |
| Public Information & Education | \$38,838 | \$2,233 | \$50,000 | \$150,000 |
| Uniforms & Safety | \$338 | \$85 | \$500 | \$500 |
| Automotive Supplies | \$1,421 | \$894 | \$1,500 | \$1,500 |
| Automotive Repairs | \$378 | \$0 | \$1,500 | \$1,000 |
| Travel & Subsistence | \$17,680 | \$7,248 | \$17,000 | \$17,000 |
| Gasoline & Oil | \$5,208 | \$2,715 | \$7,000 | \$6,000 |
| Other Materials & Supplies | \$5,193 | \$2,597 | \$7,500 | \$5,500 |
| Maintenance & Service Contracts | \$55,644 | \$25,746 | \$63,000 | \$74,000 |
| Other Expenses | \$16,955 | \$8,163 | \$15,000 | \$19,000 |
| Depreciation and Amortization | \$45,439 | \$21,171 | \$0 | \$0 |
| Bad Debt | \$13,936 | \$0 | \$0 | \$0 |
| Retirement | \$57,560 | \$39,250 | \$89,900 | \$149,385 |
| Social Security | \$58,491 | \$27,084 | \$58,853 | \$63,488 |
| Workers' Compensation | \$11,000 | \$10,950 | \$19,490 | \$22,000 |
| Health Insurance | \$120,370 | \$68,364 | \$137,250 | \$163,000 |
| GASB 45 Contribution | \$145,408 | \$49,135 | \$77,000 | \$80,000 |
| Total Operating Expenses | \$1,570,703 | \$704,543 | \$1,592,908 | \$1,722,787 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$525 | \$15,030 |
| Capital Projects | \$0 | \$0 | \$90,000 | \$20,000 |
| Capital Reserve | \$0 | \$0 | \$0 | \$0 |
| Transfer to Other Depts | \$0 | \$0 | \$0 | \$0 |
| Interest Expense | \$0 | \$0 | \$0 | \$0 |
| Total Non-Operating Expenses | \$0 | \$0 | \$90,525 | \$35,030 |
| Total Expenses | \$1,570,703 | \$704,543 | \$1,683,433 | \$1,757,817 |

35.

Oneida Herkimer Solid Waste Authority

RLF

2011 Adopted Budget

| | 2009 | 2010 | 2010 | 2011 |
|--|---------------------|--------------------|---------------------|---------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| System Tip Fee Revenue | \$16,870,833 | \$8,734,783 | \$18,205,737 | \$18,258,200 |
| Sludge Revenue | \$0 | \$0 | \$0 | \$0 |
| Miscellaneous Income - RLF | \$1,964,843 | \$6,234 | \$0 | \$5,000 |
| Landfill Gas Revenues | \$0 | \$0 | \$0 | \$25,000 |
| Interest Earnings | \$0 | \$0 | \$0 | \$0 |
| Total Operating Revenue | \$18,835,676 | \$8,741,017 | \$18,205,737 | \$18,288,200 |
| Operating Expenses | | | | |
| Salaries & Wages | \$424,595 | \$234,567 | \$487,782 | \$510,538 |
| Overtime | \$70,712 | \$36,429 | \$87,000 | \$90,000 |
| Shift Differential | \$3 | \$1 | \$0 | \$0 |
| Other Fees & Services | \$659,144 | \$167,276 | \$575,000 | \$400,000 |
| Temporary Labor | \$0 | \$0 | \$0 | \$0 |
| Automotive & Other Equipment | \$100 | \$0 | \$0 | \$0 |
| Office Equipment, Furn. & Fixtures | \$1,267 | \$449 | \$1,500 | \$1,500 |
| Office Supplies | \$3,244 | \$1,182 | \$3,500 | \$3,000 |
| Insurance | \$9,503 | \$2,557 | \$8,000 | \$7,500 |
| Rent/Lease Prop. & Equipment | \$4,308 | \$934 | \$3,500 | \$2,500 |
| Electric | \$16,626 | \$9,304 | \$22,000 | \$20,000 |
| Heat | \$24,798 | \$20,908 | \$33,000 | \$30,000 |
| Water/Sewer | \$0 | \$0 | \$0 | \$0 |
| Telephone | \$10,088 | \$4,589 | \$10,000 | \$10,000 |
| Postage/Shipping | \$566 | \$95 | \$1,100 | \$500 |
| Training & Special Schools | \$1,246 | \$335 | \$3,000 | \$3,000 |
| Public Information & Education | \$145 | \$0 | \$0 | \$0 |
| Uniforms & Safety | \$4,812 | \$2,941 | \$8,000 | \$7,000 |
| Automotive Supplies | \$38,067 | \$20,492 | \$35,000 | \$38,000 |
| Automotive Repairs | \$5,582 | \$284 | \$7,000 | \$5,500 |
| Travel & Subsistence | \$218 | \$2,011 | \$1,000 | \$1,000 |
| Gasoline & Oil | \$474,747 | \$284,173 | \$690,000 | \$655,000 |
| Other Materials & Supplies | \$47,168 | \$21,415 | \$61,000 | \$50,000 |
| Maintenance & Service Contracts | \$17,800 | \$9,375 | \$23,000 | \$25,000 |
| Transportation | \$2,255,063 | \$1,081,348 | \$2,181,000 | \$2,284,000 |
| Host Community Fees | \$0 | \$177,500 | \$400,000 | \$450,000 |
| Other Expenses | \$518,961 | \$26,238 | \$143,000 | \$100,000 |
| Disposal Fees - Contract/Regional Landfill | \$0 | \$0 | \$0 | \$0 |
| Disposal Fees - Leachate | \$248,605 | \$95,664 | \$250,000 | \$250,000 |
| Depreciation & Amortization | \$2,675,644 | \$1,394,598 | \$0 | \$0 |
| Retirement | \$36,695 | \$23,500 | \$66,867 | \$93,684 |
| Social Security | \$36,522 | \$20,023 | \$43,971 | \$45,941 |
| Workers Compensation | \$8,700 | \$6,840 | \$14,366 | \$14,500 |
| Health Insurance | \$59,655 | \$45,832 | \$65,528 | \$108,000 |
| Total Operating Expenses | \$7,654,584 | \$3,690,860 | \$5,225,114 | \$5,206,163 |
| Non-Operating Expenses | | | | |
| Contingency | \$510,000 | \$0 | \$150,869 | \$174,390 |
| Reserves | \$0 | \$219,800 | \$2,255,000 | \$2,190,000 |
| Capital Projects | \$0 | \$161 | \$0 | \$0 |
| Transfer to Other Depts | \$0 | \$0 | \$0 | \$0 |
| Interest Expense/Principal | \$0 | \$0 | \$0 | \$0 |
| Project Studies | \$0 | \$0 | \$0 | \$0 |
| Trustee Fees | \$0 | \$0 | \$0 | \$0 |
| Total Non-Operating Expenses | \$510,000 | \$219,961 | \$2,405,869 | \$2,364,390 |
| Total Expenses | \$8,164,584 | \$3,910,821 | \$7,630,983 | \$7,570,553 |

36.

Oneida Herkimer Solid Waste Authority

ETS

2011 Adopted Budget

| | 2009 | 2010 | 2010 | 2011 |
|-------------------------------------|------------------|------------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Expenses | | | | |
| Salaries & Wages | \$273,223 | \$119,759 | \$246,368 | \$256,727 |
| Overtime | \$33,838 | \$17,548 | \$34,000 | \$35,000 |
| Shift Differential | \$779 | \$416 | \$1,000 | \$1,000 |
| Other Fees & Services | \$0 | \$0 | \$1,000 | \$0 |
| Automotive & Other Equipment | \$0 | \$0 | \$0 | \$0 |
| Office Furniture & Equipment | \$698 | \$1,391 | \$500 | \$1,000 |
| Office Supplies | \$1,289 | \$593 | \$2,000 | \$1,500 |
| Insurance | \$15,831 | \$7,458 | \$18,200 | \$16,000 |
| Rent/Lease Prop. & Equipment | \$126 | \$54 | \$500 | \$500 |
| Electric | \$0 | \$0 | \$0 | \$0 |
| Postage/Shipping | \$25 | \$45 | \$0 | \$0 |
| Training and Special Schools | \$0 | \$0 | \$0 | \$0 |
| Uniforms & Safety | \$2,399 | \$1,555 | \$2,000 | \$3,000 |
| Automotive Supplies | \$23,218 | \$8,271 | \$31,000 | \$25,000 |
| Automotive Repairs | \$4,508 | \$1,170 | \$6,000 | \$5,000 |
| Travel & Subsistence | \$9 | \$4 | \$0 | \$0 |
| Gasoline & Oil | \$44,350 | \$26,550 | \$63,055 | \$59,737 |
| Other Materials & Supplies | \$1,915 | \$376 | \$3,000 | \$2,000 |
| Maintenance & Service Contracts | \$5,003 | \$1,757 | \$3,500 | \$4,000 |
| Transportation | \$10,285 | \$6,865 | \$16,000 | \$16,000 |
| Other Expenses | \$3,745 | \$914 | \$3,500 | \$3,000 |
| Disposal Fees - Other | \$0 | \$0 | \$0 | \$0 |
| Host Community Benefit | \$133,417 | \$63,114 | \$135,000 | \$135,000 |
| Depreciation and Amortization | \$239,429 | \$121,825 | \$0 | \$0 |
| Retirement | \$18,034 | \$13,833 | \$33,200 | \$45,665 |
| Social Security | \$22,467 | \$10,003 | \$21,525 | \$22,394 |
| Workers Compensation | \$5,500 | \$3,648 | \$7,135 | \$7,300 |
| Health Insurance | \$63,195 | \$26,588 | \$70,618 | \$64,000 |
| Total Operating Expenses | \$903,283 | \$433,737 | \$699,101 | \$703,823 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$410 | \$10,869 |
| Capital Projects | \$0 | \$0 | \$81,000 | \$50,000 |
| Total Non-Operating Expenses | \$0 | \$0 | \$81,410 | \$60,869 |
| Total Expenses | \$903,283 | \$433,737 | \$780,511 | \$764,693 |

Oneida Herkimer Solid Waste Authority

WTS

2011 Adopted Budget

| | 2009 | 2010 | 2010 | 2011 |
|-------------------------------------|------------------|------------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Expenses | | | | |
| Salaries & Wages | \$223,871 | \$104,122 | \$217,559 | \$228,892 |
| Overtime | \$23,143 | \$10,796 | \$16,000 | \$21,000 |
| Shift Differential | \$60 | \$6 | \$500 | \$100 |
| Other Fees & Services | \$3,550 | \$1,231 | \$3,300 | \$2,500 |
| Automotive & Other Equipment | \$0 | \$0 | \$0 | \$0 |
| Office Equipment, Furn. & Fixtures | \$395 | \$1,710 | \$800 | \$1,500 |
| Office Supplies | \$795 | \$473 | \$1,500 | \$1,000 |
| Insurance | \$19,232 | \$8,698 | \$20,850 | \$20,000 |
| Rent/Lease Prop. & Equipment | \$1,047 | \$580 | \$1,500 | \$1,500 |
| Electric | \$29,510 | \$14,125 | \$41,000 | \$35,000 |
| Heat | \$13,307 | \$8,546 | \$23,000 | \$20,000 |
| Water/Sewer | \$265 | \$132 | \$500 | \$500 |
| Telephone | \$8,991 | \$3,383 | \$9,500 | \$9,000 |
| Postage/Shipping | \$147 | \$0 | \$0 | \$0 |
| Training & Special Schools | \$0 | \$0 | \$1,000 | \$1,000 |
| Uniforms & Safety | \$2,301 | \$847 | \$2,500 | \$2,500 |
| Automotive Supplies | \$30,276 | \$4,778 | \$28,000 | \$25,000 |
| Automotive Repairs | \$5,700 | \$124 | \$4,900 | \$4,000 |
| Travel & Subsistence | \$0 | \$0 | \$0 | \$0 |
| Gasoline & Oil | \$30,465 | \$17,817 | \$42,750 | \$40,500 |
| Other Materials & Supplies | \$9,111 | \$4,555 | \$10,000 | \$10,000 |
| Maintenance & Service Contracts | \$11,186 | \$3,438 | \$13,000 | \$10,000 |
| Transportation | \$5,625 | \$6,495 | \$4,000 | \$8,000 |
| Other Expenses | \$4,859 | \$1,808 | \$7,000 | \$5,000 |
| Host Community Benefit | \$66,690 | \$34,617 | \$58,000 | \$68,000 |
| Depreciation & Amortization | \$151,388 | \$77,745 | \$0 | \$0 |
| Retirement | \$15,349 | \$8,385 | \$27,500 | \$38,999 |
| Social Security | \$17,741 | \$8,197 | \$17,906 | \$19,124 |
| Workers Compensation | \$4,800 | \$2,736 | \$5,948 | \$5,500 |
| Health Insurance | \$67,266 | \$28,211 | \$75,500 | \$70,000 |
| Total Operating Expenses | \$747,070 | \$353,555 | \$634,013 | \$648,615 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$73 | \$10,600 |
| Capital Projects | \$0 | \$0 | \$111,000 | \$189,250 |
| Total Non-Operating Expenses | \$0 | \$0 | \$111,073 | \$199,850 |
| Total Expenses | \$747,070 | \$353,555 | \$745,086 | \$848,465 |

Oneida Herkimer Solid Waste Authority

GWC

2011 Adopted Budget

| | 2009 | 2010 | 2010 | 2011 |
|-------------------------------------|------------------|------------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| Tipping Fee Revenue | \$159,142 | \$66,316 | \$168,000 | \$148,000 |
| Sale of Bagged Compost | \$0 | \$0 | \$0 | |
| Sale of Bulk Compost | \$87,514 | \$58,402 | \$120,000 | \$95,000 |
| Miscellaneous Income | \$21,350 | \$8,300 | \$0 | |
| Gain/Loss on Sale of Property | \$0 | \$0 | \$0 | |
| Compost Bin Lease:GWC | \$499 | \$0 | \$0 | |
| Total Operating Revenue | \$268,505 | \$133,018 | \$288,000 | \$243,000 |
| Operating Expenses | | | | |
| Salaries & Wages | \$72,626 | \$19,913 | \$71,552 | \$69,973 |
| Overtime | \$15,024 | \$5,971 | \$19,000 | \$15,000 |
| Shift Differential | \$32 | \$8 | \$0 | |
| Other Fees & Services | \$270 | \$90 | \$500 | \$500 |
| Automotive & Other Equipment | \$0 | \$0 | \$0 | \$0 |
| Office Equipment | \$499 | \$0 | \$500 | \$200 |
| Office Supplies | \$403 | \$202 | \$500 | \$500 |
| Insurance | \$4,365 | \$1,851 | \$4,700 | \$4,700 |
| Rent/Lease Prop. & Equipment | \$1,213 | \$564 | \$1,000 | \$1,200 |
| Telephone | \$395 | \$191 | \$500 | \$500 |
| Postage/Shipping | \$0 | \$0 | \$0 | \$0 |
| Training & Special Schools | \$0 | \$0 | \$0 | \$0 |
| Uniforms & Safety | \$787 | \$495 | \$1,000 | \$1,000 |
| Automotive Supplies | \$4,968 | \$1,687 | \$23,000 | \$20,000 |
| Automotive Repairs | \$1,698 | \$931 | \$4,500 | \$3,000 |
| Travel & Subsistence | \$0 | \$0 | \$0 | \$0 |
| Gasoline & Oil | \$32,834 | \$19,560 | \$46,425 | \$43,983 |
| Other Materials & Supplies | \$13,498 | \$14,392 | \$30,000 | \$25,000 |
| Maintenance & Service Contracts | \$334 | \$0 | \$500 | \$500 |
| Transportation | \$0 | \$0 | \$0 | \$0 |
| Other Expenses | \$1,529 | \$444 | \$4,000 | \$2,500 |
| Host Community Benefit | \$8,269 | \$1,991 | \$11,000 | \$10,000 |
| Depreciation and Amortization | \$91,419 | \$45,709 | \$0 | \$0 |
| Retirement | \$6,815 | \$7,583 | \$10,700 | \$13,256 |
| Social Security | \$6,329 | \$1,835 | \$6,927 | \$6,500 |
| Workers Compensation | \$1,200 | \$918 | \$2,287 | \$2,200 |
| Health Insurance | \$19,087 | \$9,244 | \$21,802 | \$21,000 |
| Total Operating Expenses | \$283,594 | \$133,579 | \$260,393 | \$241,512 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$825 | \$4,543 |
| Capital Projects | \$0 | \$0 | \$0 | \$0 |
| Total Non-Operating Expenses | \$0 | \$0 | \$825 | \$4,543 |
| Total Expenses | \$283,594 | \$133,579 | \$261,218 | \$246,056 |

Oneida Herkimer Solid Waste Authority

HHW

2011 Adopted Budget

| | 2009 | 2010 | 2010 | 2011 |
|-------------------------------------|------------------|-----------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| System Tip Fee Revenue | \$0 | | | \$0 |
| HHW Fees | \$57,895 | \$23,428 | \$45,500 | \$46,000 |
| Miscellaneous Income | \$0 | \$0 | \$0 | |
| Operating Grants | (\$2,527) | \$0 | \$71,563 | \$60,000 |
| Total Operating Revenue | \$55,368 | \$23,428 | \$117,063 | \$106,000 |
| Operating Expenses | | | | |
| Other Fees & Services | \$190,801 | \$59,109 | \$180,625 | \$158,000 |
| Public Information & Education | \$0 | \$0 | \$1,000 | \$0 |
| Automotive & Other Equipment | \$38 | \$304 | \$2,000 | \$1,000 |
| Insurance | (\$20) | \$0 | \$0 | \$0 |
| Training & Special Schools | \$4,345 | \$2,375 | \$5,000 | \$5,000 |
| Other Equipment | \$0 | \$0 | \$0 | \$0 |
| Uniforms & Safety | \$0 | \$0 | \$0 | \$0 |
| Travel & Subsistence | \$0 | \$75 | \$0 | \$0 |
| Other Materials and Supplies | \$37 | \$0 | \$0 | \$0 |
| Maintenance & Service Contracts | \$0 | \$0 | \$0 | \$0 |
| Depreciation & Amortization | \$9,489 | \$4,648 | \$0 | \$0 |
| Social Security | \$0 | \$0 | \$0 | \$0 |
| Other Expenses | \$0 | \$0 | \$0 | \$0 |
| Total Operating Expenses | \$204,690 | \$66,511 | \$188,625 | \$164,000 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$7,545 | \$6,560 |
| Transfer to Other Depts | \$26,000 | \$10,500 | \$21,000 | \$11,000 |
| Capital Projects | \$0 | \$0 | \$0 | \$0 |
| Total Non-Operating Expenses | \$26,000 | \$10,500 | \$28,545 | \$17,560 |
| Total Expenses | \$230,690 | \$77,011 | \$217,170 | \$181,560 |

40.

**Oneida Herkimer Solid Waste Authority
Debt Service**

2011 Adopted Budget

| | 2009 | 2010 | 2010 | 2011 |
|-------------------------------------|--------------------|------------------|--------------------|--------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Non-Operating Expenses | | | | |
| Interest Expense | \$0 | \$0 | \$0 | |
| Revenue Bonds - Interest Expense | \$2,025,864 | \$906,990 | \$6,684,000 | \$6,684,000 |
| Trustee Fees | \$7,500 | \$6,500 | \$8,000 | \$8,000 |
| Total Non-Operating Expenses | \$2,033,364 | \$913,490 | \$6,692,000 | \$6,692,000 |
| Total Expenses | \$2,033,364 | \$913,490 | \$6,692,000 | \$6,692,000 |
| | | | | |
| | | | | |

**Oneida Herkimer Solid Waste Authority
Utica Waste Collection
2011 Adopted Budget**

| | 2009 Actual | 2010 Actual 6 Mo. | 2010 Amended Budget | 2011 Adopted Budget |
|--|--------------------|-------------------------|---------------------------|---------------------------|
| Operating Revenue | | | | |
| Solid Waste Service Charge/Toter Rental | \$2,035,265 | \$951,381 | \$2,075,000 | \$2,055,000 |
| Sale of Bags | \$1,424,772 | \$701,763 | \$1,450,000 | \$1,440,000 |
| Miscellaneous Income | \$1,043 | \$150 | \$277,000 | \$258,000 |
| Penalties & Late Charges | \$38,165 | \$16,340 | \$30,000 | \$39,000 |
| Utica Cleanup Fees | \$1,079 | \$735 | \$1,000 | \$1,000 |
| Interest Earnings | \$27,581 | \$5,133 | \$35,000 | \$20,000 |
| Total Operating Revenue | \$3,527,905 | \$1,675,502 | \$3,868,000 | \$3,813,000 |
| Operating Expenses | | | | |
| Salaries & Wages | \$194,423 | \$110,646 | \$230,987 | \$221,296 |
| Overtime | \$30,276 | \$7,710 | \$7,000 | \$15,000 |
| Shift Differential | \$6 | \$14 | \$0 | \$0 |
| Other Fees & Services | \$215,300 | \$105,373 | \$220,000 | \$218,000 |
| Temporary Labor | \$0 | \$0 | \$1,500 | \$0 |
| Office Equipment, Furn. & Fixtures | \$769 | \$0 | \$0 | \$0 |
| Office Supplies | \$2,890 | \$472 | \$5,000 | \$3,000 |
| Insurance | \$19,689 | \$10,669 | \$24,800 | \$22,000 |
| Rent/Lease Prop. & Equipment | \$540 | \$270 | \$1,000 | \$1,000 |
| Electric | \$0 | \$0 | \$0 | \$0 |
| Heat | \$0 | \$0 | \$0 | \$0 |
| Water/Sewer | \$0 | \$0 | \$0 | \$0 |
| Telephone | \$451 | \$196 | \$1,000 | \$500 |
| Postage/Shipping | \$11,640 | \$7,002 | \$13,000 | \$13,000 |
| Training & Special Schools | \$0 | \$0 | \$0 | \$0 |
| Public Information & Education | \$8,237 | \$0 | \$5,000 | \$2,000 |
| Uniforms & Safety | \$955 | \$666 | \$1,000 | \$1,000 |
| Automotive Supplies | \$2,980 | \$1,250 | \$3,000 | \$3,000 |
| Automotive Repairs | \$667 | \$129 | \$1,000 | \$500 |
| Travel & Subsistence | \$0 | \$105 | \$0 | \$0 |
| Gasoline & Oil | \$5,249 | \$1,582 | \$17,500 | \$8,000 |
| Other Materials & Supplies | \$92 | \$0 | \$0 | \$0 |
| Maintenance & Service Contracts | \$96 | \$420 | \$0 | \$0 |
| Other Expenses | \$41,189 | \$29,332 | \$60,000 | \$56,547 |
| Disposal Fees - Contract/Regional Landfill | \$1,289,178 | \$613,653 | \$1,275,000 | \$1,275,000 |
| Disposal Fees - Other | \$89,622 | \$39,375 | \$90,000 | \$90,000 |
| Fees for Collection Services | \$1,453,759 | \$735,629 | \$1,472,000 | \$1,505,830 |
| Depreciation & Amortization | \$40,127 | \$21,133 | \$0 | \$0 |
| Bad Debt | \$190,000 | \$95,000 | \$195,000 | \$190,000 |
| Bag Sales Bad Debt: UWC | \$0 | \$0 | \$0 | \$0 |
| Retirement | \$13,825 | \$26,167 | \$41,700 | \$36,862 |
| Social Security | \$16,479 | \$9,003 | \$18,206 | \$18,077 |
| Workers Compensation | \$4,000 | \$3,192 | \$9,059 | \$7,000 |
| Health Insurance | \$27,612 | \$18,824 | \$31,430 | \$45,000 |
| Total Operating Expenses | \$3,660,051 | \$1,837,812 | \$3,724,182 | \$3,732,612 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$318 | \$138 |
| Capital Projects | \$0 | \$0 | \$33,500 | \$4,250 |
| Transfer to Other Depts | \$80,000 | \$47,000 | \$94,000 | \$60,000 |
| Interest Expense | \$16,000 | \$8,000 | \$16,000 | \$16,000 |
| Total Non-Operating Expenses | \$96,000 | \$55,000 | \$143,818 | \$80,388 |
| Total Expenses | \$3,756,051 | \$1,892,812 | \$3,868,000 | \$3,813,000 |

42.

**Oneida Herkimer Solid Waste Authority
Mohawk Waste Collection
2011 Adopted Budget**

| | 2009 | 2010 | 2010 | 2011 |
|--|------------------|-----------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| Solid Waste Service Charge/Toter Rental | \$75,165 | \$36,310 | \$77,000 | \$73,000 |
| Sale of Bags | \$82,396 | \$43,244 | \$78,000 | \$83,000 |
| Reactivation Fee | \$60 | \$88 | \$0 | \$200 |
| Total Operating Revenue | \$157,621 | \$79,642 | \$155,000 | \$156,200 |
| Operating Expenses | | | | |
| Other Fees & Services | \$8,022 | \$3,799 | \$6,000 | \$8,000 |
| Office Supplies | \$0 | \$0 | \$0 | \$0 |
| Insurance | \$0 | \$0 | \$0 | \$0 |
| Rent/Lease Prop. & Equipment | \$3,348 | \$1,605 | \$4,000 | \$3,500 |
| Postage/Shipping | \$0 | \$0 | \$0 | \$0 |
| Other Expenses | \$4,700 | \$2,350 | \$4,700 | \$4,700 |
| Disposal Fees - Contract/Regional Landfill | \$50,599 | \$25,497 | \$50,000 | \$51,000 |
| Disposal Fees - Other | \$2,107 | \$1,153 | \$2,000 | \$2,000 |
| Fees for Collection Services | \$77,849 | \$39,218 | \$80,500 | \$82,000 |
| Total Operating Expenses | \$146,625 | \$73,622 | \$147,200 | \$151,200 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$7,800 | \$5,000 |
| Interest Expense | \$0 | \$0 | \$0 | \$0 |
| Total Non-Operating Expenses | \$0 | \$0 | \$7,800 | \$5,000 |
| Total Expenses | \$146,625 | \$73,622 | \$155,000 | \$156,200 |

**Oneida Herkimer Solid Waste Authority
Frankfort Waste Collection
2011 Adopted Budget**

| | 2009 | 2010 | 2010 | 2011 |
|--|------------------|-----------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| Solid Waste Service Charge/Toter Rental | \$74,286 | \$37,160 | \$75,000 | \$75,000 |
| Sale of Bags | \$49,655 | \$24,373 | \$50,000 | \$50,000 |
| Reactivation Fee | \$200 | \$207 | \$200 | \$300 |
| Total Operating Revenue | \$124,141 | \$61,740 | \$125,200 | \$125,300 |
| Operating Expenses | | | | |
| Other Fees & Services | \$3,019 | \$2,214 | \$3,500 | \$3,700 |
| Office Supplies | \$0 | \$0 | \$0 | \$0 |
| Insurance | \$0 | \$0 | \$0 | \$0 |
| Rent/Lease Prop. & Equipment | \$4,150 | \$2,075 | \$4,200 | \$4,300 |
| Postage/Shipping | \$0 | \$0 | \$0 | \$0 |
| Other Expenses | \$4,300 | \$2,150 | \$4,300 | \$4,300 |
| Disposal Fees - Contract/Regional Landfill | \$51,719 | \$25,706 | \$51,000 | \$51,000 |
| Disposal Fees - Other | \$1,003 | \$577 | \$1,000 | \$1,000 |
| Fees for Collection Services | \$57,000 | \$29,500 | \$60,400 | \$60,500 |
| Total Operating Expenses | \$121,191 | \$62,222 | \$124,400 | \$124,800 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$800 | \$500 |
| Total Non-Operating Expenses | \$0 | \$0 | \$800 | \$500 |
| Total Expenses | \$121,191 | \$62,222 | \$125,200 | \$125,300 |

**Oneida Herkimer Solid Waste Authority
 Ilion Waste Collection
 2011 Adopted Budget**

| | 2009 | 2010 | 2010 | 2011 |
|--|------------------|------------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| Solid Waste Service Charge/Toter Rental | \$170,915 | \$89,211 | \$171,000 | \$177,000 |
| Sale of Bags | \$314,430 | \$147,345 | \$325,000 | \$306,000 |
| Miscellaneous Income | \$47 | \$185 | \$0 | \$0 |
| Interest Earnings | \$265 | \$210 | \$200 | \$400 |
| Reactivation Fee | \$540 | \$420 | \$500 | \$600 |
| Total Operating Revenue | \$486,197 | \$237,371 | \$496,700 | \$484,000 |
| Operating Expenses | | | | |
| Other Fees & Services | \$22,472 | \$11,071 | \$24,000 | \$24,000 |
| Toter Rental Fees | \$7,631 | \$4,146 | \$8,000 | \$8,500 |
| Tipping Fees Waste & C&D | \$138,690 | \$66,811 | \$140,000 | \$140,000 |
| Tipping Fees Green Waste | \$5,306 | \$2,549 | \$4,000 | \$4,000 |
| Office Supplies | \$0 | \$0 | \$0 | \$0 |
| Insurance | \$0 | \$0 | \$0 | \$0 |
| Rent/Lease Prop. & Equipment | \$0 | \$0 | \$0 | \$0 |
| Postage/Shipping | \$0 | \$0 | \$0 | \$0 |
| Other Expenses | \$5,200 | \$2,600 | \$5,200 | \$5,200 |
| Disposal Fees - Contract/Regional Landfill | \$0 | \$0 | \$0 | \$0 |
| Disposal Fees - Other | \$0 | \$0 | \$0 | \$0 |
| Fees for Collection Services | \$307,233 | \$154,823 | \$310,450 | \$301,900 |
| Total Operating Expenses | \$486,532 | \$242,000 | \$491,650 | \$483,600 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$5,050 | \$400 |
| Total Non-Operating Expenses | \$0 | \$0 | \$5,050 | \$400 |
| Total Expenses | \$486,532 | \$242,000 | \$496,700 | \$484,000 |

45.

Oneida Herkimer Solid Waste Authority

Dolgeville

2011 Adopted Budget

| | 2009 | 2010 | 2010 | 2011 |
|--|------------------|-----------------|------------------|------------------|
| | Actual | Actual | Amended | Adopted |
| | | 6 Mo. | Budget | Budget |
| Operating Revenue | | | | |
| Solid Waste Service Charge/Toter Rental | \$64,593 | \$33,410 | \$65,000 | \$65,500 |
| Sale of Bags | \$49,840 | \$24,600 | \$50,000 | \$50,400 |
| Reactivation Fee | \$120 | \$50 | \$100 | \$100 |
| Misc Income- Prior Year Surplus | | | | \$4,000 |
| Total Operating Revenue | \$114,553 | \$58,060 | \$115,100 | \$120,000 |
| Operating Expenses | | | | |
| Other Fees & Services | \$3,928 | \$1,418 | \$3,000 | \$3,200 |
| Office Supplies | \$0 | \$0 | \$0 | \$0 |
| Insurance | \$0 | \$0 | \$0 | \$0 |
| Rent/Lease Prop. & Equipment | \$3,606 | \$1,809 | \$3,600 | \$3,700 |
| Postage/Shipping | \$0 | \$0 | \$0 | \$0 |
| Other Expenses | \$3,500 | \$1,801 | \$3,500 | \$3,500 |
| Disposal Fees - Contract/Regional Landfill | \$32,807 | \$15,956 | \$33,000 | \$33,000 |
| Disposal Fees - Other | | | | |
| Fees for Collection Services | \$69,332 | \$35,496 | \$71,600 | \$76,000 |
| Total Operating Expenses | \$113,173 | \$56,480 | \$114,700 | \$119,400 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$400 | \$600 |
| Total Non-Operating Expenses | \$0 | \$0 | \$400 | \$600 |
| Total Expenses | \$113,173 | \$56,480 | \$115,100 | \$120,000 |

**Oneida Herkimer Solid Waste Authority
Herkimer Waste Collection
2011 Adopted Budget**

| | 2009 Actual | 2010 Actual 6 Mo. | 2010 Amended Budget | 2011 Adopted Budget |
|--|------------------|-------------------------|---------------------------|---------------------------|
| Operating Revenue | | | | |
| Solid Waste Service Charge/Toter Rental | \$226,965 | \$115,705 | \$230,000 | \$231,000 |
| Sale of Bags | \$105,293 | \$59,820 | \$101,500 | \$105,000 |
| Miscellaneous Income | \$0 | \$67 | \$0 | |
| Reactivation Fee | \$870 | \$320 | \$500 | \$500 |
| Total Operating Revenue | \$333,128 | \$175,912 | \$332,000 | \$336,500 |
| Operating Expenses | | | | |
| Other Fees & Services | \$6,936 | \$3,655 | \$5,500 | \$6,900 |
| Office Supplies | \$0 | \$0 | \$0 | \$0 |
| Insurance | \$0 | \$0 | \$0 | \$0 |
| Rent/Lease Prop. & Equipment | \$14,272 | \$7,236 | \$14,500 | \$14,600 |
| Postage/Shipping | \$0 | \$0 | \$0 | \$0 |
| Other Expenses | \$5,500 | \$2,750 | \$5,500 | \$5,500 |
| Disposal Fees - Contract/Regional Landfill | \$119,748 | \$61,176 | \$117,500 | \$120,000 |
| Disposal Fees - Other | \$4,621 | \$2,094 | \$3,000 | \$3,000 |
| Fees for Collection Services | \$180,667 | \$92,000 | \$185,600 | \$186,000 |
| Total Operating Expenses | \$331,744 | \$168,911 | \$331,600 | \$336,000 |
| Non-Operating Expenses | | | | |
| Contingency | \$0 | \$0 | \$400 | \$500 |
| Refund of Prior Year Surplus | | \$8,875 | | \$0 |
| Total Non-Operating Expenses | \$0 | \$8,875 | \$400 | \$500 |
| Total Expenses | \$331,744 | \$177,786 | \$332,000 | \$336,500 |



ONEIDA COUNTY BOARD OF LEGISLATORS

Michael B. Waterman ♦ 6 Sixth St. ♦ Camden, NY 13316
Home Phone: (315)245-1893

December 27, 2010

FN 20 11 - 001

Honorable Gerald J. Fiorini, Chairman
Oneida County Board of Legislators
800 Park Avenue
Utica, New York 13501

COURTS, LAWS & RULES

Dear Chairman Fiorini:

WAYS & MEANS

Pursuant to the attached correspondence dated November 15, 2010, please find a proposed Resolution for elimination of health care benefits to our Legislative body that would take effect January 1, 2012.

Would you please forward this Resolution onto the appropriate Committee?

Thank you for your anticipation cooperation.

Very truly yours,


Michael B. Waterman, R-5th
Oneida County Legislator


Patrick R. Brennan, R-3rd
Oneida County Legislator

Encl.

RECEIVED
ONEIDA COUNTY LEGISLATURE
2010 DEC 27 PM 12:53

48



ONEIDA COUNTY BOARD OF LEGISLATORS

Michael B. Waterman ♦ 6 Sixth St. ♦ Camden, NY 13316
Home Phone: (315)245-1893

November 15, 2010

Gerald J. Fiorini, Chairman
Oneida County Board of Legislators
800 Park Avenue
Utica, New York 13501

Dear Jerry:

As you know, I verbally proposed an elimination of the health care benefits to our Legislative body earlier this summer. My plan is to put forth a Resolution after January 1, 2011, that would take effect January 1, 2012.

The intent of this letter is to advise you that a Resolution to that effect will be forwarded to you to move forward in January of 2011 (draft enclosed).

As you are aware, Patrick Brennan has considered pushing this issue with an Amendment to the 2011 budget process, but reconsidered and will be co-sponsoring this Resolution with me. I also suspect that others will request to have their names added.

Thank you.

Sincerely,

Michael B. Waterman R-5th
Oneida County Legislator

Patrick R. Brennan R-3rd
Oneida County Legislator

Encl.

DATED:

Adopted by the following roll call vote:

AYES ___ NAYS ___ ABSENT ___

Sl.

Anthony J. Picente, Jr.
County Executive

Lucille A. Soldato
Commissioner



ONEIDA COUNTY DEPARTMENT OF SOCIAL SERVICES
County Office Building 800 Park Avenue Utica, NY 13501

FN 20 11 002

December 13, 2010

HUMAN RESOURCES

Mr. Anthony J. Picente, Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

WAYS & MEANS

Dear Mr. Picente:

Social Services requests a transfer from the A6011.102, Children and Adult Services, temporary help account to A6011.103, the overtime account. Overtime is a requirement of the services staff to cover emergency situations and foster children placements outside of regular business hours.

Therefore, we are asking for your approval and, subsequent Board approval of the following transfer:

| | | | |
|-------|-----------|---------------------------------------|----------|
| To: | A6011.103 | Children & Adult Services – Overtime | \$14,000 |
| From: | A6011.102 | Children & Adult Services – Temp Help | \$14,000 |

Sincerely,

Lucille A. Soldato
Commissioner

Cc: T. Keeler

RECEIVED
ONEIDA COUNTY LEGISLATURE
2010 DEC 27 PM 1:02

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive
Date 12/15/10

Anthony J. Picente Jr.
County Executive



Lucille A. Soldato
Commissioner

ONEIDA COUNTY DEPARTMENT OF SOCIAL SERVICES

County Office Building, 800 Park Avenue, Utica, NY 13501
Phone (315) 798-5733 Fax (315) 798-5218

December 15, 2010

FN 20 11-003

Honorable Anthony J. Picente Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

HUMAN RESOURCES
WAYS & MEANS

2010 DEC 28 AM 11:33
RECEIVED
ONEIDA COUNTY LEGISLATURE

Dear Mr. Picente:

I am submitting the following Purchase of Services Agreement for review and approval by the Board of Legislators per Board Resolutions and Local Law #3 of 2001, amending Article VIII, Section 802 of the Administrative Code.

Enclosed is a Purchase of Service Agreement with the House of the Good Shepherd for PINS Diversion (Families Foremost). This is a three month contract to transition the program to a new agency. Since its inception on February 1, 1996, Families Foremost has been successful in diverting out-of-home placement of the children in the program.

The renewal contract is for the term January 1, 2011 through March 31, 2011 at a cost of \$232,049.75. The local cost to support this effort is 27.88% or \$ 64,695.47.

I am respectfully requesting that this matter be forwarded to the Board of Legislators for action as soon as possible. Thank you for your consideration.

Sincerely,

Lucille A. Soldato
Commissioner

LAS/tms
attachment

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente Jr.
County Executive

Date 12/23/10

12/15/10

12907

Oneida Co. Department Social Services

Competing Proposal _____

Only Respondent _____

Sole Source RFP _____

Oneida County Board of Legislators

Contract Summary

Name of Proposing Organization: The House of The Good Shepherd
1550 Champlin Avenue
Utica, New York 13501

Title of Activity or Services: Family Foremost PINS Diversion

Proposed Dates of Operations: January 1, 2011 – March 31, 2011

Client Population/Number to be Served: 65-75 school age children and their families who are at serious risk of Foster Care or Institutional placement through Family Court due to their behavior in school, home or community.

SUMMARY STATEMENTS

1). Narrative Description of Proposed Services

This program is designed to manage the care of its children, both preventive and aftercare, from the point of entry into the program through resolution of the risk. Children and families will be able to access this program at whatever point they are in the system. The services will be provided for up to six months from the time of admission, commencing with a rapid comprehensive assessment and quick identification of needs, with the intention that the family be transitioned to and supported by appropriate established community-based services within that time frame. The services are designed to be flexible and to meet the individual needs of children and their families; agency staff will work in whatever capacity is needed to coordinate individualized 'wrap-around' services for referred families. Program provides 24 hour crisis management service and flexible working hours. This program is designed to be a no refusal program that will accept all referrals with adequate referral information within one business day. In the event that no openings exist at the time of the referral, a prioritized waiting list will be established based upon immediacy of need. All cases will receive a comprehensive assessment with an individualized comprehensive family plan developed in partnership with the child and family within seven days of admission. Services to be offered by program staff include but are not limited to, the following: Clinical Service, Case Management, Family Support, Support Groups and Referral with follow-up at any point necessary to any appropriate service. Referrals to other support services, will be individualized, many to be coordinated as a gradual transition, if necessary. The House of the Good Shepherd will maintain its support until the transition to appropriate community-based service/programs is complete and deemed successful. In addition, children and families would be afforded seamless access to an integrated array of services already available at The House of the Good Shepherd

2). Program/Service Objectives and Outcomes -

- Outcome: Youth involved with this program will demonstrate an increased ability to live within the laws of the community, Family Court directives and parent controls.
- Performance: Families will be engaged in services and assisted in monitoring their children through the development of individualized programs that utilize and coordinate community based services/resources such as educational support, advocacy and referral, health and mental services, recreational and vocational Programs and casework counseling in order to deter further JD/PINS related behavior.

3). Program Design and Staffing Level -

- 1 Executive Director – (5% time)
- 1 Coordinator – Full-time
- 3 Program Supervisors – Full-time
- 1 Intake Coordinator – Full-time
- 11 Preventive Case Managers – Full-time
- 2 Secretaries – Part-time (.38% each)
- 1 Accountant – Part-time (5% time)
- 1 Nurse – Part-time (2% Time)
- 1 Psychologist – Part – time (35% time)

Total Funding Requested: \$ 232,049.75

Oneida County Dept. Funding Recommendation: Account #: A6070.49547

Mandated or Non-mandated; Preventive mandated service

Proposed Funding Source (Federal \$ /State \$ / County \$):

| | | |
|---------|---------|----------------|
| Federal | 38.39 % | - \$ 89,083.90 |
| State | 33.73 % | - \$ 78,270.38 |
| County | 27.88 % | - \$ 64,695.47 |

Cost Per Client Served:

Past performance Served: The Department has contracted with this provider for these services since 1996 and the budget for 2010 was \$ 928,199.

O.C. Department Staff Comments: This service was sent out for RFP to adhere to the County Procurement Policy and was awarded to another agency however this contract is needed for the transition of the program to the new agency. The Department has been satisfied with the accomplishments of the Family Foremost Program. The program has been successful in diverting out-of-home placements. The program has significantly decreased the length of out-of-home stays of children in the program, when the placement has become necessary.

551

Anthony J. Picente Jr.
County Executive



Lucille A. Soldato
Commissioner

ONEIDA COUNTY DEPARTMENT OF SOCIAL SERVICES
County Office Building, 800 Park Avenue, Utica, NY 13501
Phone (315) 798-5733 Fax (315) 798-5218

December 15, 2010

Honorable Anthony J. Picente Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

FN 20 11 004
HUMAN RESOURCES
WAYS & MEANS

RECEIVED
ONEIDA COUNTY LEGISLATURE
2010 DEC 28 AM 11:33

Dear Mr. Picente:

I am submitting the following Purchase of Services Agreement for review and approval by the Board of Legislators per Board resolutions and Local Law #3 of 2001, amending Article VIII, Section 802 of the Administrative Code.

Protective Services for Adults are provided to individuals 18 years of age and older who, because of mental and physical impairments: are unable to meet their essential needs for food, shelter, clothing or medical care, secure entitlement due them or protect themselves from physical or mental injury, neglect, maltreatment or financial exploitation; are in need of protection from actual or threatened harm, neglect or inaction of either themselves or other individuals; and have no one available who is willing and able to assist them responsibly.

The Department has a legal requirement to accept the responsibility to function as representative payee or protective payee on behalf of an SSI/SSA client, referred by Social Security, if no other resources are available. The Department has the statutory responsibility to provide or arrange for the provision of Protective Services for Adults.

The Agreement with New Life Community Services, Inc. includes financial management, required home visits and all other Protective Services requirements as mandated for the protection of the most vulnerable adults in our County.

The Agreement, effective from January 1, 2011 through December 31, 2011 and has a budget of \$73,356. The local cost for this effort is 27.88% or \$ 20,451.65. The Contract allows for a caseload of 40 individuals.

I am requesting that this Agreement be forwarded to the Board of Legislators for review and approval.

Thank you for your consideration.

Sincerely,

Lucille A. Soldato
Commissioner

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
County Executive

Date 12/23/10

LAS/tms
attachment

56-

12/15/10
26201

Oneida Co. Department Social Services

Competing Proposal X
Only Respondent _____
Sole Source RFP _____

Oneida County Board of Legislators
Contract Summary

Name of Proposing Organization: New Life Community Services Inc.
PO Box 409
Utica, New York 13503

Title of Activity or Services: Representative Payee for Adult Protective Services.

Proposed Dates of Operations: January 1, 2011 through December 31, 2011

Client Population/Number to be Served: 40 persons requiring Adult protective services:

Protective Services for Adults are provided to individuals 18 years of age and older who, because of mental or physical impairments: are unable to meet their essential needs for food, shelter, clothing or medical care, secure entitlement due them or protect themselves from physical or mental injury, neglect, maltreatment or financial exploitation; are in need of protection from actual or threatened harm, neglect or inaction of either themselves or other individuals; and have no one available who is willing and able to assist them responsibly.

SUMMARY STATEMENTS

1). Narrative Description of Proposed Services

Provides financial management services (payments to creditors, passbook savings account, emergency funds etc.) to those mentally, emotionally, in many cases physically disabled clients. Also provides Case Management Services to these individuals. Insuring basic needs for food, clothing and shelter are met. Decrease emergency room visits and psychiatric admissions within the population.

2). Program/Service Objectives and Outcomes

- Outcome: Individuals classified in need of adult protective services will receive community based services/assistance to enable them to remain in the least restrictive level of care, for as long as possible.
Performance: All individuals receiving adult protective services will receive on going assessment and monitoring to insure that all the identified needs are will be met and emerging concerns will be addressed. These services include but are not limited to case planning, casework counseling, emergency assistance, advocacy and referral, financial management, home visiting and transportation.

51.

3). Program Design and Staffing Level - 2 Case Managers, monthly home visits in addition to visits in the Community, twenty-four hour emergency on call services.

Total Funding Requested: \$ 73,356.00

Oneida County Dept. Funding Recommendation: Account # A6070.49551

Mandated or Non-mandated: Mandated service

Proposed Funding Source (Federal \$ /State \$ / County \$):

| | | |
|---------|---------|--------------|
| Federal | 38.39 % | \$ 28,161.37 |
| State | 33.73 % | \$ 24,742.98 |
| Local | 27.88 % | \$ 20,451.65 |

Cost Per Client Served: \$ 153 per month

Past performance Served: The Department has contracted with New Life Community Services Inc., operated by Ralph Witt since 2001. There is no increase to this contract it has been \$73,356.00 since 2006.

O.C. Department Staff Comments: This service was sent out for RFP and the Department received two respondents and New Life Community Services, Inc. was chosen to provide this service.

58.



Anthony J. Picente Jr. County Executive

Linda M. Nelson, Commissioner

Oneida County
d m h
Department of Mental Health
235 Elizabeth Street
Utica, New York 13501

Phone: (315) 798-5903
Fax: (315) 798-6445
E-mail: mentalhealth@ocgov.net
Web site: www.ocgov.net

FN 20 11-005

November 24, 2010

PUBLIC HEALTH

Honorable Anthony J. Picente, Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

WAYS & MEANS

RECEIVED
ONEIDA COUNTY LEGISLATURE
2010 DEC 28 AM 1:24

Dear Mr. Picente:

I am forwarding six (6) copies of the 2011-2013 Purchase of Services Agreement between the Oneida County Department of Mental Health and the Rescue Mission of Utica, Inc. for your review and signature.

Under this proposed Agreement, the Rescue Mission of Utica, Inc. will provide Addictions Crisis Center services to individuals under the influence of alcohol and drugs and in need of a structured and supervised setting and supervised housing for adults with serious mental illness and substance abuse.

The gross amount of this Agreement is \$1,126,531.00. **No Oneida County tax dollars are associated with this Agreement to fund the operating deficits incurred by these programs.**

Thank you for your time and consideration of this request. I would be pleased to respond to any questions or concerns you might have with regard to this Agreement.

Respectfully,

Linda M. Nelson
Linda M. Nelson
Commissioner

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
Anthony J. Picente, Jr.
County Executive

Date 12/15/10

LMN/ldr
Encs.

59.

Oneida County Department: Mental Health

Competing Proposal _____
Only Respondent _____
Sole Source RFP _____

**ONEIDA COUNTY BOARD
OF LEGISLATORS**

CONTRACT SUMMARY

Name of Proposing Individual/Organization: Rescue Mission of Utica, Inc.

Title of Proposed Service/Program: Enriched Single Room Occupancy (OMH)
Addictions Crisis Center (OASAS)

Proposed Dates of Operation: January 1, 2011 through December 31, 2013

Client Population/Number to be Served: Adult individuals in need of supervised housing for mental health rehabilitation; adults with a serious mental illness and co-occurring substance abuse disorder in need of residential care and treatment

Summary Statements:

I. Narrative Description of Service/Program:

Under the terms and conditions of this Agreement, The Rescue Mission of Utica, Inc. will provide the following services and related programs:

- (A) Congregate care via its Enriched Single Room Occupancy (ESRO) Program, which is also known as the Enriched Living Center (ELC). The ESRO is a NYS Office of Mental Health (OMH) licensed, 52-bed single room residential program for adults diagnosed with mental illness. It promotes individual recovery and independent functioning based upon the principles of Psychiatric Rehabilitation. Staff are available on site 24 hours per day, 7 days per week.

Major services provided on site or made available in the community include:

- Medication Management
- Transportation to medical appts.
- Health Care
- Phlebotomist Services
- Pharmacy Services
- Social/Recreational Activities
- Rep. Payee Services
- Crisis Intervention
- Education & Behavioral Counseling
- Case Management
- Adult Day Care
- Employment Services, e.g. VESID, CABVI

- (B) The Addictions Crisis Center (ACC) is a voluntary medically monitored withdrawal program, meeting the needs of those who are chemically dependent, who also have a situational crisis and/or are at risk for relapse to chemical use. ACC serves those who are 18 years of age and older with staff available on site 24 hours per day, 7 days per week. Services are provided in a structured, motivational setting where clients are screened for the presence and severity of withdrawal symptoms, assessed for the appropriate level of treatment and referred for appropriate chemical dependency, medical and mental health services. Clients are referred for services by various treatment providers, hospitals, law enforcement and may also self-refer. The duration of stay varies and reaches its maximum at fourteen days. Clients are educated while waiting for access to treatment in the areas of HIV/STD testing and prevention, the bio-psycho-social-spiritual benefits of maintaining a lifestyle of abstinence and on what they can expect when they go on to treatment.

II. Service/Program Objectives and Outcomes:

The primary objective of the ESRO Program is to help individuals maintain their psychiatric stability and enable them to learn or relearn the skills necessary to move toward a less restrictive living arrangement in the community. The ACC works to assist acutely and severely intoxicated individuals to achieve sobriety and return to productive functioning in the community with needed supports in place.

Performance measures for 2011 will include:

ESRO

Wellness Self-Management → Effectiveness

- Successful completion of self-medication program by targeted residents

Documentation → Continuity & Accessibility

- Admission information linked from ASPOA/A Referral to Service Plan
- Resident involvement in developing Goals & Service Plans

Occupancy → Continuity, Effectiveness & Efficiency

- Bed Utilization rate per statewide standards
- Medium Length of Stay (LOS) per statewide standards
- LOS greater than 2 years per statewide standards

ACC

Occupancy → Accessibility

- Bed Utilization rate per statewide standards

Staffing → Competence, Safety & Efficiency

- Supervision of counseling staff
- Client/Direct Care Staff ratio per statewide standards

Documentation → Appropriateness

- Treatment referral documented in case record

Referral/Linking → Continuity

- % of Program Completers admitted to Other Treatment per statewide standards

Admission Criteria → Appropriateness

- Client admission criteria per statewide standards

Community Education/Outreach → Accessibility & Appropriateness

- Increase awareness of ACC services per statewide standards

III. Service/Program Design and Staffing:

The ESRO and ACC Programs adhere to all rules and regulations as certified through the NYS Office of Mental Health (OMH) and Office of Alcoholism and Substance Abuse Services (OASAS), respectively.

Total Funding Requested:

Account #: A4310.49522

| | | |
|------------------------|-------|----------------|
| Gross Budget | | \$1,126,531.00 |
| Revenues (All Sources) | | 0 |
| Net Amount | | \$1,126,531.00 |
| Federal Funds | | 0 |
| State Funds | OMH | \$ 338,592.00 |
| | OPWDD | 0 |
| | OASAS | \$ 787,939.00 |
| County Funds | | 0 |
| Other | | 0 |

Oneida County Department Funding Recommendation(s):

It is recommended that the full amount of \$1,126,531.00 be approved for 2011. Contract amounts for 2012 and 2013, respectively, will be determined based on State Aid allocation.

Service Units: (Projected data for 2011)

| SERVICE/ PROGRAM | NO. OF PERSONS SERVED (UNDUPLICATED) | TOTAL NO. OF SERVICE UNITS | DEFINITION OF SERVICE UNIT | COST PER PERSON SERVED | COMMENTS |
|---------------------|--|-------------------------------|----------------------------------|------------------------------|-------------------------------------|
| ESRO | 35 | 10,000 | PATIENT DAY | \$34.00 | TOTAL REVENUE ÷ UNITS OF SERVICE |
| ACC | 720 | 8,200 | PATIENT DAY | \$96.00 | TOTAL REVENUE ÷ UNITS OF SERVICE |

Proposed Funding Sources (Federal \$/State \$/County \$):

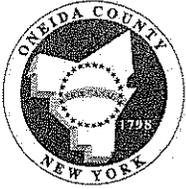
State Aid only \$1,126,531.00

Cost Per Client Served: See above.

Past Performance Data: OMH and OASAS hold this provider to very high regulatory standards. During 2010, the OASAS-funded ACC program has fallen short of statewide standards in the following areas: service utilization, staffing requirements and arranging successful linkages with other treatment providers. The agency's corrective action plan includes submitting monthly management reports and participating in follow up meetings to review progress.

Oneida County Department Staff Comments: The Department of Mental Health participates in all state audits and reviews. It is important to note that ACC's performance this past year is considered only *satisfactory* in view of the above deficiencies. Consequently, heightened monitoring, technical assistance and support are now being provided by both OCDMH and OASAS on an ongoing basis, with the understanding that Rescue Mission will continue its dedicated efforts to improve compliance in all sub-standard areas.

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Anthony J. Picente Jr. County Executive

Linda M. Nelson, Commissioner

Oneida County
dmh
Department of Mental Health
235 Elizabeth Street
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FN 20 11 006

November 30, 2010

PUBLIC HEALTH

Honorable Anthony J. Picente, Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

WAYS & MEANS

RECEIVED
COUNTY LEGISLATURE
28 AM 11:33

Dear Mr. Picente:

I am forwarding six (6) copies of the 2011-2013 Purchase of Services Agreement between the Oneida County Department of Mental Health and Neighborhood Center of Utica for your review and signature.

Under this proposed Agreement, Neighborhood Center of Utica will provide mental health treatment, emergency services, case management, rehabilitation and employment services to adults and children with serious mental illness.

The gross amount of this Agreement is **\$1,333,356.00**. There is \$25,000.00 Oneida County generated tax dollars associated with this Agreement to be used to enhance their current adult and children's clinical services.

Thank you for your time and consideration of this request. I would be pleased to respond to any questions or concerns you might have with regard to this Agreement.

Respectfully,

Linda M. Nelson
Linda M. Nelson
Commissioner

LMN/ldr
Enc.

Reviewed and approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente Jr.
Anthony J. Picente, Jr.
County Executive

Date 12/23/10

63.

**ONEIDA COUNTY BOARD
OF LEGISLATORS**

CONTRACT SUMMARY

Name of Proposing Individual/Organization: The Neighborhood Center, Inc.
Title of Proposed Service/Program: Children's OP Treatment Services (OMH)
Adult OP Behavioral Health Services (OMH)
Emergency/Crisis Services (OMH)
Adult Recovery Services (OMH)
Case Management (OMH)
Employment (OMH)

Proposed Dates of Operation: January 1, 2011 through December 31, 2013

Client Population/Number to be Served: Children and youth with a serious emotional disturbance; adults with a serious and persistent mental illness.

Summary Statements:

I. Narrative Description of Service/Program:

Under the terms and conditions of this Agreement, The Neighborhood Center will provide the following services and related programs:

A. CHILD GUIDANCE CLINIC

The agency's Child Guidance Clinics follow the mandates of the NYS Office of Mental Health (OMH) in providing individual, family and group therapy, symptom management, health screening and referral, medication therapy, medication education, clinical support services and discharge planning through strength and evidenced-based practices. The configuration of services, including frequency and intensity, are individually determined and family-focused. Clinics provide a constellation of support services to the home and community that leads to skill mastery for the child and family. Family and other social supports are encouraged to be a part of the treatment and discharge planning process. Both clinics received Child & Family Clinic Plus certification in April, 2007. Screenings have occurred for children in the Utica and Camden school districts utilizing the Strengths and Difficulties Questionnaire (SDQ).

B. ADULT BEHAVIORAL HEALTH SERVICES

The Neighborhood Center Behavioral Health - Rome program for adults opened in September, 2009. The clinic works with clients and their family to help promote emotional stability and, more importantly, increased functionality and rehabilitation so that individuals can enjoy healthier and more functional lives in their families and communities. Therapists work with clients in helping them achieve their rehabilitation goals. The psychiatric and nursing staff works with the clients to achieve greater symptom management and improvement in daily functioning.

C. MOBILE CRISIS ASSESSMENT TEAM (MCAT)

The Mobile Crisis Assessment Team provides emergency/crisis psychiatric services for persons living in Oneida and Herkimer Counties. MCAT provides psychiatric crisis assessment and intervention services 24 hours a day, 7 days a week. The mobile unit assesses risk of harm to self or others, factors that led to the crisis, and the level of treatment support services needed. Consistent with the principles of best practice, the MCAT team provides service in the client's own environment whenever possible. By conducting the assessment in the community, the evaluator is able to observe "first hand" the environment where the crisis is occurring as well as the resources and supports available to the individual. This practice was reinforced during 2009 when MCAT stopped providing crisis assessments in hospital Emergency Departments and began focusing exclusively on community-based interventions.

Additional services include transitional, short-term follow up, linkage, and discharge planning coordination. Extensive outreach and public education are also provided to build awareness of services and maintain strong relationships with community agencies, hospitals, and law enforcement to address issues, identify solutions and foster open lines of communication.

D. ADULT RECOVERY SERVICES (ARS)

Adult Recovery Services offers specialized programs to enhance independent living skills, increase wellness and understanding of mental illness, provide socialization, and peer support for adults diagnosed with mental illness. The overall goal of the program is to assist individuals in obtaining the highest level of recovery possible. Peers are a critical component in identifying, planning and scheduling activities and the day-to-day operation of the program. ARS offers an array of groups in the areas of Wellness & Self-Management, Health & Fitness, Stress Reduction & Management, Living with Mental Illness, and Support for Special Needs. Support Groups include Young Adult, Crisis Intervention, Grief & Bereavement, and Co-Occurring.

E. SUPPORTIVE CASE MANAGEMENT (SCM)

The Oneida County Supportive Case Management (SCM) Program provides services to adults who are 18 years of age and older that meet NYS OMH criteria for severe and persistent mental illness. SCM utilizes the principles of Psychiatric Rehabilitation to provide supports via a network of community-based services and resources designed to help people achieve independence as they recover from or learn to manage a psychiatric illness. The Neighborhood Center, as lead agency for SCM, partners with Catholic Charities and Family Services of the Mohawk Valley to provide this service.

The intent of the SCM program is to provide consumers with a comprehensive and coordinated approach toward meeting their treatment, rehabilitation and community support needs using a Care Coordination model. The primary function of SCM is to assist clients consistent with Psychiatric Rehabilitation principles in areas of living, work, education, social recreation, and leisure. The vision is for SCM to become the "central hub" of community-based services for its consumers here in Oneida County. Important components include empowering clients to advocate for themselves whenever possible and developing and maintaining client support systems, including family and community members.

SCM offers specialized services in the areas of vocational, co-occurring and the elderly. These highly focused services are designed to ensure that the most up-to-date information is obtained in order to meet the ongoing needs of these individualized populations.

US

F. ASSISTED COMPETITIVE EMPLOYMENT (ACE)

ACE provides adults diagnosed with mental illness an environment in which they can receive job readiness training and needed supports to enter or re-enter the employment arena. Through a variety of skill-building activities and groups, participants are afforded the opportunity to learn and enhance basic skills, including resume writing, interviews, life skills, job-seeking and overall professionalism, in order to prepare for securing or maintaining a job in the community. Overall, the program aims to help individuals foster greater independence and economic self-sufficiency. ACE is not a time-limited service and participants are enrolled as long as they feel necessary to assist them in maintaining employment.

II. Service/Program Objectives and Outcomes:

The agency's mission is to provide people with resources and opportunities which respond to the human needs of the neighborhood and the extended community in a manner that: enriches, strengthens and underscores the value and worth of individuals and families; assists in developing responsible citizenship; provides a foundation for growth; and fosters understanding among all persons.

Performance measures for 2011 will continue to address the following areas:

OP Clinics

- Timely delivery of services
- Improved clinical functioning
- Customer Satisfaction Survey feedback
- Engagement

MCAT

- Response time
- Diversion from hospital ED and/or IP hospitalization via ↑ community mobility
- Linkage and follow up

ARS

- Minimal participation expectations
- Improved self-awareness of one's recovery process
- Client Satisfaction Survey feedback

SCM

- Linkage to mental health services
- Sake & affordable housing
- Reduction in frequency and/or duration of IP hospitalization

ACE

- Minimal participation expectations
- Enhanced knowledge and skills related to employment
- Vocational and/or employment linkages

III. Service/Program Design and Staffing:

All services/programs are licensed by the NYS Office of Mental Health (OMH), as applicable. Assisted Competitive Employment is monitored and certified through the NYS Education Department Bureau of Vocational & Educational Services for Individuals with Disabilities (VESID).

66.

Total Funding Requested:**Account #:** A4310.49526

| | |
|------------------------|----------------|
| Gross Budget | \$1,333,356.00 |
| Revenues (All Sources) | 0 |
| Net Amount | \$1,333,356.00 |
| Federal Funds | 0 |
| State Funds OMH | \$1,308,356.00 |
| OPWDD | 0 |
| OASAS | 0 |
| County Funds | \$ 25,000.00 |
| Other | 0 |

Oneida County Department Funding Recommendation(s):

It is recommended that the full amount of \$1,333,355.00 be approved for 2012. Contract amounts for 2012 and 2013, respectively, will be determined based on State Aid allocation.

Service Units: (Projected for 2011)

| Service/Program | No. of Persons Served (Unduplicated) | Units of Service | Units of Service Definition | Cost Per Client Served |
|--|--------------------------------------|------------------|--|------------------------|
| Child Guidance Clinic - Utica | 492 | 6,070 | Individual or collateral visit/contact | \$1,718.39 |
| Behavioral Health (Children & Adult) - Rome | 900 | 10,000 | Individual or collateral visit/contact | \$942.70 |
| MCAT (includes Catholic Charities & OCDMH) | 2,755 | 4,103 | Direct face-to-face or indirect phone assessment | \$153.42 |
| Adult Recovery Services | 277 | 11,577 | Program visit (daily attendance) and group attendance | \$1,453.72 |
| Supportive Case Management (includes Catholic Charities & Family Services) | 802 | 14,490 | Direct face-to-face visit/contact | \$2,760.42 |
| Assisted Competitive Employment | 44 | 3,000 | Direct contact hour with client; indirect collateral visit/contact | \$493.41 |

Proposed Funding Sources (Federal \$/State \$/County \$):

| | |
|--------|----------------|
| State | \$1,308,356.00 |
| County | \$ 25,000.00 |

Cost Per Client Served: See above.

Past Performance Data: The Neighborhood Center has a rich, longstanding tradition of providing mandated core services to our local community. Corrective actions generated by state audits and reviews are addressed quickly and thoroughly; contract-related reports are submitted in a timely manner. The agency is an active participant within the County-wide Emergency Psychiatric Services System (EPSS).

Oneida County Department Staff Comments: The NYS Office of Mental Health recently underwent a major restructuring of its outpatient clinic system, concerning which this agency has progressed with a full understanding of the changes in regulatory requirements. The degree of MCAT mobility to the community is a concern and will continue to be monitored, particularly in terms of cost-effectiveness.

67.



Anthony J. Picente Jr. County Executive

Linda M. Nelson, *Commissioner*

Oneida County
dmh
Department of Mental Health
235 Elizabeth Street
Utica, New York 13501

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FN 20 11 - 007

December 16, 2010

Honorable Anthony J. Picente, Jr.
Oneida County Executive
800 Park Avenue
Utica, New York 13501

PUBLIC HEALTH

WAYS & MEANS

RECEIVED
ONEIDA COUNTY LEGISLATURE
2010 DEC 20 AM 11:22

Dear Mr. Picente:

I am forwarding six (6) copies of a Purchase of Service Agreement between the Oneida County Department of Mental Health and The Mohawk Valley Council on Alcoholism and Addictions, Inc. for your review and signature

Under the terms and conditions of this Agreement, The Mohawk Valley Council on Alcoholism and Addictions, Inc. will provide Prevention and Education services in the areas of alcohol and drug abuse. They also provide information and referral services to those with addiction problems and their family members.

The amount of this Agreement is \$99,265.00. No Oneida County tax dollars are associated with this Agreement.

Thank you very much for your time and consideration of this request. I would be pleased to respond to any questions or concerns you might have with regard to this Agreement.

Respectfully,

Linda M. Nelson

Linda M. Nelson
Commissioner

LMN/ldr
Encs.

Reviewed and Approved for submittal to the
Oneida County Board of Legislators by

Anthony J. Picente, Jr.
Anthony J. Picente, Jr.
County Executive

Date 12/23/10

68.

Oneida County Department: Mental Health

Competing Proposal _____
Only Respondent _____
Sole Source RFP _____

**ONEIDA COUNTY BOARD
OF LEGISLATORS**

CONTRACT SUMMARY

Name of Proposing Individual/Organization: Mohawk Valley Council on Alcoholism and Addictions, Inc.

Title of Proposed Service/Program: Dual Recovery/MICA Training (OMH)
Alcohol Prevention and Education (OASAS)

Proposed Dates of Operation: January 1, 2011 through December 31, 2013

Client Population/Number to be Served: Persons suffering from alcoholism and other drug dependency, and their families.

Summary Statements:

I. Narrative Description of Service/Program:

The Mohawk Valley Council on Alcoholism and Addictions (MVCA/A) is responsible for acting as an advocate for the above population, for offering objective information and referral services for those seeking intervention and treatment services, and for providing education to children and their addicted parents. The Council connects individuals to all 12 step-meeting groups as well as mental health and substance abuse treatment providers. In addition to membership, the following programs and activities are provided:

Drinking Driver Program: This is a Department of Motor Vehicles approved program that offers education and rehabilitation for individuals convicted of DWUI/DWI. Participants are helped to examine their arrest experience and the social, medical, legal, and driver safety problems caused by alcohol and other drug abuse. On average, 400-500 individuals are served each year. The evidence-based curriculum "Prime for Life" will be implemented as of April, 2011.

Employee Assistance Program: Offers professional guidance to employees and family members when personal or work-related problems become difficult to manage alone. This is a brief counseling/intervention/referral program, which serves 51 agencies and approximately 17,000 employees throughout Oneida & Herkimer Counties, averaging 53 individual and family contacts per month.

Mohawk Valley Community College: Provides education, intervention and/or referral services for the MVCC student population covering several semesters. Supervision and professional development mentorship are also provided to student interns enrolled in MVCC's Chemical Dependency Program.

Direct Council calls: On a daily basis, MVCA/A receives requests for assistance from persons suffering from addiction/mental health illnesses, and family members, seeking a referral source.

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Prevention Resource Center: Provides technical assistance to current and new community coalitions; assesses needs and strengths of each coalition; provides resources to coalitions to effectively implement evidence-based practices. Insight House, Rome Community Recovery Center, McPike Addictions Treatment Center, Addictions Crisis Center, Milestones, Community Health and Behavioral Services and Mental Health Connections are the primary community-based services utilized to foster networking and integrative services.

School and Community-Based Prevention Programs: Designed to minimize youth conduct problems by reducing at-risk behaviors and increasing protective factors. Evidence-based content (e.g. Life Skills Training for ages 7-18 & Second Step Program for ages 4-12) is delivered via a continuum of networking among various afterschool, in school and summer programs, school districts and colleges throughout the local community.

Dual Recovery/MICA Training Program: The purpose of the Dual Recovery Training Program is to provide quality training opportunities that support the capacity of local providers to respond effectively to the needs of individuals with co-occurring mental illness and substance abuse (MICA) disorders. The Council manages associated training funds and provides program coordination with the assistance of the coalition (Dual Recovery Coordinating Council) that is comprised of key representatives from the community and agencies including, but not limited, to: Catholic Charities, Upstate Cerebral Palsy (Dual Recovery Homeless Network), Professional Counseling Center, Insight House, Conifer Park, Tully Hill, Oneida County Department of Mental Health, McPike ATC, Mohawk Valley Psychiatric Center and Central NY Services.

Other Council services include:

- A full-service website that provides updated information about drug and alcohol abuse and links to various resources for use by individuals, families, schools and employers
- A free video and print lending library
- Public speaking engagements
- Community Health Fair participation
- Community awareness forums to promote, for example, Recovery Month
- Professional development training programs certified by NYS OASAS
- Confidential referrals to treatment, AA and other self-help support groups
- College programming and coordination of prevention efforts
- Media awareness campaigns

II. **Service/Program Objectives and Outcomes:**

Incorporated in 1967, The Mohawk Valley Council on Alcoholism and Addictions is grass roots, not-for-profit organization providing information and assistance on alcohol and drug abuse. The Council's mission is to *serve as a community resource to increase awareness of alcoholism and other addictions and as a referral service to facilitate recovery.*

Anticipated performance measures for 2011 include:

Information & Referral → Safety

- Increase information/referral and website services by 200 people
- Complete an annual Community Satisfaction Survey
- Add 2 new schools to in-school Second Step Program
- Demonstrate increased knowledge from pre-test to post-test by 85% of student participants

Non-Model Programs → Safety

- Complete 4 non-model programs focusing on alcohol and other drug prevention
- Demonstrate increased knowledge from pre-test to post-test by 85% of student participants

Speaking Engagements → Safety

- Provide 12 presentations to educate the public about alcohol and other drugs
- Service 700 persons through these forums

Drug Quiz Show → Safety

- Expand participation by recruiting at least 1 new school
- Involve at least 5 schools total

Coalitions/Community Partnerships → Safety

- Add 3 new coalitions bringing overall total to 9

Dual Recovery Training Program → Safety

- Sponsor 9 total trainings affecting at least 400 persons
- Achieve completed pre/post testing and program evaluation at 90% of trainings offered
- Demonstrate increased knowledge from pre-test to post-test by 90% of participants

III. Service/Program Design and Staffing:

Dual Recovery Training funds are provided by the NYS Office of Mental Health (OMH). MVCA/A is certified by the New York State Office of Alcoholism and Substance Abuse Services (OASAS) and is affiliated with the National Council on Alcoholism and Drug Dependency (NCADD). All school-based curriculums are best practices approved by OASAS as recommended by the federal Substance Abuse and Mental Health Services Administration (SAMHSA). In addition, the agency is a participating member of the United Way of Greater Utica.

Total Funding Requested:

Account #: A4310.49521

| | |
|------------------------|-------------|
| Gross Budget | \$99,265.00 |
| Revenues (All Sources) | 0 |
| Net Amount | \$99,265.00 |
| Federal Funds | 0 |
| State Funds | |
| OMH | \$19,638.00 |
| OPWDD | 0 |
| OASAS | \$79,627.00 |
| County Funds | 0 |
| Other | 0 |

Oneida County Department Funding Recommendation(s):

It is recommended that the full amount of \$99,265.00 be approved for 2011. Contract amounts for 2012 and 2013, respectively, will be determined based upon State Aid allocation.

Service Units: (YTD 3rd Quarter 2010)

| SERVICE/ PROGRAM | TOTAL NO. OF PERSONS SERVED (UNDUPLICATED) | TOTAL NO. OF SERVICE UNITS | DEFINITION OF SERVICE UNIT | COST PER PERSON SERVED | COMMENTS |
|------------------|--|---|----------------------------------|------------------------|---------------------|
| I & R | 2,848 (up from 2,077 in 2009) | Phone calls – 381 Website hits – 2,174 | Incoming phone call, website hit | \$5.00 avg. | Continual promotion |

71.

